

### **International Linear Collider Reference Design Report**

James Brau, Yasuhiro Okada, Nicholas J Walker, Abdelhak Djouadi, Joseph Lykken, Klaus Monig, Mark Oreglia, Satoru Yamashita, Nan Phinney, Nobukazu Toge, et al.

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# Reference Design Report **Detectors** international linear collider

**August 2007**

# INTERNATIONAL LINEAR COLLIDER REFERENCE DESIGN REPORT

ILC Global Design Effort and World Wide Study

AUGUST, 2007

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Editors:

James Brau, Yasuhiro Okada, Nicholas J. Walker

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Editors:

Abdelhak Djouadi, Joseph Lykken, Klaus Mönig Yasuhiro Okada, Mark Oreglia, Satoru Yamashita

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### Volume 4: DETECTORS

Editors:

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# CHAPTER 1 Introduction

<span id="page-34-0"></span>The physics potential of the ILC, discussed in Volume 2 of this document, has captured the imagination of the world high energy physics community. Understanding the mechanism behind mass generation and electroweak symmetry breaking, searching for and perhaps discovering supersymmetric particles and confirming their supersymmetric nature, and hunting for signs of extra space-time dimensions and quantum gravity, constitute some of the major physics goals of the ILC. In addition, making precision measurements of standard model processes will open windows on physics at energy scales beyond our direct reach. The unexpected is our fondest hope.

The detectors which will record and measure the charged and neutral particles produced in the ILC's high energy  $e^+e^-$  collisions, are the subject of this Volume 4 of the International Linear Collider Reference Design Report, which is also called Detector Concept Report (DCR). Experimental conditions at the ILC provide an ideal environment for the precision study of particle production and decay, and offer the unparalleled cleanliness and well-defined initial conditions conducive to recognizing new phenomena. Compared to hadronic interactions,  $e^+e^-$  collisions generate events essentially free from backgrounds due to multiple interactions; provide accurate knowledge of the center-of-mass energy, initial state helicity, and charge; and produce all particle species democratically. In fact,  $e^+e^-$  collisions afford full control of the initial state helicity by appropriately selecting electron and positron polarizations, providing a unique and powerful tool for measuring asymmetries, boosting desired signals, and reducing unwanted backgrounds. ILC Detectors need not contend with extreme data rates or high radiation fields. They can in fact record events without need for electronic preselection and without the biases such selection may introduce. The detectors, however, need to achieve unprecedented precision to reach the performance required by the physics. The physics does pose significant challenges for detector performance, and pushes the limits of jet energy resolution, tracker momentum resolution, and vertex impact parameter resolution, to name a few. Multi-jet final states and SUSY searches put a premium on hermeticity and full solid angle coverage. The ILC environment, although benign by LHC standards, does pose some interesting challenges of its own.

The world-wide linear collider physics and detector community has wrestled with these challenges for more than a decade, and has made impressive progress in developing the new sensor technologies an ILC detector will need. Concepts for the detectors have evolved throughout the world, with early designs recorded in several reports [\[1,](#page-196-0) [2,](#page-196-1) [3\]](#page-196-2). Rapid progress on the machine side, first with the ITRP's choice of superconducting RF in 2004, then with the

#### INTRODUCTION

formation of the ILC's Global Design Effort in early 2005, and most recently with the design and costing exercise recorded in the Reference Design Report, have spurred the experimental community to keep in step. With this in mind, the World Wide Study of Physics and Detectors for Future Linear Colliders charged the high energy physics community to prepare Detector Outline Documents, to capture both the thinking behind and the present status of the existing detector designs. Four reports from the concept teams, GLD [\[4\]](#page-196-3), LDC [\[5\]](#page-196-4), SiD [\[6\]](#page-196-5), and 4th [\[7\]](#page-196-6), were presented in Spring of 2006. These documents discuss design philosophy, conceptual designs, R&D readiness and plans, subsystem performance, and overall physics performance for each of the concepts; and they form the basis for the present report.

Development of the concepts goes hand in hand with sub-detector research and development, which is occurring both on the somewhat orthogonal axis of the R&D collaborations, and in some cases, within the concepts themselves. Ideally, advances in the detector arts benefit all four concepts.

Progress to date indicates that current designs will deliver the performance ILC physics demands, and that they are buildable with technologies that are within reach. Not all is demonstrated, but a growing community is involved in refining and optimizing designs, and advancing the technologies. Continued and expanded support of detector R&D and concept designs can lead to full engineering designs, and proof of principle technology demonstrations on the timetable being proposed for the ILC Engineering Design Report.

In the following chapters, this report will make the case for ILC detectors. Challenges from the physics and ILC environment that drive the detector design and technology are outlined in chapter [2.](#page-36-0) An overview of the four detector concepts is given in chapter [3.](#page-52-0) Chapter [4](#page-78-0) reviews the machine detector interface, including interaction region design, evaluation of backgrounds, and properties of the bunch train timing. It delineates and details the ILC environment. The status of subsystem designs and technologies, sampled across the concepts, is given thorough review in Chapter [5.](#page-90-0) Sub-detector performance is the subject of increasingly sophisticated and realistic simulations; it is reviewed in Chapter [6.](#page-148-0) The integrated performance of the detectors has long been approximated only with fast Monte Carlo. New studies, based on full Monte Carlo, are given in Chapter [7.](#page-166-0) They evidence the growing maturity of ILC physics studies, and promise more believable results. Chapter [8](#page-174-0) argues the need for two, complementary detectors at the ILC. Chapter [9](#page-178-0) gives the ballpark cost of the present concepts, derived from comparisons of the individual cost accounts. The present detector concepts are designed to study  $e^+e^-$  interactions over the full range accessible to the ILC, from 500 GeV in the first phase of the machine to 1000 GeV in the machine's second phase. The physics may lead us to detours at the Z pole, or an exploration of gamma gamma collisions or other options. These options are discussed in Chapter [10.](#page-182-0) The report concludes in Chapter [11](#page-194-0) with a look at the next steps before the ILC detector community.
# CHAPTER 2

# Challenges for Detector Design and **Technology**

The physics of the ILC and the ILC machine environment present real challenges to ILC detector designers. ILC physics puts a premium on high resolution jet mass reconstruction, which pushes calorimetry well beyond the current state of the art. Particle Flow calorimetry promises the high performance needed, but demands that new detector technologies and new reconstruction algorithms be developed. Higgs studies need charged track momentum resolution well beyond what was achieved at LEP/SLD and even substantially beyond that developed for LHC. High field magnets and high precision/low mass trackers are under development to reach this goal. Flavor and quark charge tagging at the ILC, needed for precision measurements of Higgs branching fractions and quark asymmetries, demand development of a new generation of vertex detectors.

The ILC environment is benign by LHC standards, and so admits designs and technologies which have not been considered in the context of LHC detector R&D. However, it still poses fundamental challenges for many of the detector subsystems. The vertex detector and the very forward calorimetry, in particular, must contend with very high backgrounds primarily from the soft  $e^+e^-$  pairs produced by beamstrahlung when the beams collide. High occupancies require fast vertex readouts; fast readouts require extra power; and both must be accommodated with very low mass detectors and supports. This is a significant challenge. High radiation loads and bunch crossings every 300 ns complicate the design of the very forward calorimeters. The need for sensitivity to single, tell-tale electrons in the haystack of pairs adds to the challenge.

Table [2.1](#page-37-0) summarizes several selected benchmark physics processes and fundamental measurements that make particular demands on one subsystem or another, and set the requirements for detector performance.

# 2.1 JET ENERGY RESOLUTION REQUIREMENTS

Many of the interesting physics processes at the ILC appear in multi-jet final states, often accompanied by charged leptons or missing energy. The reconstruction of the invariant mass of two or more jets will provide an essential tool for identifying and distinguishing  $W$ 's,  $Z$ 's, H's, and top, and discovering new states or decay modes. Ideally, the di-jet mass resolution

<span id="page-37-0"></span>

		Critical	Critical Detector	Required
<b>Physics Process</b>	Measured Quantity	System	Characterstic	Performance
ZHH $HZ \rightarrow q\bar{q}b\bar{b}$ $ZH \rightarrow ZWW^*$ $\nu\bar{\nu}W^+W^-$	Triple Higgs Coupling Higgs Mass $B(H \to WW^*)$ $\sigma(e^+e^- \to \nu \bar{\nu} W^+W^-)$	Tracker and Calorimeter	Jet Energy Resolution, $\Delta E/E$	3t <sub>04</sub> %
$ZH \rightarrow l^+\,l^-X$ $\mu^+\mu^-(\gamma)$ $ZH + H\nu\nu \rightarrow \mu^+\mu^-X$	Higgs Recoil Mass Luminosity Weighted $E_{cm}$ $B(H \to \mu^+ \mu^-)$	Tracker	Charged Particle Momentum Res., $\Delta p_t/p_t^2$	$5 \times 10^{-5}$
$HZ, H \rightarrow b\bar{b}, c\bar{c}, q\bar{q}$ $b\overline{b}$	Higgs Branching Fractions b quark charge asymmetry	Vertex Detector	Impact Parameter, $\delta_h$	$5\mu m \oplus$ $10\mu\text{m}/p(\text{GeV/c})\sin^{3/2}\theta$
SUSY, eg. $\tilde{\mu}$ decay	$\tilde{\mu}$ mass	Tracker, Calorimeter	Momentum Res., hermeticity	

TABLE 2.1 Sub-Detector Performance Needed for Key ILC Physics Measurements.

should be comparable to the natural decay widths of the parent particles, around a few GeV or less. Improving the jet energy resolution to  $\sigma_E/E < 3 \sim 4\%$  (30%/ $\sqrt{E}$  for jet energies below approx. 100 GeV), which is about a factor of two better than that achieved at LEP, will provide such di-jet mass resolution. But achieving such resolution represents a considerable technical challenge for ILC detectors.

It appears possible to reach such jet mass resolutions with the combination of an excellent, highly efficient and nearly hermetic tracking system and a calorimeter with very fine transverse and longitudinal segmentation. The energy from charged particles is first measured in the tracker, and then isolated in the calorimeter. By excluding the energy deposited by charged particles in the calorimeter, but including that from neutral hadrons and photons, a significant improvement in the overall jet resolution is possible. This so-called "particle flow" concept is undergoing extensive study in simulation, and has motivated the development of high granularity electromagnetic and hadronic calorimeters, and highly efficient tracking systems.

The jet energy resolution challenge has inspired another approach as well. A transversely segmented, dual readout calorimeter also promises excellent jet energy resolution, and its performance in an ILC detector is under study.

Several fast simulation physics studies document the importance of achieving very high jet energy resolution in ILC detectors, by plotting how the errors in key physics measurements depend on the resolution parameter  $\alpha$ , given implicitly by the relation  $\Delta E/E = \alpha/\sqrt{E}$ . Reduced errors are equivalent to a luminosity bonus, and the added effective luminosity is often considerable. Precision studies of the Higgs boson will be central part of the ILC physics program. The measurement of the Higgs self coupling, via the reaction  $e^+e^- \to ZHH \to qqbbbb$ , is extremely interesting, and probably unique to the ILC. The low cross-section, multi-jet signature, and high backgrounds make this measurement very challenging as well. Excellent jet energy resolution might make the difference between being able to measure this reaction at the ILC, or not. Measurements of the mass of the Higgs in the four jet channel,  $e^+e^- \rightarrow ZH \rightarrow qqbb$ , can utilize momentum-energy constraints and large statistics, and will benefit significantly from improved jet energy resolution. Measuring the  $WW^*$  branching fraction via the reaction  $e^+e^- \to ZH \to ZWW^* \to qqqql\nu$ , is more challenging, since momentum and energy constraints have limited utility in this multi-jet, missing-energy final

state. Boosting the jet energy resolution significantly reduces the error on this measurement as well. Finally, in a scenario where the Higgs does not appear at the ILC, and studies of WW scattering move to the fore, improving the jet energy resolution will improve the discrimination of  $WW\nu\nu$ ,  $WZ\nu e$ , and  $ZZee$  final states, thereby increase the effective integrated luminosity, and thus increase the reach of the ILC for new physics beyond its kinematic range.

The ability to distinguish W and  $Z$  decays cleanly will pay benefits in SUSY studies as well. For example, to distinguish chargino from neutralino pair production, one must distinguish final states with two  $W$ 's and missing energy, from those with two  $Z$ 's and missing energy. In addition, improved jet energy resolution will sharpen the determination of the endpoints of the energy spectrum of the W which results from chargino decay, and so improve the measurement of the chargino mass.

#### 2.1.1 Higgs Self-Coupling Measurement

The measurement of the cross-section for  $e^+e^- \rightarrow ZHH$  will allow the determination of the trilinear Higgs self-coupling,  $\lambda_{hhh}$ , which provides a determination of the shape of the Higgs potential, independent of that inferred from the Higgs mass. This will constitute a fundamental test of the Higgs mechanism. The Higgs self-coupling measurement at  $\sqrt{s}$  = 500 GeV using the reaction  $e^+e^- \rightarrow ZHH \rightarrow qqbbb$  is a challenging measurement that requires excellent  $W$ ,  $Z$ , and  $H$  boson identification in a high track multiplicity environment with 6 jets. The total cross-section for  $e^+e^- \to ZHH$ , before factoring in Z and H branching ratios, is only 0.18 fb. Major backgrounds include  $e^+e^- \rightarrow t\bar{t} \rightarrow bbWW \rightarrow bbcscs$  and  $e^+e^- \to ZZZ, ZZH \to qqbbbb.$ 

How the Higgs self coupling measurement depends on jet energy resolution [\[8,](#page-196-0) [9\]](#page-196-1) is shown in Figure [2.1,](#page-39-0) where an integrated luminosity of 2000 fb<sup>-1</sup> is assumed. Gluon radiation is fully suppressed in this study. Neutrinos in the decay of bottom hadrons limit the Higgs mass resolution, while neutrinos in the decay of charm and bottom degrade the Z boson mass resolution relative to what is obtained assuming  $Z$  decays to  $u,d,s$ , quarks only. Future analyses which correct for the missing neutrino energies should improve the Higgs mass resolution and reduce backgrounds, and so reduce the errors. The error in the coupling shows a significant reduction as the jet energy resolution changes from  $\Delta E/\sqrt{E} = 60\%$  to 30%, corresponding to an equivalent 40% luminosity gain and a marked reduction in the error of a critical quantity.

# **2.1.2** Higgs Mass in the 4-jet Channel  $e^+e^- \rightarrow ZH \rightarrow q\bar{q}b\bar{b}$

The measurement of the Higgs mass through the recoil mass technique is limited statistically by the relatively small branching ratio for Z boson decays to charged lepton pairs. The much larger statistics associated with hadronic Z boson decay can be utilized by measuring the Higgs mass in the 4-jet channel,  $e^+e^- \rightarrow HZ \rightarrow qqbb$ , so long as the Higgs mass is small enough that the branching ratio to b-quarks pairs is large enough. The dependence of the accuracy of the mass measurement on the jet energy resolution has been explored [\[10\]](#page-196-2) assuming a Standard Model Higgs with a mass of 120 GeV, as favoured by current electroweak precision measurements, a branching ratio to b-quark pairs of 68%,  $\sqrt{s}$ =350 GeV, and an integrated luminosity of  $500$  fb<sup>-1</sup>.

The analysis selects hadronic final states with large visible energy, and forces the charged and neutral tracks into a 4-jet topology. If one jet-pair has a mass consistent with the Z boson



<span id="page-39-0"></span>FIGURE 2.1. Error in the Triple Higgs Coupling vs Jet Energy Resolution

and the other is consistent with having two b-quark jets, the b quark jet-pair is considered the Higgs candidate. By imposing beam energy-momentum constraints, the resolution of the Higgs mass and the signal/ background ratio in the signal region are improved significantly. The result is given in Figure [2.2](#page-40-0) which shows the invariant mass of the two b-quark jets for different jet energy resolutions. The error in the Higgs mass improves by a factor 1.2 in going from  $\Delta E/\sqrt{E} = 60\%$  to 30%, corresponding again to an equivalent 40 % luminosity gain.

# 2.1.3 Branching fraction for  $H \to WW^*$

One of the principal motivations for building a detector with excellent jet energy resolution is the need to distinguish hadronically decaying  $W$  bosons from  $Z$  bosons in events where beam energy-momentum constraints either cannot be imposed or have limited utility, such as events with 6 or 8 fermions in the final state. A test of this kind of  $W/Z$  separation is provided by the measurement of the  $H \to WW^*$  branching ratio in the reaction  $e^+e^- \to$  $ZH \rightarrow ZWW^* \rightarrow qqqql\nu$ . The dependence of the  $H \rightarrow WW^*$  branching ratio error on jet energy resolution [\[11\]](#page-196-3) is summarized in Figure [2.3.](#page-40-1) There is a factor of 1.2 improvement in the branching fraction error in going from  $\Delta E/\sqrt{E} = 60\%$  to 30%, corresponding again to an equivalent 40% luminosity gain.

# **2.1.4** Cross Section for  $e^+e^- \rightarrow \nu \bar{\nu} W^+W^-$

This process was originally considered in scenarios with no elementary Higgs, as a way of probing the strong WW scattering that could moderate the resulting divergences in the WW scattering amplitude. It is a fundamental electroweak process, and by virtue of the missing neutrinos in the final state, a suitable benchmark process for distinguishing W's and Z's.



<span id="page-40-0"></span>FIGURE 2.2. Reconstructed Higgs di-jet invariant mass for different jet energy resolutions. The analysis has been performed for a center of mass energy of 350 GeV and a total integrated luminosity of 500 fb<sup>-1</sup>.



<span id="page-40-1"></span>FIGURE 2.3. Relative error in the Higgs branching ratio to  $WW^*$  vs jet energy resolution

Study [\[12\]](#page-196-4) has shown, like the studies above, the improvement in going from  $\Delta E/\sqrt{E} = 60\%$ to 30%, is equivalent to an increase of 30% to 40% in luminosity.

# 2.2 ADDITIONAL CHALLENGES FOR THE CALORIMETRY

As discussed above, the concept of particle flow requires that a highly granular calorimeter be developed with good segmentation both transversely and longitudinally. Within this concept, high granularity becomes much more important than very good energy resolution. Cell sizes around  $1 \times 1$  cm<sup>2</sup> or less seem appropriate for the electromagnetic and possibly even the hadronic sections, while energy resolutions of  $\approx 15\%$  for the electromagnetic part and  $> 40\%$ for the hadronic part are considered good enough. This is certainly the principal challenge for Calorimetry.

ILC physics demands more than good jet energy resolution of the calorimeter. Searches for SUSY will utilize missing energy signatures, requiring both good resolution in missing energy, and the hermeticity to ensure that energy losses down the beamline are minimal. Lepton identification is very important in ILC physics. Efficient electron and muon ID and accurate momentum measurements over the largest possible solid angle will be required for detailed studies of leptons from  $W$  and  $Z$  decays. Identifying electrons and muons within jets is of course more difficult, but is important for flagging the presence of neutrinos from heavy quark decays and identifying jet flavor and quark charge. In some models the precise reconstruction of photons which are not pointing back at the origin is crucial, stressing the importance of the calorimeter's spatial and angular resolution.

The identification and measurement of tau lepton decays is a particularly difficult and important case, critical in analyzing tau polarization states, and it will require differentiating tau decays to  $\pi$ ,  $\rho$ ,  $A_1$ , and  $\rho'$ . This may impose the most severe requirements on segmentation in the electromagnetic calorimeter.

Overall, lepton ID requires a lot of the calorimetry: high granularity, excellent hermiticity, sensitivity to minimum ionizing particles, compact electromagnetic shower development, and good electromagnetic energy resolution.

# 2.3 CHALLENGES FOR THE TRACKING

Tracking at the ILC poses multiple challenges. The momentum resolution specification is well beyond the current state of the art. Full solid angle coverage for tracks with energies ranging from the beam energy to very low momenta is required for particle flow calorimetry and missing energy measurements. The pattern recognition algorithm must be robust and highly efficient in the presence of backgrounds. All the while the tracker must be built with minimal material to preserve lepton id and high performance calorimetry. Here we consider the impact of the tracker momentum resolution on key physics measurements.

# 2.3.1 Higgs Mass from Dilepton Recoil

Studies of the Higgs Boson are expected to take center stage at the ILC. The production of the Higgs through "Higgs-strahlung" in association with a Z, will allow a precision Higgs mass determination, precision studies of the Higgs branching fractions, measurement of the production cross section and accompanying tests of SM couplings, and searches for invisible Higgs decays. When the associated Z boson decays leptonically, it is possible to reconstruct the mass of the object recoiling against it with high precision, and without any assumptions on the nature of the recoiling particle or its decays. The resolution in the recoil mass, which translates into how sharply the Higgs signal rises above the ZZ background, depends on the accuracy with which the beam energy can be measured, the initial beam energy spread, which at ILC is about 0.1%, and the precision with which the lepton momenta are measured.

It is interesting to see how the precision of the mass measurement depends on the momentum resolution of the tracker [\[13,](#page-197-0) [10,](#page-196-2) [14\]](#page-197-1). Figure [2.4](#page-42-0) shows the recoil mass distribution opposite the Z for four different values of tracker momentum resolution, characterized by the parameters a and b, assuming the Higgs mass is 120 GeV,  $\sqrt{s} = 350$  GeV, and the integrated luminosity is 500 fb<sup>-1</sup>. Here the momentum resolution is written  $\delta p_t/p_t^2 = a \oplus b/(p_t \sin \theta)$ . For example, we find that the Higgs mass can be determined with a precision of 150 MeV for Z decays to muon pairs assuming  $a = 4 \times 10^{-5}$  and  $b = 1 \times 10^{-3}$ . Accuracy in the mass measurement improves significantly as the tracker momentum resolution improves.



<span id="page-42-0"></span>FIGURE 2.4. Higgs recoil mass spectra for several values of parameters characterizing the tracker momentum resolution, which is parameterized as  $\delta p_t/p_t^2 = a \oplus b/(p_t \sin \theta)$ .

# 2.3.2 Slepton Mass Measurement from Lepton Energy Spectrum **Endpoints**

The ILC offers the possibility of determining the masses of the sleptons to high precision, if they are kinematically accessible. Studies of the production of smuon and selectron pairs, and their subsequent decays to charged leptons and neutralinos [\[15\]](#page-197-2), provide another example where the measurement sensitivity can depend on the tracker's momentum resolution.

In a recent study the impact of the momentum resolution [\[10\]](#page-196-2)on measuring the mass of a smuon in the co-annihilation region is studied. The smuon mass is taken to be 224 GeV, and the neutralino mass, 212 GeV. The study assumed running at  $\sqrt{s} = 500$  GeV with an integrated luminosity of 500 fb−<sup>1</sup> . The smuon mass is determined by looking at the endpoints of the momentum spectrum of the decay muons. The error on the mass is studied as a function of the parameters a and b which characterize the momentum resolution of the tracker, as above.

The accuracy of the smuon mass, in fits where the neutralino mass is assumed to be held fixed at some predetermined value, is independent of variations of a in the range  $1.0 \times 10^{-5}$ to  $8.0 \times 10^{-5}$ , and independent of those in b in the range  $0.5 \times 10^{-3}$  to  $4.0 \times 10^{-3}$ . This is not surprising, since the muon momentum spectrum is relatively soft and the tracker's momentum resolution in this region is especially good. The beam energy spread and radiative tail are reflected in the low and high ends of the muon energy spectrum, respectively, and dominate the effects of tracker momentum resolution in the observed spectral shape.

The tracker momentum resolution plays a much more important role if the slepton and neutralino are not nearly degenerate in mass. Two studies have been performed assuming the SPS1A SUSY parameter space point with slepton and neutralino masses of 143 GeV and 96 GeV, respectively. In the first [\[16\]](#page-197-3), measuring the masses of both the smuon and the neutralino is considered. The study assumed running at  $\sqrt{s} = 500$  GeV, and an integrated luminosity of 500 fb−<sup>1</sup> . The two masses are simultaneously determined by looking at the endpoints of the momentum spectrum of the muon produced in the smuon decay, and are studied as a function of the parameter  $a$ , as above. The accuracy of the smuon (neutralino) mass varies from 98 (86) MeV to 115 (97) MeV as the parameter  $a$  is varied from 0 to  $2.0 \times 10^{-5}$ , and degrades to 139 (113) MeV when the parameter a is increased to  $8.0 \times 10^{-5}$ . The improvement in the smuon (neutralino) resolution as the parameter  $a$  is decreased from  $8.0 \times 10^{-5}$  to  $2.0 \times 10^{-5}$  is equivalent to a 45% (35%) gain in luminosity. In the other study [\[17\]](#page-197-4), the authors study selectron pair-production at  $\sqrt{s}$  = 1000 GeV. The higher energy leads predictably to a very much higher lepton energy endpoint, 225 GeV in contrast to the 125 GeV above, and consequently an even greater dependence on the momentum resolution of the tracker. Very good momentum resolution, especially in the forward direction, will allow even high energy data sets to be usefulin measuring masses.

# 2.3.3 E<sub>cm</sub> Determination from  $e^+e^-\rightarrow \mu^+\mu^-(\gamma)$

Accurately determining the center of mass energy at the ILC is prerequisite for many physics studies, and major efforts are being devoted to measuring the beam energy before and after the interaction point. Because the  $E_{cm}$  measured upstream and downstream of the interaction point can differ from the luminosity-weighted  $E_{cm}$  by as much as 250 ppm, it is important to be able to compare such measurements with a direct detector measurement of the center-of-mass energy based on physics events. The latter measurement directly measures the luminosityweighted center-of-mass energy. As shown in [\[10\]](#page-196-2), the excellent momentum resolution of the tracker is particularly advantageous in this measurement, which can be done by studying muon pair production and radiative returns to the Z, where the Z subsequently decays to muon pairs. E<sub>cm</sub> measurements at LEP using  $e^+e^- \to \mu^+\mu^-\gamma$  relied solely on lepton angle measurements because little additional information could be gleaned from a direct muon momentum measurement. The resolution was inadequate. However, with the trackers being considered for ILC detectors, the momentum measurement can significantly improve the  $\rm E_{cm}$ 

measurement over what can be achieved with angles alone. Figure [2.5](#page-44-0) shows the accuracy with which E<sub>cm</sub> can be determined with a data sample of 100 fb<sup>-1</sup> by utilizing radiative returns( $Z\gamma$ ) or full energy muon pairs ( $\mu\mu$ ) as a function of the parameters which describe the momentum resolution. For variations of the curvature error parameter, a, the multiple scattering parameter b is set to  $1.0 \times 10^{-3}$ ; for variations in b, a is set to  $2.0 \times 10^{-5}$ . For comparison, the accuracy obtained by using an angles-only measurement is also shown. For full energy mu pair production there is a strong dependence on the curvature error, and for both methods there is a strong dependence on the multiple scattering term. In any case, excellent tracker momentum resolution will allow the determination of  $E_{cm}$  to about 20 MeV. At the same time this analysis makes a strong case for excellent forward tracking, with a minimal material budget to minimize the multiple scattering term.



<span id="page-44-0"></span>FIGURE 2.5. Error in  $E_{cm}$  as a function of the parameters describing the tracker momentum resolution. Results from simulated measurements of lepton angles, muon pair production, and radiative returns to the Z are shown.

# 2.3.4 BR( $\text{H} \to \mu^+ \mu^-$ ) Measurement at  $\text{E}_{\text{cm}} = 1 \text{ TeV}$

At high energies close to 1 TeV even rare Higgs decays modes like  $H\to \mu^+\mu^-$  become accessible, thanks to growing t channel cross sections, increased machine luminosity, polarization enhancements, and improved signal to background. At an energy of  $\sqrt{s} = 800$  GeV the  $BR(H \to \mu^+ \mu^-)$  could be measured with a relative accuracy of 30% assuming a 120 GeV Higgs mass, 1000 fb−<sup>1</sup> luminosity and no initial state polarization [\[18\]](#page-197-5). The signal is visible in the di-muon invariant mass distribution as a Higgs resonance above the background from  $e^+e^- \to W^+W^- \to \mu^+\mu^-\nu_\mu\bar{\nu}_\mu$ . Improving the tracker momentum resolution sharpens the

peak, improving the signal to background ratio, and lowering the error in the branching fraction. The branching fraction error can be reduced 15% if the momentum resolution term a is reduced from  $4 \times 10^{-5}$  to  $2 \times 10^{-5}$ 

## 2.4 CHALLENGES FOR VERTEXING

Ideally, vertex detection allows the full vertex topology of heavy particle production and decay to be determined. Vertex detection is critical at the ILC. Identifying heavy particle decay vertices, and measuring the invariant mass of their charged decay products, tags their flavor. Heavy flavor identification is the key to measuring the Higgs branching fractions with high precision. Charm identification, in conjunction with the observation of missing energy, could provide startling evidence for new physics, e.g. when stop decays to charm and a neutralino. Maximizing the efficiency and purity of heavy flavor tags pushes vertex detector efficiency, angular coverage, and impact parameter resolution beyond the current state of the art. Improving the point resolution per measurement, minimizing the beam pipe radius, and reducing the thickness of the detector sensors and supports can result in significant enhancements to the flavor tagging efficiency [\[19\]](#page-197-6), as shown for charm quark tagging in Figure [2.6.](#page-46-0)

Measuring the net charge associated with secondary and tertiary heavy quark decays can provide a determination of quark charge, which makes it possible to measure asymmetries and polarizations. For example,  $b\bar{b}$  forward backward asymmetries are most sensitively probed with quark charge measurements. If these asymmetries are anomalous, as measurements at the Z have suggested, sensitive quark charge measurements could measure the effect, and even reveal evidence for extra dimensions or other new physics signatures. Quark flavor and charge determinations also permit analyzing top quark polarizations, and thereby test for anomalous couplings in  $t\bar{t}$  production and decay and access SUSY parameters if stop or sbottom decay to top.

Vertex detection also plays an important role in tracking generally. Multi-layer vertex detectors provide efficient stand-alone pattern recognition and even momentum measurement, which may well be essential in measuring soft tracks. Since pixel detectors provide excellent pattern recognition capability, vertex detectors may also provide the seeds for recognizing tracks in forward and central trackers.

The ILC environment also poses significant challenges to vertex detector design. While ILC requirements for rate capability and radiation load are dwarfed in comparison to those for the LHC, the production of prodigious numbers of  $e^+e^-$  pairs, the inevitable consequence of colliding nanometer sized beams at high luminosity, results in severe backgrounds in the ILC vertex detector. These pairs produce of order 100 hits/mm2/train in the innermost layer of the vertex detector, more than an order of magnitude more than pattern reconstruction can comfortably handle. Consequently, it is essential to time-slice the bunch train into more manageable pieces, and integrate over < 150 bunch crossings as opposed to the full 3000. To do so requires a readout technology much faster than that of traditional pixellated vertex detectors. This fact has led to the active development of many new technologies. The simultaneous challenges of rapid readout, constrained power budgets, transparency, and high resolution, have made this a challenging undertaking. However, the ILC data rates, which are significantly lower than at LHC, admit designs which use much less power per channel, and hence can be thinner and more highly pixellated than their LHC counterparts, with



<span id="page-46-0"></span>FIGURE 2.6. Charm Tagging Efficiency and Purity for Vertex Detectors with inner radius of 1 cm, 2 cm, and 2.6 cm

consequently better resolution. The low ILC radiation load admits a much wider selection of technologies than are possible at the LHC.

In the following the impact of vertex detector performance on several key physics measurements is discussed.

## 2.4.1 Measuring the Higgs Branching Fractions

The measurement of the Higgs Branching Fractions, and their dependence on vertex detector resolution, is by far the best studied of the suite of possible vertex physics topics. If the Higgs has relatively low mass, as the precision electroweak fits prefer, precision measurements of the branching fractions will establish how the Higgs couplings depend on mass and will differentiate Standard Model behavior from that of other Higgs models. While measuring the Higgs Branching Fractions is not the measurement most demanding of vertex detector performance, it is certainly one benchmark worth noting. Several groups have undertaken the study of the process [\[20,](#page-197-7) [21,](#page-197-8) [22,](#page-197-9) [23,](#page-197-10) [24\]](#page-197-11). There is reasonable agreement as to how well the branching fractions can be measured, with the fractional errors in BR $(H \to b\bar{b})$ ,  $BR(H \to c\bar{c})$ , and  $BR(H \to q\bar{q})$  around 1%, 12%, and 8% respectively for a 120 GeV Higgs, at  $\sqrt{s} = 350$  GeV, and an integrated luminosity of 500 fb<sup>-1</sup> [\[25,](#page-197-12) [26\]](#page-197-13). Other final states, like  $WW, ZZ,$  or  $tt$ , are measured with intermediate precisions. By including running at 1 TeV for 1 ab<sup>-1</sup>, most of the relative branching fractions are determined to the level of 2-5% [\[26\]](#page-197-13).

Several authors [\[27,](#page-197-14) [28,](#page-197-15) [29\]](#page-197-16) have studied the impact of the choice of detector parameters on the measured accuracies of the Branching Fractions. They all evaluated the impact of improving the spatial resolution and varying the radius of the innermost layer (and hence the beam pipe radius). The studies are in rough agreement, finding that halving the inner



<span id="page-47-0"></span>FIGURE 2.7. Probability of misreconstructing a neutral B vertex as charged, vs B jet energy, for beam pipe radii of 0.8 cm, 1.4 cm, and 2.5 cm

radius from about 2.4 cm to 1.2 cm reduces the errors in the Charm Branching Fraction by of order 10%. Similar, but smaller effects, are seen when the resolution is halved, or the material budget significantly reduced. In sum, modest but not insignificant reductions in the Higgs Branching Fraction errors are seen as critical detector parameters, especially the inner radius, are optimized.

# 2.4.2 Measuring Quark Charge

Determination of the quark charge may be more demanding of vertex detector performance than the Higgs Branching Fraction measurements discussed above, because it demands correct association of even low momentum tracks to the correct decay vertex. These tracks of course suffer the most from multiple Coulomb scattering in the beam pipe and inner detector layers. A preliminary study [\[30\]](#page-198-0) of how the quark charge determination depends on the radius of the innermost vertex layer has indicated that the probability of misreconstructing neutral vertices as charged decreases rather significantly as the beam pipe radius is reduced, as shown in Figure [2.7.](#page-47-0) Further study must evaluate the full impact on measurements of  $b\bar{b}$ asymmetries at the ILC, but a significant advantage for detectors with the smallest inner radii seems an inescapable conclusion.

# 2.5 CHALLENGES FOR VERY FORWARD CALORIMETERS

The very forward region of the ILC detector will be instrumented with two electromagnetic calorimeters, the Lumical and Beamcal. The Lumical extends the hermeticity of the detector's calorimetry to very small polar angles, provides a fast luminosity measurement. The



<span id="page-48-0"></span>FIGURE 2.8. Efficiency to detect an electron of 50, 100, or 250 GeV energy in the BeamCal as a function the impact distance from the beamline at center of mass energy of 500 GeV. The beamstrahlung background was simulated using Guinea Pig.

Beamcal, which is located even below the Lumical, in front of the final focussing magnets, primarily is to be used to monitor the beam parameters at the interaction point. It must survive in the very high radiation environment generated by  $e^+e^-$  pairs and beamstrahlung and be independently read out each bunch crossing, to provide bunch-by-bunch machine diagnostics. It must be capable of vetoing electrons at small polar angles with high efficiency, in order to suppress backgrounds when searching for new particles whose signatures involve large missing energy and momentum in the final state. This is e.g. the case in supersymmetric models where the mass difference between the primary produced sleptons and the LSP is small. Backgrounds arise from two-photon events and radiative Bhabha events, which are characterized by electrons or positrons scattered at small angles. Beamstrahlung leads to the production of a very large number of relatively low energy  $e^+e^-$  pairs hitting the BeamCal, amounting to several TeV of energy deposited per bunch crossing. To identify a single high energy electron on top of this broadly distributed background, the BeamCal must be dense and finely segmented, both transversely and longitudinally [\[1,](#page-196-5) [31\]](#page-198-1). The efficiency for identifying electrons is shown in Figure [2.8](#page-48-0) for a diamond-tungsten sampling calorimeter with a Moliere radius and a transverse segmentation of about 1 cm.

Measurements of the energy deposited by beamstrahlung pairs in the BeamCal, together with measurement of the beamstrahlung photons in another, downstream calorimeter, the GamCal, allow a bunch-by-bunch luminosity measurement and intra-train luminosity optimization, by providing information to the beam delivery feedback system. In addition, beam parameters can be determined by analyzing the shapes of the observed energy depositions. Since both calorimeters must be read out after each bunch-crossing, the development of a fast readout electronics with adequate resolution is necessary. The high occupancy requires

a high bandwidth data transmission and processing system. The absorbed radiation dose is up to 10 MGy per year for the sensors near the beampipe and changes rapidly, depending on the position, the beam parameters, and the magnetic field in the detector. Novel sensors have to be developed whose response is independent of the absorbed dose.

## 2.6 OTHER DETECTOR CHALLENGES

There are many other detector subsystems which challenge experimental ingenuity and require R&D before final detector designs can be put in place. Systems at the machine-detector interface, like polarimeters and beam energy spectrometers, need continued development to reach the new levels of systematic understanding required for precision measurements at the ILC. Beam energy must be measured to the 100 ppm level to achieve the desired accuracy in threshold energy scans and mass measurements. Polarization must be measured to the 1000 ppm level for precision measurements at the Z, and the 2000 ppm level for measurements at higher energies. These requirements are beyond today's state of the art.

The detectors planned for the ILC all use high field superconducting solenoids, with designs based loosely on the recent success of the 4 Tesla CMS coil. Additional research and development is needed to refine the designs, develop new conductors, and accommodate the requirements of field uniformity imposed by the tracking. Preserving emittance for the beams as they pass through the solenoidal field at finite crossing angles, and minimizing pair disruption for the exiting beams, requires the addition of a small dipole component to the solenoidal field, called the Dipole in Detector (or DID, or anti-DID). The DID needs design, as does the compensation solenoid.

More traditional systems, like that used for muon identification, must be adapted to the particular problems posed by the ILC, e.g., handling the flux of background muons produced in upstream beam collimation, and providing tail catching for hadron showers which originate in the HCAL and Solenoid. Providing robust, reliable, and economical muon tracking coverage over very large areas remains a significant challenge.

Particle Identification, other than that for electrons and muons, has received modest attention in the context of ILC detectors. If there is appreciable running at the Z,  $\pi/K/p$ identification will be important for flavor tagging and charm and B reconstructions. With the exception of the  $dE/dx$  capabilities of TPCs, and some discussion of time-of-flight measurements, specialized PID detectors have been largely ignored. The challenge here is to understand the physics motivation for PID in the high energy ILC environment.

Detector system integration presents significant challenges, and demands serious engineering and design. ILC detectors must support the final quadrupoles and the fragile beampipe with its massive masking, stably, adjustably, and without vibration. The detectors themselves may be required to move on and off beamline rapidly and reproducibly, and maintain or monitor inter-system alignments at the few micron level. The various components of the detector must be integrated in a way that allows assembly, access, repair, calibration, and alignment, and that doesn't compromise performance or solid angle coverage. These very real challenges lie ahead.

# 2.7 CONCLUSIONS

To fully exploit the physics opportunities presented at the ILC requires a detector with capabilities far beyond the detectors at LEP or LHC. The ILC machine environment, although not without its own challenges, admits detector designs of much higher performance than the detectors planned for the LHC, with much better jet energy resolution, tracker momentum resolution, and vertex detector impact parameter resolution. This increased performance is needed at the ILC, to make precision measurements of masses and branching fractions, distinguish final state quanta, extract low cross-section signals, see new phenomena, and exploit the delivered luminosity as well as possible. Detector research and development is needed to realize these advances. Activities have been ongoing for a couple of years, and big advances have already been achieved; it must be expanded and accelerated in order to prepare believable ILC detector designs and costs in time for the ILC machine.

# CHALLENGES FOR DETECTOR DESIGN AND TECHNOLOGY

# CHAPTER 3 Detector Concepts

Four ILC detector concepts have emerged in the last few years. All four designs strive to provide highly efficient tracking, charged particle momentum resolution  $\delta p/p^2 \approx 5 \times 10^{-5}$ , dijet mass resolution at the 3% level, excellent heavy quark identification capability, and full and hermetic solid angle coverage. Three of the concepts use traditional solenoidal magnet designs and adopt the particle flow calorimetry strategy, where highly segmented electromagnetic and hadronic calorimeters allow separation of the energy deposited by charged tracks, photons, and neutral hadrons. The technical realizations of these three concepts differ, however, and utilize complementary subdetector technologies. High granularity and excellent spatial shower reconstruction are at the center of attention for these concepts. The fourth concept stresses excellent energy resolution, relies less on spatial resolution, and utilizes a novel dual readout scheme to allow efficient software compensation.

In this chapter brief reviews of the rationales and main characteristics of each of the different concepts are given. More details may be found in the respective Detector Outline Documents [\[4,](#page-196-6) [5,](#page-196-7) [6,](#page-196-8) [7\]](#page-196-9). Few technical details are discussed in this chapter; for these the reader is referred to chapter [5](#page-90-0) on subdetector technologies.

The need to extract the maximum information from ILC events dictates a few design characteristics which are shared by all the detector concepts. All four concepts utilize similar pixellated vertex detectors, which provide high precision vertex reconstruction and serve as powerful tracking detectors in their own right. All four concepts have sophisticated tracking systems, which have been optimized for high track reconstruction efficiency and excellent momentum resolution. Since much of the physics relies on high quality calorimetry, all the concepts have chosen to arrange the calorimeters inside the coil. All the concepts have also incorporated high field solenoids, ranging between 3 and 5 Tesla, to insure excellent momentum resolution and help disperse charged energy in the calorimeters, and all have relied on the recent success of the CMS solenoid to give their magnet designs credibility.

## 3.1 THE SID CONCEPT

The SiD concept utilizes silicon tracking and a silicon-tungsten sampling calorimeter, complemented by a powerful pixel vertex detector, finely segmented hadronic calorimeter, and a muon system. Silicon detectors are fast and robust, and they can be finely segmented. Since silicon sensors are fast, most SiD systems will only record backgrounds from the single bunch crossing accompanying a physics event, maximizing event cleanliness. Since silicon detectors

#### DETECTOR CONCEPTS



<span id="page-53-0"></span>FIGURE 3.1. Illustration of a quadrant of SiD. The scale shown is in meters.

are tolerant of background mishaps from the machine, the vertex detector, the tracker, and the calorimeter can all absorb significant radiation bursts without 'tripping' or sustaining damage, thereby maximizing running efficiency.

The SiD concept recognizes the fundamental importance of calorimetry for ILC physics, and adopts a strategy based on Particle Flow Calorimetry. This leads naturally to the choice of a highly pixellated silicon-tungsten electromagnetic calorimeter, and a multi-layered, highly segmented hadron calorimeter. Achieving excellent jet energy resolution requires both the calorimeters to be located within the solenoid. Since a high granularity silicon-tungsten calorimeter is expensive, as is a large solenoid, cost considerations push the design to be as compact as possible, with the minimum possible radius and length. The use of a high field solenoid (5 Tesla) compensates for the reduced radius by improving the separation of charged and neutral particles in the calorimeters. Given the high field, an all-silicon tracker, with its high intrinsic resolution, can provide superb charged particle momentum resolution, despite the limited real estate. The high field also constrains  $e^+e^-$ -pair backgrounds to minimal radius, and so allows a beam-pipe of minimal radius for high performance vertex detection.

The SiD Starting Point is illustrated in Figure [3.1.](#page-53-0) The overall SiD design, its performance, and technology options are described in more detail in Ref. [\[6\]](#page-196-8).

The SiD baseline detector has the following components, moving from small to large radii:

• The vertex tracker has five barrel layers of pixel detectors augmented with four endcap layers on each side, beginning at a radius of 1.4 cm and extending to 6.1 cm. The endcap design insures excellent pattern recognition capability and impact parameter resolution over the full solid angle.

- The main tracking system consists of five layers of silicon microstrip sensors, which tile low mass carbon fiber/rohrcell cylinders and endcap planes. The baseline design calls for axial-only measurements in the barrels, and stereo measurements on each endcap. Individual layers are only 0.8  $\%$   $X_0$  thick, including sensors, readout ASICs, and cables.
- The electromagnetic calorimeter (ECAL) begins at a radius of 1.27 m and consists of 30 alternating layers of silicon pixel sensors and tungsten absorber. The pixel area is about 14 mm<sup>2</sup>; roughly 1000 pixels on each sensor are read out with an ASIC chip. Care is taken to minimize the gap between absorbers in order to preserve a small Moliere radius. The device is 29  $X_0$  deep.
- The hadronic calorimeter (HCAL) follows the ECAL, beginning at a radius of 1.41 m. The SiD baseline calls for roughly 40 layers of Fe absorber, with highly pixellated (1 cm<sup>2</sup> ) RPCs for readout between absorber layers. Scintillator, GEM, and Micromegas detectors are also being considered. The device is 4 interaction lengths deep.
- The 5 Tesla solenoid is based on the CMS design, with inner (outer) radius of 2.50 (3.30) m. The high field helps disperse particles entering the calorimeters, provides high momentum resolution in the tracker, and constrains the pair background produced to small radii.
- The flux return and muon system begins at a radius of 3.33 m, and extends to 6.45 m. Iron plates about 10 cm thick make up the flux return, and not all the gaps are instrumented. Both RPCs and scintillator strips are being considered as technology options.
- Forward systems aren't shown in the diagram, but consist of a luminosity calorimeter, and a beamcal, to catch very forward produced pairs. A gamcal, which helps with the instantaneous luminosity measurement, is designed to measure beamstrahlung photons downstream of the detector.

# 3.1.1 Integrated Tracking

The tracking system in SiD is to be regarded as an integrated system, incorporating the vertex detector, the central tracker, and the electromagnetic calorimeter.

The vertex detector plays a key role in track pattern recognition. Most tracks are first found in the vertex detector and then extrapolated into the central tracker, where they pick up the additional hits needed to measure their curvature accurately. This procedure misses roughly 5 % of tracks, because they result from neutral decays outside the vertex detector proper. Those originating from within the second layer of the central tracker, are reconstructed by a stand-alone central tracking algorithm. Tracks produced by decays beyond the second layer of the central tracker, but within the ECAL, are captured with a calorimeterassisted tracking algorithm. This algorithm uses the track entry points and directions as measured in the electromagnetic calorimeter to provide seeds for extrapolation back into the tracker. Altogether, the track pattern recognition efficiency is very high, even in the presence of backgrounds. Realistic simulation studies are underway to aid in optimizing the final tracking system design. Studies of calorimeter-assisted tracking are not yet fully



<span id="page-55-0"></span>FIGURE 3.2. Mechanical concept for supporting the SiD vertex detector barrel and endcaps, tracker forward disks, and the beam pipe

developed, but have already demonstrated that 60% of pions from  $K_s^0$  decays at the Z can be reconstructed using a barrel-only algorithm. The momentum resolution of the combined system is excellent, with  $\sigma_p/p^2 < 2 \times 10^{-5}$  GeV<sup>-1</sup> at high momentum.

Mechanical designs for the vertex detector and the central tracker have been developed. Figure [3.2](#page-55-0) shows the vertex detector and forward tracker, and half the central tracker. A double-walled carbon fiber cylinder supports the vertex detector, forward disks of the central tracker, and the beampipe. Services are located at the ends of the barrels, and cooling is provided by forced convection with dry air. This is adequate because individual sensor modules are readout with a bump-bonded ASIC chip (described below), which is powerpulsed "on" only during the bunch train.

## 3.1.2 Electromagnetic Calorimetry

The SiD ECAL consists of alternating layers of tungsten radiator and large-area silicon diode detectors. The design minimizes the effective Moliere radius by packing  $300 \mu$  m thick silicon sensors into 1 mm gaps between tungsten plates. Longitudinally, the ECAL consists of 30 alternating layers of tungsten and silicon. The first 20 layers of tungsten each have a thickness of 2.7 mm; the last 10 layers have double this thickness, making a total depth of about 29 radiation lengths at normal incidence. This results in an energy resolution of  $17\%/\sqrt{E(\text{GeV})}$ . The inner radius (length) of the barrel is kept relatively small 127 (359) cm, to minimize the required area of silicon needed. The endcaps are located inside the barrel and start at a distance of 168 cm from the interaction point.

Figure [3.3](#page-56-0) is a diagram of a single channel of the 1024-channel ASIC readout chip, called KPiX, indicating its functional features. KPiX has a 1:2500 dynamic range to accommodate the tremendous range in energy densities between MIPs and the cores of very high energy EM showers. The calculated noise level is about 1000 e's, to be compared with the MIP signal charge 25 times larger. The chip can store four hits (times and pulse heights) per bunch



<span id="page-56-0"></span>FIGURE 3.3. Functional diagram of one channel (of 1024) of the KPiX chip. The silicon detector pixel is indicated by the diode and capacitor at left.

train for each pixel. The chip, a modification of which is adapted to reading out the tracker microstrip sensors, is power-pulsed. The chip has been prototyped, and is in the final debug stages prior to a full submission.

The HCAL is a sandwich of absorber plates and detector elements. The SiD starting point uses steel for the absorber and resistive plate chambers (RPCs) as the detector. One of the criteria for the HCAL is to minimize the gaps between absorber plates, because an increase in the gap size has a large impact on the overall detector cost. The current gap size is 12mm. To satisfy the stringent imaging requirements of the PFA algorithm, the transverse segmentation is required to be as small as 1 to a few  $\text{cm}^2$ , and every layer is read out separately. The absorber consists of steel plates with a thickness of 20 mm (approximately 1.1  $X_0$ ). The cell structure, which is the same for the barrel and the endcaps, is repeated 34 times, leading to an overall depth of the HCAL corresponding to four interaction lengths. Tungsten is also being considered for the absorber. Several detector options are under consideration. Glass RPCs have been shown to be reliable and highly efficient. The development of economical large area GEM foils and Micromegas are making these approaches viable as well. Scintillating tiles, readout with silicon photomultipliers, are another option.

# 3.1.3 Solenoid and Flux Return

The SiD concept incorporates a large 5 Tesla superconducting solenoid which provides a clear bore 5.0 m in diameter by 5.6 m long. An iron flux-return system limits the fringe field of the solenoid and provides absorber for muon identification and tracking. The CMS solenoid, now operational, provides a substantial proof-of-concept for the SiD solenoid. Although providing 20% lower field than the SiD solenoid, the CMS solenoid is physically larger and stores 2.6 Giga-Joules (GJ) magnetic energy vs. 1.4 GJ stored by the SiD solenoid. The ratio

of operating current to critical current is comparable to that in CMS, and the ratio of stored energy to cold mass is lower in SiD than CMS. A detailed finite-element model study has indicated that the realization of the SiD solenoid is not less credible than that of CMS. A detailed design is still required.

The conceptual design for the flux return includes an iron yoke, consisting of an octagonal central barrel and endcaps of steel plates 10 cm thick, with 5 cm gaps for muon chambers. A total of 23 layers of steel was chosen for both the barrel and the endcaps to adequately shield the region external to the detector from stray magnetic field.

# 3.1.4 Muon System

The SiD muon system is designed to identify muons from the interaction point with high efficiency and to reject almost all hadrons (primarily pions and kaons). The muon detectors will be inserted in the gaps between the iron plates which comprise the flux return. It is unlikely that all gaps will be instrumented.

Present studies indicate that a resolution of 1-2 cm is more than adequate. This can be achieved by both of the technologies under consideration (see below). Simulations show that the muon identification efficiency is greater than  $96\%$  above a momentum of 4 GeV/c. Muon purity approaches 90%. Muons perpendicular to the  $e^+e^-$  beamline reach the SiD muon system when their momentum exceeds  $\approx 3 \text{GeV/c}$ .

Two technologies are under consideration for the muon system: scintillator strips and RPCs. As the MINOS experiment has already proved, a strip-scintillator detector works well for identifying muons and for measuring hadronic energy in neutrino interactions. RPCs have often been used as muon detectors (BaBar and BELLE) and will be used in both LHC experiments. The major concern with RPCs are their aging characteristics (BaBar was forced to replace its original RPCs and BELLE had startup problems). However, significant progress has been made in recent years in understanding aging mechanisms, and recent RPC installations have performed reliably.

## 3.1.5 Forward Calorimeters

The forward region is defined as polar angles  $\cos \theta > 0.99$  ( $\theta < 140$  mrad), i.e. angles below the coverage of the SiD Endcap ECAL. The physics missions in this region are the precision measurement of the luminosity using forward Bhabha pairs (LumCal), the measurement of the bunch-by-bunch luminosity and bunch diagnostics using the beamstrahlung gammas and pairs (GamCal and BeamCal, respectively), and the extension of calorimeter coverage into the very forward region to provide full hermeticity for physics searches.

The BeamCal is a highly segmented Si-W electromagnetic calorimeter located on the front face of the final focus quadrupole magnets which covers the region 3 mrad to 20 mrad. These calorimeters will have to be supported by the forward support tube, and employ detectors with exceptional radiation hardness.

#### 3.1.6 Machine Detector Interface

SiD has explored IR Hall design, and developed a detector footprint, preliminary assembly procedures, and access strategies. The total pit area required for SiD's on-beamline configuration is 20 m transverse to the beam, and 2 m along it. This footprint allows for detector

access, which is accomplished by moving the endcap away from the central detector along the beam line, and self-shielding, with the use of a beamline absorber plug. Assembly offbeamline in an underground pit requires an IR hall 48 m transverse to the beam and 28 m along the beam. A Hall height of 33m accommodates the needed assembly space and room for the crane and lifting fixtures.

#### 3.1.7 Conclusions and Future Plans

The principal goal of the SiD Design Study has been, and remains, to design a detector optimized for studies of 0.5-1.0 TeV  $e^+e^-$  collisions, which is rationally constrained by costs, and which utilizes Si/W electromagnetic calorimetry and all silicon tracking. So far, the conceptual mechanical design of the SiD Starting Point has been developed and captured in a full Geant4 description of SiD. SiD's physics performance has been simulated, costs estimated, and work begun on the needed detector technologies.

The next step involves moving beyond the SiD Starting Point, evolving toward an optimized detector design. The simulation and costing tools needed for this process are now largely in place. SiD will study integrated physics performance and cost vs variations in B field strength, ECAL inner radius, ECAL length, and HCAL depth. SiD also plans to optimize subsystem parameters, proceed with conceptual engineering designs for all subsystems, benchmark integrated detector performance on key physics measurements, and select favored subsystem technologies.

# 3.2 THE LDC (LARGE DETECTOR) CONCEPT

The LDC detector starts from two basic assumptions on how the physics at the ILC should be dealt with: a precision, highly redundant and reliable tracking system, and particle flow as a means to do complete event reconstruction. This sets the stage for the overall layout of the detector, which consists of a large volume tracker, and highly granular electromagnetic and hadronic calorimeters, all inside a large volume magnetic field of up to 4 Tesla, completed by a precision muon system which covers nearly the complete solid angle outside the coil. A detailed description of the LDC detector may be found in Ref. [\[5\]](#page-196-7). A view of the simulated detector is shown in Figure [3.4.](#page-59-0)

The tracker has as its central component a Time Projection Chamber (TPC) which provides up to 200 precise measurements along the track of a charged particle. This is supplemented by a sophisticated system of Si-based tracking detectors, which provide additional points inside and outside of the TPC, and which significantly extend the angular coverage of the TPC to very small angles. A vertex detector, also realized in Si technology, gives unprecedented precision in the reconstruction of long lived particles like b− or c−hadrons.

Tracking in the high multiplicity environment at the ILC poses significant challenges, if the requirement of close to 100% efficiency over a large momentum range and large solid angle is to be reached. For a number of physics channels, excellent momentum resolution is of utmost importance, and has large impact on the overall design of the system. The combination of a precision TPC with a small number of Si-detector layers has been chosen because of its potential for excellent performance and great robustness of the detector.

Over the past few years the concept of particle flow has become widely accepted as the best method to reconstruct events at the ILC. Particle flow aims at reconstructing every



<span id="page-59-0"></span>FIGURE 3.4. View of the LDC detector concept, as simulated with the MOKKA simulation package.

particle in the event, both charged and neutral ones. This pushes the detector design in a direction where the separation of particles is more important than the precise measurement of its parameters. In particular in the calorimeter, the spatial reconstruction of individual particles takes precedence over the measurement of their energy with great precision. Because of this the proposed calorimeters - both electromagnetic and hadronic - are characterised by very fine granularity, both transversely and longitudinally while sacrificing somewhat the energy resolution. The concept of particle flow in addition requires a detection of charged particles with high efficiency in the tracker. Thus the overall design of the detector needs to be optimised in the direction of efficient detection of charged particles, and a good measurement of the neutral particles through the calorimeters.

In more detail the proposed LDC detector has the following components:

- A five layer pixel-vertex detector (VTX). To minimise the occupancy of the innermost layer, it is only half as long as the outer four. The detector, the technology of which has not yet been decided, is optimised for excellent point resolution and minimum material thickness;
- a system of Si strip and pixel detectors beyond the VTX detector. In the barrel region two layers of Si strip detectors (SIT) are arranged to bridge the gap between the VTX and the TPC. In the forward region a system (FTD) of Si pixels and Si strip detectors cover disks to provide tracking coverage to small polar angles;
- a large volume time projection chamber (TPC) with up to 200 points per track. The TPC has been optimized for excellent 3D point resolution and minimum material in the field cage and in the endplate;
- a system of "linking" detectors behind the endplate of the TPC (ETD) and in between

the TPC outer radius and the ECAL inner radius (SET). Silicon strip technology is investigated as a prime candidate for both detectors, but other technologies are explored as well. A solution where the detector is closely integrated with the ECAL is favoured, especially in the barrel;

- a granular Si-W electromagnetic calorimeter (ECAL) providing up to 30 samples radially, with a transverse segmentation of  $0.55 \times 0.55$  cm<sup>2</sup> throughout;
- a granular Fe-scintillator hadronic calorimeter (HCAL) with up to 40 samples longitudinally, and a cell size of  $3 \times 3$  cm<sup>2</sup> for the inner layers. The option of a gas hadronic calorimeter which would allow much finer segmentation, but would use only binary readout of each cell, is also being considered;
- a system of high precision extremely radiation hard calorimetric detectors in the very forward region, to measure luminosity and to monitor the quality of the collision (LumiCAL, BCAL, LHCAL);
- a large volume superconducting coil, creating a longitudinal B-field of nominally 4 Tesla;
- an instrumented iron return yoke, which returns the magnetic flux of the magnet, and at the same time serves as a muon detector by interspersing a number of layers of tracking detectors among the iron plates;
- a sophisticated data acquisition system which enables the monitoring of the electronpositron collisions without an external trigger, to maximize the sensitivity to physics signals and possible discoveries.

Altogether the detector has a total height of around 14 m and a length of 20 m. It will feature around  $10^9$  electronic channels, needed to record every detail of the collision. It is expected that a collaboration similar in size to the one currently constructing the LHC detectors will be needed to build and later operate this detector. A schematic view of one quarter of this detector is shown in Figure [3.5.](#page-61-0)

## 3.2.1 The Tracking System

The tracking system for LDC is designed to provide redundant pattern recognition capability and excellent momentum resolution over the full solid angle, including sufficient momentum resolution in the far forward direction to distinguish the charges of high momentum particles. The design seeks to minimize material, so as to minimize interference with the measurement of electrons and photons in the calorimeters. It incorporates a high precision vertex detector to provide very good bottom and charm tagging capabilities, and to measure the full vertex topology in physics events.

The complete tracking system is immersed in a 4 T solenoidal magnetic field, aligned with the z-axis. For the proposed 14 mrad crossing angle, a small dipole field (Dipole in Detector, or DID, or anti-DID) may be superimposed on the main B-field to maintain the beam's emittance as it passes through the detector field to the interaction point. This is discussed in more detail in Chapter [4,](#page-78-0) "The Machine Detector Interface".

The tracking system includes several distinct sub-detector systems. The high precision pixel vertex detector surrounds the interaction point. It consists of five concentric layers with radii between 1.55 cm for the innermost layer and 6.00 cm for the outermost layer. This

#### DETECTOR CONCEPTS



<span id="page-61-0"></span>FIGURE 3.5. left: enlarged side-view of the vertex detector; right: 1/4 view of the LDC detector concept.

detector provides excellent point resolution. Its material budget has been minimized in order to optimize the impact parameter resolution over the widest possible solid angle.

Moving outward, there is a system of Si-strip and pixel detectors which provide excellent linkage between tracks measured in the TPC and those in the vertex detector, and which extend coverage to very forward angles. Two concentric Si strip detector layers are arranged outside the vertex detector, in the barrel region, and six disks, the first two of which are implemented as pixel detectors, cover the forward region.

A large TPC provides robust pattern recognition, even in complicated, background-laden events, and excellent momentum resolution. Up to 200 three dimensional space points are measured per track, with point resolutions in the  $r - \phi$  plane of 100  $\mu$ m or better. The chamber is readout with GEMs or Micromegas, which reduce positive ion feedback, reduce  $E \times B$  effects, and improve position resolution compared to traditional wire chamber readouts. The endplate thickness is minimized to reduce its impact on the calorimetric measurements which follow. An additional chamber is located behind the TPC endplate, to provide a space point between the TPC and the ECAL endcap system. This can serve to improve the polar angle definition of forward going tracks, and improve linkage between the TPC and the endcap. Several technology options for the chamber are being considered. A layer of Si strip detectors in the barrel region outside of the TPC is being considered as an upgrade option. Such a layer would provide additional calibration points, improve the overall momentum resolution, and help improve linkage between the TPC and the barrel ECAL system.

## 3.2.2 The Calorimeter System

Proper identification on an event-by-event basis of the hadronic decays of W, Z, and possibly of the H bosons, is required to maximize the physics output of the Linear Collider. Precision

measurements at the  $3\%$  level of the mass of pairs of hadronic jets  $(30\%/\sqrt(E)$  at jet energies up to approx. 100 GeV, 3% for higher energy jets) are needed to fully exploit the physics potential of the machine. The key to this unprecedented mass resolution is the individual measurement of the energy of all particles in a jet. The contribution of charged particles, which on average make up 65% of a jet's energy, is measured with the tracking system. Neutral energy, i.e. that from photons and neutral hadrons, is measured with the calorimeters. The energy deposited in the calorimeters by the charged particles must be distinguished and isolated from the neutral energy depositions. This approach, often referred to as the "particle flow" method (PFA), drives the concept of calorimetry for the LDC.

The calorimeter is divided in depth into an electromagnetic section, optimized for the measurement of photons and electrons, and a hadronic section for measurement of hadronic showers. The two parts are installed inside the coil to avoid energy losses in any inactive material in front of the calorimeters.

To optimize the separation of showers from photons and hadrons the electromagnetic part uses tungsten (or lead) as absorber material, providing a large ratio of interaction to radiation lengths and a small Molière radius, interleaved with layers of Si detectors. In order to maintain the smallest possible Molière radius, the detector and readout must be accommodated in a small gap between tungsten layers. Gap sizes between 2 and 3 mm are being considered. Silicon diodes, with  $5.5 \times 5.5$  mm<sup>2</sup> readout cells, roughly one third of the Molière radius, provide very fine readout segmentation. To reach an adequate energy resolution (which impacts also position and angular resolution) with an acceptable polar angle dependence, the following sampling is under study: 12 radiation lengths are filled with 20 layers of  $0.6X_0$  thick tungsten absorbers  $(2.1 \text{ mm})$  and another 11 radiation lengths are made out of 9 layers of tungsten  $1.2X_0$  thick. The calorimeter starts with an active layer. Overall, the electromagnetic calorimeter is divided into a cylindrical barrel and two endcaps.

For the hadronic part, the emphasis is as well on small readout cells, to provide the best possible separation of energy deposits from neutral and charged hadrons. The single particle resolution needs to be adequate, but is not the driving design criterion. Two options are currently under study and a technology choice will be based on the results of extensive beam tests. The first uses scintillator cells with roughly  $3 \times 3$ cm<sup>2</sup> granularity and multi-bit (or analogue) readout. The second is based on gaseous detectors and uses even finer granularity, perhaps  $1 \times 1$ cm<sup>2</sup>. Due to the large number of cells, in the second case single-bit (or digital) readout is sufficient. In both cases the absorber material is iron (stainless steel). However, the use of tungsten or brass in the hadronic section is also under study.

The HCAL is arranged in 2 cylindrical half barrels and two end caps. The barrel HCAL fills the magnetic field volume between the ECAL and the cryostat within  $180 < r < 290$ cm. In the magnetic field direction the barrel extends from  $-220 < z < 220$  cm. The end caps close the barrel on either side in order to fully cover the solid angle. The gap between the barrel and the end cap is needed for support and for cables from the inner detectors, and for the readout data concentration electronics of the barrel HCAL itself. Care has been taken to maximize the absorber material in the space available, so that the probability for leakage is minimized. Even though the muon system will act as a tail catcher, the uninstrumented material associated with the cryostat and the coil  $(1.6 \lambda)$  thick) severely limits its energy resolution. Each HCAL half barrel is subdivided into 16 modules, each of the end caps into 4 modules. Two HCAL modules together form an octant, and support the ECAL modules in this azimuthal range.

Three calorimeters are planned in the very forward region of the detector: The BeamCal,

which is adjacent to the beam pipe, and the LumCal, which covers larger polar angles, are electromagnetic calorimeters. The LHCAL is a hadron calorimeter covering almost the same angular range as the LumCal. These calorimeters will have several functions. All of them improve the hermeticity of the detector, which is important for new particle searches and jet energy resolution, and they help to shield the central detectors from backscattered particles.

Due to their large charge and small size, the crossing bunches generate a significant amount of beamstrahlung. Beamstrahlung photons which interact with photons or electrons or positrons from the opposing beam, can convert to electron-positron pairs. These pairs, in turn, are deflected by the electromagnetic fields of the passing bunch, and deposit much of their energy on the BeamCal. The pattern of this energy deposition provides information to the beam delivery feedback system which is useful in optimizing the luminosity, bunch by bunch.

The LumiCal is the luminometer of the detector. From the physics program an accuracy of the luminosity measurement of better that 10−<sup>3</sup> is required. Small angle Bhabha scattering will be used for this measurement.

#### 3.2.3 The Solenoidal Magnet

The tracker and the complete calorimeter in the LDC are contained within a solenoidal coil, which produces a field of up to 4 Tesla. Except for the its length and required field homogeneity, the LDC magnet parameters are very similar to those for the CMS magnet, which has now operated successfully.

The magnet system consists of the superconducting coil, a solenoid made of five modules which includes correction coils, and an iron yoke, composed of the central barrel yoke and two end cap yokes. Preliminary calculations show that a total coil length of about 7 m and an iron thickness of about 2.5 m were good compromises to obtain the requested field parameters. The required field homogeneity can only be obtained if special correction devices are introduced. They are incorporated into the main windings of the coil, by adding an extra current in appropriate locations of the windings.

#### 3.2.4 The Muon System

Lepton identification is one of the prerequisites for ILC experimentation: identifying leptons and their charge will be used, for instance, to tag flavour and decay chains of heavy quarks, to charge-tag gauge bosons, and to tag various SUSY particle decays. Lepton tagging can be also used to flag the presence of neutrinos in the underlying event, thus signaling missing energy. The energy range one has to cover for lepton identification is quite large, spanning from a few GeV up to hundreds of GeV. The electromagnetic calorimeter, in conjunction with the charged particle tracking and dE/dx, will identify electrons. Particles which have penetrated the calorimeters, solenoidal coil, and iron flux return without interacting are identified as muons.

The muon identification system, the outermost device of the experimental apparatus, uses the iron of the flux return as absorber, with the gaps between the iron slabs instrumented with detectors. Several detector technologies are being considered. Resistive plate chambers have been successfully used in previous experiments and are also considered for the LDC detector. The choice is driven by the need for reliable, sturdy and inexpensive devices, as the area to cover is quite big and once installed, replacing detectors would be both time-consuming and

difficult. An active layer is placed right behind the coil, so that the system can also be used as a tail catcher for highly energetic showers which leak out of the back of the calorimeter.

## 3.2.5 Data Acquisition

The LDC detector has been designed without a traditional trigger system. Each bunch crossing of the accelerator is recorded. A selection of events is only performed by a software trigger. This ensures a very high efficiency and sensitivity to any type of new physics but at the same time puts fairly stringent requirements on the frontend electronics of each subdetector. However the rather clean events, low levels of background, and relatively low event rate allow one to pursue such a design.

The data acquisition system would provide a dead time free pipeline of 1 ms, the time required for one pulse train from the ILC, and be ready for another train within 200 ms, the nominal time between trains. Event selection would proceed in software. The high granularity of the detector and the 2820 collisions in 1 ms still require a substantial bandwidth to read the data in time before the next bunch train. To achieve this, the detector front end readout will provide zero suppression and data compression as much as possible. Due to the high granularity it is mandatory to multiplex many channels into a few optic fibers to avoid a large number of readout cables. Such multiplexing will also reduce dead material and gaps in the detector as much as possible.

The data of the full detector will be read out via an event building network for all bunch crossings in one train. After the readout the data of a complete train will be situated in a single PC. The event selection will be performed on this PC based on the full event information and bunches of interest will be defined. The data of these bunches of interest will then be stored for further physics analysis as well as for calibration, cross checks and detector monitoring.

The machine operation parameters and beam conditions are vital input for the high precision physics analysis and will therefore be needed alongside the detector data. Since the amount of data and time structure of this data is similar to that from the detector, a common data acquisition system and data storage model is envisaged.

To ensure the smooth functioning of this concept a well-calibrated detector is important. Strategies for a fast online calibration of key detector elements will be needed, and will have to be developed over the next few years.

The hardware for the data acquisition should be defined as late as possible, to profit from the latest industrial developments. It will rely heavily on commodity hardware, and avoid custom developments wherever possible. Even so the development, the building and the commissioning of the data acquisition system will present a significant challenge for LDC.

#### 3.2.6 Conclusion

The LDC design is an example of a detector optimized for the particular physics at the ILC. Particle flow, with the rather unique requirements it puts on detector design, has been one of the driving forces of the conceptual layout. The conceptual design has reached a rather mature state, and has not changed significantly since first published in 2001 [\[1\]](#page-196-5). Over the past few years significant progress however has been made in the transfer of the conceptional design state into a real, technically understood design.

#### 3.3 THE GLD CONCEPT

The physics to be studied at the International Linear Collider (ILC) encompasses a wide variety of processes over the energy region from  $\sqrt{s} \approx M_Z$  to 1 TeV[\[1,](#page-196-5) [2,](#page-196-10) [3\]](#page-196-11). Key ILC physics processes include production of gauge bosons  $(W \text{ or } Z)$ , heavy flavor quarks (b and c), and/or leptons  $(e, \mu, \tau)$ , either as direct products of  $e^+e^-$  collisions or as decay daughters of heavy particles (SUSY particles, Higgs boson, top quark, etc.). For these studies, it is essential to reconstruct events at the level of the fundamental quanta, the quarks, leptons, and gauge bosons. The detectors at the ILC must identify them efficiently, and measure their fourmomenta precisely. In order to satisfy these requirements, the detector must have superb jet energy resolution  $(\Delta E_j/E_j = 30\% / \sqrt{E_j(GeV)})$ , efficient jet flavor identification, excellent charged-particle momentum resolution  $(\Delta p_t/p_t^2 \leq 5 \times 10^{-5} (\text{GeV/c})^{-1})$ , and hermetic coverage to veto 2-photon background processes.

The GLD detector concept has been developed in order to meet these requirements. It is based on a large gaseous tracker and highly segmented calorimeter placed within a large bore solenoidal field with a 3 Tesla magnetic field. A detailed description of the design of GLD can be found in Ref. [\[4\]](#page-196-6).

The basic design of GLD incorporates a calorimeter with fine segmentation and large inner radius to optimize it for particle flow. Charged tracks are measured in a large gaseous tracker, a Time Projection Chamber (TPC), with excellent momentum resolution. The TPC reconstructs tracks with high efficiency, even those from decaying particles, such as  $K^0$ ,  $\Lambda$ , and new unknown long-lived particles, and allows efficient matching between tracks and hit clusters in the calorimeter. The solenoid magnet is located outside the calorimeter, so as not to degrade energy resolution. Because the detector volume is huge, a moderate magnetic field of 3 Tesla has been chosen.

Figure [3.6](#page-66-0) shows a schematic view of two different quadrants of the baseline design of GLD. The inner and forward detectors are schematically shown in Figure [3.7.](#page-67-0) The baseline design has the following sub-detectors:

- A Time Projection Chamber (TPC) as a large gaseous central tracker;
- A highly segmented electromagnetic calorimeter (ECAL) placed at large radius and based on a tungsten-scintillator sandwich structure;
- A highly segmented hadron calorimeter (HCAL) with a lead-scintillator sandwich structure and radial thickness of  $\sim$  6λ;
- Forward electromagnetic calorimeters (FCAL and BCAL) which extend solid angle coverage down to very forward angles;
- A precision silicon micro-vertex detector(VTX);
- Silicon inner (SIT) and endcap(ET) trackers;
- A beam profile monitor (BCAL) in front of the final quadrupoles;
- A muon detector interleaved with the iron plates of the return yoke; and
- A solenoidal magnet to generate the 3 Tesla magnetic field.



<span id="page-66-0"></span>FIGURE 3.6. Schematic view of two different quadrants of the GLD Detector. The left figure shows the  $r\phi$  view and the right shows the rz view. Dimensions are given in meters. The vertex detector and the silicon inner tracker are not shown here.

The iron return yoke and barrel calorimeters are 12-sided polygons, and the outer edge of the HCAL is a 24-sided polygon in order to reduce any unnecessary gaps between the muon system and the solenoid, the HCAL and the solenoid, and the TPC and ECAL.

In addition to the baseline configuration, GLD is considering adding silicon tracking between the TPC and the ECAL in the barrel region to improve the momentum resolution further, and TOF counters in front of the ECAL to improve the particle identification capability.

#### 3.3.1 Vertex Detector

The inner radius of the vertex detector is 20 mm and the outer radius is 50 mm. It consists of three doublet layers, where each doublet comprises two sensor layers separated by 2 mm.

In the baseline design, fine pixel CCDs (FPCCDs) serve as the sensors for the vertex detector. The FPCCD is a fully depleted CCD with a pixel size of order  $5 \times 5 \ \mu \text{m}^2$ . Signals integrated during the entire ILC beam train of about 1 msec are stored in the pixels and read out during the 200 ms between trains.

In the FPCCD option, the pixel occupancy is expected to be less than 0.5% for the inner most layer ( $R=20$  mm, assuming  $B=3$  T and the ILC nominal machine parameters [\[32\]](#page-198-2)). The hit density is, however, as high as  $40/\text{mm}^2$ . Coincidences between the two sensors in a doublet layer help to determine the track vectors locally and discriminate against these background hits. Signal shape is also taken into account to reduce the number of background hits during the track reconstruction.

Track reconstruction errors are minimized by using very thin sensors (much less than



<span id="page-67-0"></span>FIGURE 3.7. Schematic view of the inner and forward detectors of GLD. The horizontal scale and the vertical scales are not the same, as indicated in the middle of the figure.

100  $\mu$ m). This puts special importance on the R&D effort on wafer thinning. The fabrication of the small pixel sensors is also being pursued.

#### 3.3.2 Silicon Trackers

The silicon trackers of GLD consist of the silicon inner tracker, the silicon forward tracker and the silicon endcap tracker.

The silicon inner tracker is located between the vertex detector and the TPC. It consists of the barrel inner tracker (BIT) and the forward inner tracker (FIT). The BIT consists of four layers of silicon strip detector located between the radii of 9 cm and 30 cm. It is used to improve the efficiency for linking tracks between the main tracker and the vertex detector, to boost reconstruction efficiency for low  $p_t$  tracks, and to improve momentum resolution. Time stamping capability is crucial for this device in order to identify the bunch corresponding to the track measured in the main tracker.

The forward silicon tracker (FIT) consists of seven layers of disks which cover the angular range down to about 150 mrad, matching the coverage of the endcap calorimeter. The technologies to be used for the FIT depend on the track density of jets and the background level from beam backgrounds and 2-photon backgrounds. Detailed simulation studies are underway to determine the technology choice. Pixel sensors for the first three layers and silicon strip sensors for the outer four layers are assumed at the moment.

Several layers of silicon strip detectors are placed in the gap between the TPC and the endcap ECAL. The endcap silicon tracker (ET) will improve momentum resolution for charged particles which have a small number of TPC hits, and improve track matching between the TPC and shower clusters in the ECAL.

#### 3.3.3 Main Tracker

A TPC (Time Projection Chamber) with 40 cm inner radius and 200 cm outer radius is used as the main tracker of GLD. The number of radial samples is 200 and the maximum drift length in the z-direction is 230 cm. For signal readout, a micro pattern gaseous detector (MPGD) is utilized, which achieves better point resolution and two-track separation than the usual wire chamber readout. Technologies under study are Micromegas [\[33\]](#page-198-3) and GEMs (Gas Electron Multiplier) foils [\[34\]](#page-198-4). Both devices are gas chambers, in which the drift electrons are amplified in high electric fields produced by microscopic structures (with size of the order of 50  $\mu$ m) within the MPGD.

Depending on the drift length, point resolutions between 50 to 150  $\mu$ m in the r $\phi$  plane and 500  $\mu$ m in the z direction are expected. In combination with the silicon inner tracker and the vertex detector, the fractional momentum resolution is expected to be less than  $5 \times 10^{-5} p_t \ (\text{GeV/c})^{-1}$  in the high  $p_t$  limit.

#### 3.3.4 Calorimeters

The calorimeter system of GLD consists of the electro-magnetic calorimeter, the hadron calorimeter, and the forward calorimeters.

The electro-magnetic calorimeter (ECAL) consists of 30 layers of tungsten and scintillator sandwich, with thicknesses of 3 mm and 2 mm, respectively, and with an additional 1mm gap for the readout. Lead absorber is considered as an option. The scintillator has a rectangular shape with dimension  $1 \times 4$  cm<sup>2</sup>. With adjacent layers at right angles, it achieves an effective cell size of  $1 \times 1$  cm<sup>2</sup> while reducing the number of readout channels. A tile structure with dimension  $2 \times 2$  cm<sup>2</sup> is considered as an option. The light emitted in the scintillator is detected by a Multi Pixel Photon Counter (MPPC), which is now under development.

The hadron calorimeter (HCAL), consists of 46 layers of lead and scintillator sandwich with 20 mm and 5 mm thicknesses, respectively, and a 1 mm gap for readout. This configuration is expected to be compensating, i.e. to provide relatively equal responses to hadrons and electrons, thus giving the best energy resolution for individual hadron showers. Installing strips of  $1 \times 20$  cm<sup>2</sup>, interleaved with  $4 \times 4$  cm<sup>2</sup> tiles, the effective cell size of HCAL could be  $1 \times 1$  cm<sup>2</sup>. As in the ECAL, MPPC will be used as the photon sensor to read scintillation light via a wavelength shifting fiber. A digital hadron calorimeter option is also being considered for the HCAL , which would reduce the cost of the read out electronics. For the digital HCAL, the base line design using scintillator strips may have shower overlap problems. This is being studied with a realistic PFA model, so as to determine the optimal width and length of the strips.

The forward calorimeter of GLD consists of two parts: FCAL and BCAL. The z-position of the FCAL is close to that of the endcap ECAL, and radially outside of the dense core of the beam-induced pair background. The BCAL is located just in front of the final quadrupole magnet at 4.5 m from the interaction point. For the case of a 14 mrad beam crossing angle, the BCAL has holes of radius 1.0 cm and 1.8 cm for the incoming and outgoing beams. These holes are the only regions not covered by GLD for particle detection, although large energy depositions in parts of the BCAL may render it insensitive close to the beams.

A mask made of low-Z material with the same inner radius as the BCAL is positioned in front of it to absorb low energy backscattered  $e^{\pm}$ . The z-position of the FCAL is chosen so that it works as a mask for photons backscattered from the BCAL, so they cannot hit the

TPC directly. The FCAL(BCAL) will consist of 55 (33) layers of tungsten and Si sandwich. For the BCAL, radiation hard sensors, such as diamond, might be necessary.

# 3.3.5 Muon System

The muon detectors of the GLD are placed between the iron blocks which comprise the magnet flux return yoke. The iron return yoke must be roughly 2.5 m thick to keep the leakage of the magnetic field along the beamline acceptable for machine operation. 9 layers of muon detectors are placed between the iron return yoke blocks, each layer consisting of a two-dimensional array of scintillator strips with wavelength-shifter fiber readout by MPPCs.

# 3.3.6 Detector Magnet and Structure

The detector magnetic field is generated by a superconducting solenoid with correction windings at both ends. The radius of the coil is 4.0 m and the length is 8.9 m. Additional serpentine windings for the detector integrated dipole (DID) might be necessary to correct for effects arising from the finite crossing angle of the beams. The total size of the iron structure has a height of 15.3 m and a length of 16 m. Its thickness is determined by the requirement that the leakage field be sufficiently low.

# 3.4 FOURTH CONCEPT ("4TH") DETECTOR

The Fourth Concept detector differs from the other three concepts in several respects. In contrast to the particle flow calorimetry adopted in the other concepts, the 4th concept utilizes a novel implementation of compensating calorimetry, which balances the response to hadrons and electrons and so is insensitive to fluctuations in the fraction of electromagnetic energy in showers. The demonstrated performance of the DREAM dual-readout calorimeter lends credibility to this concept. 4th is innovative in other respects as well, incorporating dual solenoids and endcap coils to manage magnetic flux return and identify muons.

The key elements in the design are as follows:

- The 4th concept uses projective towers of dual-readout fiber sampling calorimeters to measure separately the hadronic and electromagnetic components of a shower, and so provide "software compensation" and excellent hadronic energy resolution. The towers have good transverse segmentation, no longitudinal segmentation, a depth of 10  $\lambda$ , and are read out with photo detectors at their outer radius.
- The electromagnetic calorimeter is based on a crystal calorimeter, with readout of both Cerenkov and scintillation light to provide compensation, placed directly before the fiber towers.
- Central tracking is provided by a large Time Projection Chamber (TPC). The excellent pattern recognition capability of a TPC, its ability to measure  $dE/dx$ , and the high momentum resolution possible with very high precision individual measurements, are a natural match to the new ILC physics regime. 4th includes the option of a low mass, cluster-counting KLOE-style drift chamber which can be readout at each bunch crossing.
- A pixel vertex detector will be used for b and c quark tagging and accurate vertex reconstruction.
- The tracking chambers and calorimeter will be inside a 3.5 T axial field provided by a large radius solenoid. A second, larger radius and lower field solenoid, with its B field opposite to that of the inner solenoid, will provide flux return and a region where high spatial resolution drift tubes can measure muon momenta to high precision.
- An endcap "wall of coils" confines the flux of the two solenoids in z, and eliminates the need for massive iron flux return system.

The 4th Concept detector is shown in Figure [3.8.](#page-70-0) The detector and its performance is described in more detail in Ref. [\[7\]](#page-196-9).



FIGURE 3.8. Cut-away view of pixel vertex (blue), TPC (green), calorimeter (yellow), dual solenoids (red) and supports for muon spectrometer tubes, inside a frame, and the common support for beam line elements (purple).

# <span id="page-70-0"></span>3.4.1 Tracking

The pixel vertex detector is the same design as the SiD detector, and utilizes a 50  $\mu$ m thick depletion region with 15  $\mu$ m  $\times$  15  $\mu$ m pixels and sophisticated front end processing and zerosuppression. Its inner and outer radii are about 1.5 cm and 8 cm, respectively, in a 3.5 T field. This high precision pixel vertex detector is essential for the tagging of b and c quarks and  $\tau$  leptons, and the suppression of hit occupancies so near to the beam.

The Time Projection Chamber (TPC) is very similar to those being developed by the GLD and LDC concepts, in collaboration with the TPC R&D groups. It has sophisticated readout in a 3.5 T magnetic field and uses low diffusion gas at moderate electron drift velocity. In the new experimental physics regime of a TeV  $e^+e^-$  collider, a three-dimensional imaging tracking

detector such as a TPC could well be a significant advantage. It presents very little material to passing particles; its two-track discrimination and spatial precision are ideal for observing long-lived ( $\gamma \beta c \tau \approx 1$ -100 cm) decaying states; it offers essentially complete solid angular coverage for physics events; its measurement of ionization allows searches for free quarks at  $(1/3)^2$  or  $(2/3)^2$  ionization, for magnetic monopoles, and for any other exotically ionizing tracks. In addition, the multiple measurements of the z-coordinates along the trajectory of a track yield a measurement of magnetic charge  $(m)$  by  $\mathbf{F} = m\mathbf{B}$  bending. Finally, the  $dE/dx$ ionization measurement of a TPC will assist physics analyses involving electron identification, discrimination of singly ionizing  $e^-$  from a doubly ionizing  $\gamma \to e^+e^-$  conversion for aligned tracks, and other track backgrounds.

With sufficiently high precision in the TPC,  $e.g.,$  single-electron digital capabilities in a low diffusion gas, it will not be necessary to incorporate auxilliary detectors (such as silicon strips surrounding the TPC on all its boundaries) in order to meet the momentum resolution goal of  $\delta(1/p_T) \approx 3 \times 10^{-5} \text{ (GeV/c)}^{-1}$ .

An option for a gaseous central tracker is a cluster-counting drift chamber modelled on the successful KLOE main tracking chamber. This drift chamber (CluCou) maintains very low multiple scattering due to a He-based gas and aluminum wires in the tracking volume and utilizes carbon fiber end plates. Forward tracks (beyond  $\cos \theta \approx 0.7$ ) which penetrate the wire support frame and electronics pass through only about  $15{\text -}20\%$   $X_0$  of material. The low mass of the tracker directly improves momentum resolution in the multiple scattering dominated region below 50  $GeV/c$ . The He gas has a low drift velocity which allows a new cluster counting technique[\[35\]](#page-198-5) that clocks in individual ionization clusters on every wire, providing an estimated 50 micron spatial resolution per point, a  $dE/dx$  resolution near 3%, and zcoordinate information on each track segment through an effective dip angle measurement. The maximum drift time in each cell is less than the 300 ns beam crossing interval, so this chamber sees only one crossing per readout.

The critical issues of occupancy and two-track resolution are being simulated for ILC events and expected machine and event backgrounds, and direct GHz cluster counting experiments are being performed. This chamber has timing and pattern recognition capabilities midway between the faster, higher precision silicon tracker and the slower, full imaging TPC, and is superior to both with respect to its low multiple scattering.

## 3.4.2 Calorimetry

The calorimeter is a spatially fine-grained dual-readout fiber sampling calorimeter augmented with the ability to measure the neutron content of a shower. The dual fibers are sensitive to scintillation and Cerenkov radiation, for separation of the hadronic and electromagnetic components of hadronic showers  $[36]$ . The energy resolution of the tested DREAM calorimeter should be surpassed with finer spatial sampling, neutron detection for the measurement of fluctuations in binding energy losses, and use of a larger test module, to reduce leakage fluctuations. The calorimeter modules will have fibers up to their edges, and will be constructed for sub-millimeter close packing, with signal extraction done at the outer radius so that the calorimeter system will approach full coverage without cracks. A separate em section is planned. It would be located in front of the dual-readout calorimeter and consist of a crystal calorimeter with readout of both scintillation and Cerenkov light. This would provide better photoelectron statistics and therefore achieve better energy and spatial resolution for photons and electrons than is possible in the fiber calorimeter modules. The dual readout of
these crystals is essential: over one-half of all hadrons interact in the so-called em section, depositing widely fluctuating fractions of em and hadronic energy losses.



<span id="page-72-0"></span>FIGURE 3.9. (a) The distribution of the scintillator (S) signal for 200 GeV  $\pi^-$ . This is the raw resolution that a typical scintillating sampling calorimeter would achieve; (b) the leakage-dominated energy distribution using only the S and C (Cerenkov) signals for each event. (c) The energy distribution with leakage fluctuations suppressed using the known beam energy (=200 GeV) to make a better estimate of  $f_{em}$  each event. The actual energy resolution of a fiber dual-readout calorimeter lies between Figures (b) and (c).

The energy resolution achieved in the DREAM calorimeter for incident 200 GeV  $\pi^-$  is shown in Fig. [3.9](#page-72-0) for both leakage-dominated (Fig. [3.9\(](#page-72-0)b)) and leakage-suppressed (Fig.  $3.9(c)$  $3.9(c)$ ) analyses. The true resolution for a simple dual readout calorimeter is between these two cases.

Finally, and very importantly, the hadronic response of this dual-readout calorimeter is demonstrated to be linear in hadronic energy from 20 to 300 GeV having been calibrated only with  $40 \text{ GeV}$  electrons. See Fig. [3.10.](#page-73-0) This is a critical advantage at the ILC where calibration with 45 GeV electrons from Z decay could suffice to calibrate the device up to 10 times this energy for physics.



<span id="page-73-0"></span>FIGURE 3.10. Measured response of the dual readout calorimeter for hadrons from 20 to 300 GeV. The DREAM module was calibrated only with 40 GeV electrons.

## 3.4.3 Magnetic field, muons and machine-detector interface

The muon system utilizes a dual-solenoid magnetic field configuration in which the flux from the inner solenoid is returned through the annulus between this inner solenoid and an outer solenoid oppositely driven with a smaller turn density. The magnetic field in the volume between the two solenoids will back-bend muons which have penetrated the calorimeter and allow, with the addition of tracking chambers, a second momentum measurement. This will achieve high precision without the limitation of multiple scattering in  $Fe$ , a limitation that fundamentally limits momentum resolution in conventional muon systems to 10%. High spatial precision drift tubes with cluster counting electronics are used to measure tracks in this volume. The dual-solenoid field is terminated by a novel "wall of coils" that provides muon bending down to small angles ( $\cos \theta \approx 0.975$ ) and also allows good control of the magnetic environment on and near the beam line. The design is illustrated in Fig [3.11](#page-74-0)

The path integral of the field in the annulus for a muon from the origin is about 3 T·m over  $0 < \cos \theta < 0.85$  and remains larger than 0.5 T·m out to  $\cos \theta = 0.975$ , allowing both good momentum resolution and low-momentum acceptance over almost all of  $4\pi$ .

For isolated tracks, the dual readout calorimeter independently provides a unique identification of muons relative to pions with a background track rejection of  $10^4$ , or better, through its separate measurements of ionization and radiative energy losses.

The detector's magnetic field is confined essentially to a cylinder with negligible fringe fields, without the use of iron flux return. This scheme offers flexibility in controlling the fields along the beam axis. The twist compensation solenoid just outside the wall of coils is shown in the above figure, along with the beam line elements close to the IP. The ironfree configuration [\[37\]](#page-198-0) allows us to mount all beam line elements on a single support and drastically reduce the effect of vibrations at the final focus (FF), essentially because the



<span id="page-74-0"></span>FIGURE 3.11. Drawings showing the two solenoids and the "wall of coils" that redirects the field out radially, and the resulting field lines in an  $r - z$  view. This field is uniform to 1% at 3.5 T in the TPC tracking region, and also uniform and smooth at −1.5 T in the muon tracking annulus between the solenoids.

beams will coherently move up and down together. In addition, the FF elements can be brought close to the vertex chamber for better control of the beam crossing.

The open magnetic geometry of the 4th Concept also allows for future physics flexibility for asymmetric energy collisions, the installation of specialized detectors outside the inner solenoid, and magnetic flexibility for non-zero dispersion FF optics at the IP, adiabatic focussing at the IP, and monochromatization of the collisions to achieve a minimum energy spread [\[37\]](#page-198-0). Finally, this flexibility and openness does not prevent additions in later years to the detector or to the beam line, and therefore no physics [\[38\]](#page-198-1) is precluded by this detector concept.

# 3.4.4 4th Conclusions

The four sub-detectors are integrated, at least at this concepts stage, to achieve high precision measurements of all the partons of the standard model, including  $W \to jj$  and  $Z \to jj$ decays and  $\nu$ 's by their missing momentum vector. The ability to use precision calorimeter calibrations at the  $Z$  for even very high energy jet energy measurements will be a significant advantage.

# 3.5 CONCEPTS SUMMARY

The four detector concepts described above have been developed in response to the physics and environmental challenges posed by the ILC. All deliver levels of performance beyond the current state of the art, and all employ new detector technologies currently under development. As shown in Chapter [6](#page-148-0) on subdetector performance and in Chapter [7](#page-166-0) on integrated

physics performance, these detector concepts will do justice to the ILC physics program, and they will do so with technologies that are within reach. Table [3.1](#page-76-0) presents, for comparison, some of their key parameters. The four concepts use complementary approaches and a variety of technology choices. Three of the concepts choose TPCs combined with silicon detectors for charged particle tracking; one chooses a pure silicon tracker. Three of the concepts rely on particle flow calorimetry, although their implementations vary: SiD chooses a compact design with high magnetic field; GLD pushes the calorimeters out in radius and along z, with a comparatively lower field; and LDC is intermediate in size and B field. The Fourth concept follows a different philosophy altogether, with compensating calorimetry and a (comparatively) moderate field. Other aspects of the designs differ too. SiD, with its higher B field, can move its vertex detector to smaller radii. Fourth, with its novel dual-solenoid design, eliminates the mass of a traditional iron flux return. GLD adopts a common readout technology, using Multi Pixel Photon Counters, to readout scintillator in the electromagnetic and hadronic calorimeters, and in the muon system as well. LDC and SiD employ the extremely fine segmentation possible with Si/W electromagnetic calorimetry.

The next step for each of the concepts involves moving beyond their present baselines, and developing optimized detector designs. Recent advances with Particle Flow Algorithms and further developments in realistic detector simulations are making this possible. Each concept must study integrated physics performance and cost vs. variations in B field strength, ECAL inner radius, ECAL length, and HCAL depth. Once global parameters are refined, subsystem parameters must be optimized, subsystem conceptual engineering designs developed, integrated physics performance benchmarked, and favored subsystem technologies selected.

<span id="page-76-0"></span>

	<b>GLD</b>	<b>LDC</b>	SiD	4th
${\rm VTX}$	pixel	pixel	pixel	pixel
$#$ of layers	6	$\overline{5}$	$\overline{5}$	5
$#$ of disks	$\sqrt{2}$	$\Omega$	$\overline{4}$	$\overline{4}$
inner radius (cm)	2.0	1.6	1.4	1.5
outer radius (cm)	5.0	6.0	6.1	6.1
Main tracker	TPC/Si	TPC/Si	Si	TPC/drift
inner radius $(TPC/\text{Si})(cm)$	45	30(16)	20	20
outer radius $(TPC/Si)(cm)$	200	158(27)	127	140
half length $(TPC/Si)(cm)$	230	208 (140)	168	150
$#$ of TPC points	200	200		200/120
$#$ of Si points (barrel)	$\overline{4}$	$\sqrt{2}$	$\overline{5}$	
$#$ of Si points (endcap)	$\overline{7}$	7	$\overline{4}$	
<b>ECAL</b>	Scint.-W	Si-W	$\mathrm{Si}\text{-}\mathrm{W}$	Cystal
inner radius $(cm)$	210	160	127	150
outer radius (cm)	229.8	177	140	180
half length (barrel,cm)	280	230	180	240
# $X_0$	$27\,$	23	29	27
<b>HCAL</b>	Scint-Fe	Scint - Fe	$RPC/$ GEM - W	fiber Dream
inner radius $(cm)$	229.8	180	141	180
outer radius (cm)	349.4	280	250	2.80
half length (barrel, cm)	280	230	277.2	2.8
# of $\lambda$	$5.8\,$	4.6	4.0	$\boldsymbol{9}$
Magnet				
type	main	main	main	inner/outer
field strength $(T)$	3	$\overline{4}$	$\overline{5}$	$3.5 / -1.5$
radius (cm)	400	300	250	300 / 550
half length (cm)	475	330	275	400/600
Overall Detector				
radius (cm)	720	600	645	550
half-length (cm)	750	620	589	650

TABLE 3.1 Some key parameter of the four ILC detector concepts.

DETECTOR CONCEPTS

# CHAPTER 4 Machine Detector Interface

Even more so than at previous colliders, the final part of the accelerator, the beam delivery and the final focus, are closely coupled with the experiment at the interaction region. The design and management of this machine-detector-interface (MDI) is therefore a very important part of the design of the detector and the machine, and has consequences for both.

The machine detector interface is concerned with the consequences of the beam delivery system to the experiment, and all design aspects of the interaction region (crossing angle, final focusing elements, etc.) and the interfacing of the detector with this interaction region. Of particular importance is the optimization of the interaction region in view of beam induced backgrounds.

Closely related though strictly speaking not part of the MDI are the measurement of the luminosity, the measurement of the beam energy and the determination of the beam polarization.

Since the infrastructure needed to assemble and operate the detectors has repercussions on the design and the layout of the machine in this region as well, a brief discussion of these aspects is included in this part as well.

## 4.1 INTERACTION REGIONS

The interaction region is meant to include the design of the machine and of relevant parts of the detector between the final focusing elements and the interaction point. The design of the interaction region seen from a MDI point of view has to serve a number of different functions: The beam has to be delivered through the largest possible aperture to the interaction point. A series of detectors record the interaction, in particular the remnants from the interaction in the very forward direction. The interaction region also has to shield the rest of the detector efficiently from backgrounds produced in the collision and from sources upstream and downstream of the detector.

A particular challenge to the design of the interaction region is that it has to accommodate the wide range of parameter sets discussed in the Reference Design Report (RDR), and for a wide range of beam energies, from 90 GeV to 1 TeV CM.

To serve these needs the interaction region designs of the different detector concepts all include a masking scheme, often realized as tungsten masks which shield the incoming and the outgoing beam, and a set of detectors, to detect the background particles.

The baseline of the ILC foresees one interaction region with a crossing angle between the electron and the positron beam of 14 mrad. Alternatives are interaction regions with much smaller (2 mrad) crossing angles, and interaction regions with 20 mrad and more. Two detectors that share occupancy of the interaction point in "push-pull" mode are planned.

# <span id="page-79-0"></span>4.1.1 Beam Induced Backgrounds Sources

A number of different processes create backgrounds related to the beam which are potentially problematic for the detector. The main sources of such backgrounds are:

- "Beamstrahlung" created in the interaction of the tightly focused electron and positron beams. Beamstrahlung generates:
	- Disrupted beam
	- Photons, radiated into a very narrow cone in the forward direction;
	- Electron-positron pairs, radiated into the forward direction, and steered by the collective field of the opposing beam and the central magnetic field of the detector solenoid.
- Synchrotron Radiation, created upstream in the beam delivery system, in particular, by the non-Gaussian tail of the beam interacting with the final focusing elements near the interaction point.
- Muons, created by interaction between collimators that define the maximum aperture and tails in the electron or positron bunches, and transported through the tunnel into the detector.
- Neutrons created from off energy e+e- pairs and disrupted beam that strike beam line components before the beam dumps, and neutrons created in the beam dumps that are backscattered into the detector.
- Hadrons and muon pairs created by  $\gamma\gamma$  interactions.

Although particles from the beamstrahlung go primarily into the very forward directions, and mostly exit the detector together with the outgoing beam, a small but still significant fraction have sufficient transverse momentum to hit detector or beam-line components, and interact with them. Particles created or backscattered in these interactions are a major source of background in the detector.

# 4.1.2 Interaction Region Layout

A typical layout of an interaction region is shown in figure [4.1.](#page-80-0) The beam pipe has its smallest radius right at the interaction point, at the left edge of the figure. It flares to larger radii as z increases, to give room to the charged background particles, which are channeled by the magnetic field into the forward directions. Two components of the detector are of particular importance to background studies: the BeamCal and the FCAL/Lumical (different names have been chosen by different concepts). Both are small, compact calorimeters located close to the beams, and both are subject to significant background radiation.



<span id="page-80-0"></span>FIGURE 4.1. Side view of the interaction region and very forward region of a typical ILC detector.

The different background sources have a significant impact on the design of the magnetic field in the interaction region. To guide the charged background particles out of the detector, the direction of the field should point in the direction of the outgoing beam which is passing through the solenoid off-axis. This can be achieved through the superposition of the conventional solenoidal field from the detector with a dipole field, produced by adding some dedicated dipole windings to the detector solenoid. This so-called Detector Integrated Dipole (DID) becomes effective once the crossing-angle increases beyond a few mrad. For historical reasons, such a situation whereby the field is aligned with the outgoing beam, is called an Anti-DID, and is the preferred solution.

#### 4.1.3 Background Estimation

The consequences of the different background sources discussed in section [4.1.1](#page-79-0) have been studied in simulation for all detector concepts. To simulate the beam-beam interaction the Guinea Pig [\[39\]](#page-198-2) and the CAIN [\[40\]](#page-198-3) programs have been used. Background simulations dealing with muons and neutrons are made using MUCARLO [\[41\]](#page-198-4), MARS[\[42\]](#page-198-5), and FLUKA[\[43\]](#page-198-6). Synchrotron radiation (SR) was simulated by tracking the scattering of beam halo particles, and the consequent radiated photons, using GEANT.

The output from these programs is input to a complete and detailed material simulation of the interaction region elements and the detectors [\[44,](#page-198-7) [45,](#page-198-8) [46,](#page-198-9) [47\]](#page-199-0), which are based on GEANT4 [\[48,](#page-199-1) [49\]](#page-199-2). The simulations have been done, if not otherwise noted, for the nominal parameter set [\[50\]](#page-199-3), but some studies have been performed for a range of parameters as well. All studies include an Anti -DID field and are based on a 14 mrad Crossing-angle scenario. In a few cases the variations expected for different crossing angles are shown for comparison. Backgrounds due to primaries, as well as secondary and tertiary particles produced in interactions of primaries with the IR materials, are tracked and evaluated at different critical detector subsystem locations.



<span id="page-81-0"></span>FIGURE 4.2. Background induced hits in the VTX detector per bunch crossing.

#### 4.1.3.1 Pair Background

Electron-positron pairs are created in great number in the interaction of the primary electron and positron bunches. They travel mostly in the direction of the outgoing beams. The magnetic field will tend to focus one charge of particle, and tend to defocus slightly the other, depending on the direction of travel. A small number of pairs are produced with large enough transverse momenta to enter directly into detector components. An important source of backgrounds are secondary particles, created in the interaction of pairs with detector or machine elements. Some of these secondary particles may travel back into the detector, and create background hits.

The detector most sensitive to this is the vertex detector. Depending on detector concept, its innermost layer sits at a radius that varies from 1.3 to 2.0 cm from the interaction point. The total number of hits as a function of the layer number in the Vertex detector is shown in Figure [4.2.](#page-81-0) While the majority of these hits are caused by the  $e^+e^-$  pairs directly reaching the vertex detector layers, some hits are also caused by secondary  $e^+e^-$  produced in the far forward detector. The azimuthal distribution of these hits is shown in Figure [4.3.](#page-82-0) The clear non-uniformity observed in backscattered hits is an effect of the Anti-DID field, and is basically an image of the hole for the outgoing beam. The backscattering rate is, however, highly dependent on the fringe field of the detector solenoid, the Anti-DID field, and the far forward detector geometry, and further optimization is possible.

The VTX hits shown in Figures [4.2](#page-81-0) are for one bunch crossing, while Figure [4.3](#page-82-0) shows the results from 100 superimposed bunchcrossings. The innermost layer hits will reach 1% occupancy in about 150 bunches, imposing a constraint on the vertex detector readout speed. This constraint has been long appreciated and is motivating the development of several new technologies for pixilated vertex detectors.

The number of hits at radii outside the Vertex detector, i.e. > 10cm, falls off very rapidly.



<span id="page-82-0"></span>FIGURE 4.3. Background induced hits in the VTX detector, as a function of the azimuthal angle,  $\Phi$ 

For a Silicon-based tracking system they are not a real concern. For a TPC-based tracker, where a large  $(O(100))$  bunches is integrated into one readout frame, they are potentially more important. In Figure [4.4](#page-83-0) the distribution of hits in the TPC is shown, integrated for 100 bunch crossings (though hits from different bunch crossings are not displayed in time in this picture). The total occupancy of the TPC in this case is far below one percent, and does not present a problem.

In a few rare cases, pair-induced background creates photons of high enough energy to actually create tracks in the detector. The tracks expected from 100 bunch crossings are also visible in Figure [4.4.](#page-83-0) Their number is small and does not present a problem.

The pairs background also produces a significant neutron background in the detector. Most of these neutrons are created in electromagnetic showers in hot regions of the innermost calorimeter, as well as the closest beam elements. The origin of neutrons is illustrated in Figure [4.5,](#page-84-0) together with their energy spectrum. These neutrons are important for a number of reasons: The Si-based vertex detector and trackers are sensitive to bulk damage by neutrons. The total dose of neutrons collected should stay below a flux of  $10^{10}/\text{cm}^2/\text{year}$ . In the detectors equipped with a hydrogenous gas-filled TPC the neutrons can create spurious hits in the gas. The possibly most affected detector however is the end cap of the calorimeter, in particular the hadronic calorimeter, where the neutrons create spurious hits, and contribute to the confusion term in the particle flow measurement.

#### 4.1.3.2 Photon Background

A by-product of the beam-beam interaction is a large number of photons, which are radiated primarily in the forward direction. These photons carry a significant amount of energy. They follow within a very narrow cone the direction of the incoming beam, and are thus mostly exiting the detector through the outgoing beam hole. Nevertheless there are tails in the



<span id="page-83-0"></span>FIGURE 4.4. Hits produced in the TPC from pairs

distribution of these photons to larger transverse momenta, so that some photons hit the different elements in the beam line in the very forward direction. Similar to the case with pairs, these photons initiate showers in the forward detectors, and some particles from these showers make it back into the detector. After pair-related backgrounds, particles created from beamstrahlung photons are the most important background in the detector.

#### 4.1.3.3 Synchrotron Radiation Background

Another potentially important source of background in the detector are synchrotron radiation photons. These can be produced in wakefield-induced beam scattering from the jaws of the upstream collimation system, as well as in the final focusing elements of the beam delivery system. The collimation system is being designed to ensure that no direct or single-scattered photons can reach any sensitive detector parts. Detailed studies of this are still ongoing. The impact on the SR flux in the IR due to variation in (non-ideal) beam conditions, eg. beam position jitter near the collimator jaws and in the strong fields of the final focus magnets, is a source of concern; this will require extensive study for an ensemble of realistic machine conditions.

#### 4.1.3.4 Beam Halo Muon Background

Muons are a major source of background as they can be produced in abundance in the collimation section upstream of the interaction point. The beam halo, whose population is



<span id="page-84-0"></span>FIGURE 4.5. Position of the major sources for neutron background in the detector, as a function of the position along the beam (left), and the energy distribution of the neutrons which reach the TPC (right).

difficult to predict, is scraped away by the collimators producing electromagnetic showers a few hundred meter upstream of the detector. The muons produced in these reactions can travel through the beam delivery system (BDS) tunnel towards the detector and can eventually result in unwanted mostly horizontal tracks in the tracking systems.

Simulations [\[51\]](#page-199-4), [\[52\]](#page-199-5), [\[53\]](#page-199-6) have been performed with simulation software tools based on GEANT, MUCARLO, and MARS, which model the collimation system, the beam line elements and the full tunnel up to the detector hall. The baseline of the ILC BDS foresees a 5m long magnetised iron spoiler inside the tunnel which should help to reduce the muon flux from the collimation system. The simulations predict  $\approx 12 \approx 1.7$ ) muons per bunch crossing passing through the detector for a 500 (250) GeV beam. This yields a load per bunch crossing of less than 3 (0.5) muons passing through the central tracking device (i.e. at a radius lower than 2.5 m around the nominal beamline). These muons are potentially a more serious problem for those detector concepts which foresee a TPC as a central tracker, since a TPC integrates over around 150 bunches. Thus for a TPC we expect less than 400 (60) muons, or about the same number of horizontal tracks overlaid in one TPC image. The simulations assumed a halo fraction in the beam of  $0.1\%$ , meaning  $0.1\%$  of each bunch is scraped in the collimation system. This estimate of the beam halo fraction is considered to be conservative. Studies of the impact of this background on the tracking detectors are still ongoing, but first results indicate that this level of background tracks does not present a problem for a TPC.

#### 4.1.3.5 Background Rates: Summary

In Table [4.1](#page-85-0) the expected occupancies for different sub-detectors are summarized. In each case a range of expected occupancies is given, which covers the range of numbers reported by the different detector concepts. Also given is an estimate of the occupancy considered critical, i.e. where reconstruction starts to suffer because of the background hits.

TABLE 4.1

<span id="page-85-0"></span>Estimated detector occupancy from different background sources. Given is the occupancy from the particular background, and the value of the critical occupancy, where problems in the reconstruction are expected. The expected occupancy is quoted as a range to allow for the different detector concepts discussed.



# 4.2 DETECTOR INTEGRATION

The baseline design of the ILC foresees one interaction region, equipped with two detectors. The two detectors and the infrastructure serving them are laid out in such a way that each can be moved quickly into and out of the interaction region (push-pull operation) thus allowing the sharing of luminosity between both detectors. Details such as switchover time, switchover frequency etc. are still under discussion. Similarly since no detailed engineering study has yet demonstrated the feasibility of such a push-pull scheme, an option with two beam delivery systems remains under investigation.

To minimise the underground hall size and the interference between detector construction and machine construction, most of the detector assembly will take place on the surface. Once assembled and in part commissioned, sub systems of the detector will be brought into the hall for final assembly.

The hall itself then only has enough space to allow the assembly of the different major parts into the full detector, and to do detector service during shutdown periods. The hall will be designed in such a way that one detector can be serviced while the other one is running, to minimise the downtime of the accelerator.

A typical detector underground hall is between 45 and 60 m long, and has a transverse dimension around 30 m. Installation of each detector requires an access shaft into the hall, equipped with a large crane. Depending on the concept, and on the maximum size of com-

ponents to be lowered into the hall, this crane might need a load capacity of up to 2000 tons. Inside the hall a system of medium sized cranes and air pads will be used to maneuver and integrate the different components.

A major challenge is to design the detector in a way which will allow access to its inner parts, in particular the vertex detector, in a short time and within the space available in the detector hall. The currently favored concept followed by SiD, LDC and GLD, foresees a longitudinal opening of the detector in the beam position, which will provide access to the vertex detector.

Another major challenge is to engineer the mechanical detector concept for push-pull capability. Apart from issues such as maintaining the internal detector alignment and avoiding recalibration, a design must be developed for servicing of the different superconducting parts on the detector during and after a move. This will require careful engineering to ensure a smooth switchover from one detector to the other.

The elements of the beam delivery system in close proximity to the detector require careful integration and engineering. These include the final quadrupole doublet (QD0 and QF1) with their integrated sextupole and octupole correction elements, the beam position monitors and kickers that keep the beams in collision, the crab cavities that rotate the beam bunches into head-on collisions, and the extraction line quadrupole magnets that direct the beams cleanly to high power beam dumps.

The magnets closest to the interaction point will be housed in a common cryostat running with liquid He-II. The compact winding technology developed by BNL will allow QD0 with its sextupole and octupole elements, the first extraction quad, and a dual solenoid winding to cancel the detectors residual solenoid field on the axis of the incoming beam, to be housed in a common 20-25cm radius cryostat. This cryostat will be an integral part of the detector, moving with it when the detector is pushed onto or pulled out of the beamline. If a rapid exchange of detectors is to be made possible, each detector will need to house a source of cryogenic fluids for this system that moves with the detector. If the detector is to be serviced while it is on the beamline, both the support system for the cryostat and the cryogen feed system must accommodate the motion of those parts of the detector (door, endcap segments, etc.) that must move to provide access.

It is thought that the longitudinal position of the magnet, defining the IP-QD0 drift space,  $L^*$ , can be optimized for each detector concept. It will be an engineering challenge to support the magnet in a manner that minimizes vibration transmission from the detector to QD0, allows for alignment and feedback systems to correct its position against slow (diurnal) drifts, and resists any net residual magnetic forces.

A similar set of engineering challenges exist for the forward calorimetry, forward tracking elements, vertex detector package and beam pipe. These all occupy the critical 20-25cm inner radial volume of the detector. Support schemes that work while the detector is closed for data taking or open for minor repairs must be provided for each detector while minimizing materials and allowing cables and tubes to power, readout and cool the detectors. Both the delicate nature of the thin Be beampipe in the vicinity of the IP and the massive W/Si calorimeters and masks must be taken into account while not jeopardizing the vibration free support of the final quadrupole.

The second magnet cryostat, housing the QF1 quadrupole with its sextupole and octupole correctors and the next elements of the extraction line, will begin about 9m from the interaction point. Given the 14 mrad crossing angle, this package will require a larger radius. As it will stay fixed in the hall, its major impact on the detector will be to limit the maximum

amount a detector might be opened while it is in its beamline position.

Between the two cryostats a warm section of beamline is foreseen to house the electrostatic kicker that, in conjunction with a BPM just behind the BCAL, measures the beam-beam kick and minimizes it to keep the nanometer size beams in optimal collisions in the face of natural occurring ground vibrations or residual equipment vibrations that might be transmitted to QD0. The potential of electromotive interference (EMI) to sensitive detector electronics from the feedback kicker, the pulsed crab cavity and the beam itself must be mitigated by careful design and testing.

It is essential that experimenters have full access to the detector in the off-beamline position, whether or not the detector on the IP is taking data. Radiation safety considerations imply that the personnel servicing the detector be protected by sufficiently thick external shielding walls or that each detector be constructed in such a manner (free of cracks and using sufficient high Z material) so as to be self-shielding. If the self-shielded-detector model is adopted, devoted shielding around the beamlines outboard of the detector endcaps, moveable to provide access when the detector is opened for quick, on-beamline repairs, will be required. If external shielding can be avoided, the push-pull switchover time will be shorter and the size of the cavern reduced; moreover, with self-shielding, detector systems of the on-IP detector requiring human access during data taking will not be required to be located behind a second external shielding system, further simplifying the interchange of detectors.

# 4.3 LUMINOSITY, ENERGY, AND POLARISATION

The precise knowledge of the beam parameters are of great importance for the success of the physics program at the ILC. The main parameters measured by the detectors or instrumentation very close to the detectors are the luminosity, the energy of the beam, and the polarisation.

# 4.3.1 Luminosity

Precision extraction of cross sections depends on accurate knowledge of the luminosity. For many measurements, such as those based on threshold scans, one needs to know not only the energy-integrated luminosity, but also the luminosity as a function of energy,  $dL/dE$ . Low-angle Bhabha scattering detected by dedicated calorimeters can provide the necessary precision for the integrated luminosity. Options include secondary emission (A) and fast gas Cerenkov (B) calorimetry in the polar angle region from 40-120 mrad. Acollinearity and energy measurements of Bhabha,  $e^+e^- \rightarrow e^+e^-$ , events in the polar angle region from 120-400 mrad can be used to extract  $dL/dE$  and are under study. Additional input from measurements of the beam energy spread and beam parameters that control the beamstrahlung spectrum will improve this determination of  $dL/dE$ . Techniques include measuring the angular distributions of  $e^+e^-$  pairs (C) in the polar angle region from 5-40 mrad, and measuring the polarization of visible beamstrahlung in the polar angle region from 1-2 mrad (D). All the proposed detectors may also be used for real time luminosity monitoring and tuning.

# 4.3.2 Energy

Beam energy measurements with an accuracy of (100-200) parts per million are needed for the determination of particle masses, including  $m_{top}$  and  $m_{Higgs}$ . Energy measurements both

upstream and downstream of the collision point are foreseen by two different techniques to provide redundancy and reliability of the results. Upstream, a beam position monitor-based spectrometer is envisioned to measure the deflection of the beam through a dipole field. Downstream of the IP, an SLC-style spectrometer is planned to detect stripes of synchrotron radiation (SR) produced as the beam passes through a string of dipole magnets. The downstream SR spectrometer also has the capability to measure the beam energy spread and the energy distribution of the disrupted (from beam-beam effects) beams.

### 4.3.3 Polarization

Precise measurements of parity-violating asymmetries in the Standard Model require polarization measurements with a precision of 0.25% or better. High statistics Giga-Z running requires polarimetry at the 0.1% level. The primary polarization measurement will come from dedicated Compton polarimeters detecting the backscattered electrons. To achieve the best accuracy for polarimetry and to aid in the alignment of the spin vector, it is necessary to implement polarimeters both upstream and downstream of the IR. The upstream Compton polarimeter measures the undisturbed beam before collisions. The relatively clean environment allows a laser system that measures every single bunch in the train and a large lever arm in analyzing power for a multi-channel polarimeter, which facilitates internal systematic checks. The downstream Compton polarimeter measures the polarization of the outgoing beam after the collision. The extraction line optics is chosen to be focused at the Compton IP such that its polarization is very similar to the luminosity-weighted polarization at the interaction point. The polarization of the undisturbed beam can be measured as well with non-colliding beams. Backgrounds in the extraction line require a high power laser that probes a few bunches per train.

The precise measurement of the scattered electrons require high-precision detectors. Several technologies are under investigation. The most promising technique to-date appears to be Cerenkov detectors. The current baseline design for the Cherenkov detectors consists of gas tubes read out by photomultipliers. Alternative or additional possibilities are under study.

# 4.4 SUMMARY AND OUTLOOK

The understanding of the interaction region of the ILC and its impact on the detector performance has matured remarkably over the last few years. Good simulation tools are available and serious studies have been done to understand the background situation.

In general designs of the interaction exist now which seem to control the backgrounds at a level acceptable for the detectors. A particular emphasis of the recent past has been the implementation and the consequences of an anti-DID field, beneficial for the operation of the accelerator at large crossing angles. It appears that with an anti-DID field backgrounds are controllable and not significantly worse than at small crossing angles.

A recent and rather major change has been the adoption of the "push-pull" scheme to accommodate two detectors in one interaction hall. The implications of this decision are under study, and will need careful evaluation.

A note of caution though is in place: all conclusions rely on simulations, which in many cases have not been tested experimentally. Therefore a significant safety factor should be

## MACHINE DETECTOR INTERFACE

assumed in the design of the detectors, maybe as large as a factor of 10, for all background rates.

# CHAPTER 5 Subsystem Design and Technologies

In this section a brief technologically oriented description of the different sub-systems of a detector at the ILC is given. The goal of this section is to describe the different developments, present their state of development, identify needed R&D, and discuss the program of R&D for the next few years. This chapter thus complements the one on the detector concepts, and fills in the missing technical details.

### 5.1 VERTEX DETECTOR

The design of the vertex detector (VTX) needs to be matched to some very challenging physics processes of importance at the ILC, namely multi-jet processes in which the flavors and sign of the quark charge of some of the low energy b and c-jets needs to be determined. Polar angle coverage needs to be as hermetic as possible, since for some processes the ends of the angular range are most sensitive to new physics. The measurement of quark charge, based on the procedure of vertex charge determination, imposes the most stringent requirements, since a single low momentum track that is ambiguous between the primary vertex (PV) and the decay chain formed by the secondary and tertiary vertices (SV/TV), invalidates the charge determination. In practice, studies [\[54\]](#page-199-7) have shown that efficient discrimination between IP tracks and decay chain tracks is important down to  $p_t$  values as low as 100 MeV/c.

The most decisive parameter in determining the potential physics capability of the vertex detector is the beam-pipe radius  $R_{bp}$ . Collimating and controlling backgrounds at the ILC, which is necessary to achieve the minimum beam pipe radius, has been a key feature of the machine design. Controlling beam-beam disruption, using "flat" beams at the IR, much larger in x than in y, is crucial. The quantitative study of some other background sources has hardly begun, and there will always be trade-offs between boosting the luminosity by applying more aggressive bunch crossing conditions and enhancing the tolerance of vertex detectors to the resulting increased backgrounds.

Once the final focus conditions have been settled, the value of  $R_{bp}$  is determined by the field in the detector solenoid, since higher field is more effective for radial containment of the  $e^+e^-$  pair background which dominates the hit density on the VTX inner layer. The inner section of beam-pipe of length  $\approx 14$ cm is most critical, since this covers the practical polar angle range for high precision tracking. Beyond  $|z| \approx 7cm$ , the beam-pipe radius can expand conically, in order to stay safely beyond the envelope of pair background. Thus it is

the hard edge of this background at  $|z| \approx 7cm$ , with appropriate stay-clear, which determines the minimal beam-pipe radius.

One would of course wish to locate the first layer of the vertex detector just outside the beam-pipe, since the impact parameter resolution for intermediate and low momentum particles is driven by the combined thickness of the beam-pipe and layer-1, together with their distance from the interaction point (IP). However, this layer may need to be pushed out further, if the background hit rate is excessive. For a given design of the final focus (FF) and solenoid field, the minimal radius depends on the duration of the sensitive window (SW) of the chosen VTX technology. There are currently approximately ten technologies being studied for the ILC vertex detector; all use silicon pixels, but the target SW varies from single bunch (ie.  $\lt$  300*ns*), through  $\approx$  50 $\mu$ s, hence 20 time slices per train, to integration over the entire bunch train of duration  $1ms$ . So once  $R_{bp}$  is settled, if backgrounds are expected to be high, the radial position of layer-1 will depend on which technologies can be made to work. However, if the backgrounds correspond to the calculated  $e^+e^-$  pairs with the nominal FF conditions, all options will work with layer-1 just beyond the beam-pipe. Since the options with the shorter sensitive windows may have associated disadvantages, the selection of the preferred technology is far from clear.

This issue will depend on numerous factors, such as:

- measurement precision, including freedom from induced mechanical oscillations and long term drift in internal alignment
- layer thickness (including cooling requirements)
- pixel size (needs to be small enough to resolve hits from tracks in the core of high energy jets)
- additional material required for end-of-ladder services, cooling, cables and fibers
- duration of sensitive window
- adequate radiation hardness
- preservation of internal alignment in the face of powering the detector and operations such as opening and closing detector end-doors, and push-pull cycles between two detectors
- resistance to electromagnetic interference (EMI) at levels to be encountered at ILC

The ideal vertex detector would provide precision coverage over the full solid angle. In practice, ILC tracking systems will be cut off by masking below  $\theta \approx 7 \text{ deg}$ , and vertex-quality tracking may cut off around  $15 \deg(\cos \theta \approx 0.96)$ . At first sight, the optimal performance would be expected from a combination of short barrels and forward disks. The alternative of long barrels would seem to be less attractive due to the loss of precision resulting from the increased obliquity of tracks at small polar angles. However, one cannot ignore the fact that the ends of the barrels will inevitably contain extra material from mechanical supports and additional "baggage" such as storage capacitors, readout chips, driver chips, electrical connectors and so on. Mechanical supports may need to be relatively robust in order to stabilize structures against considerable Lorentz forces induced by high currents flowing during the bunch train. While ILC vertex detectors have been sketched with both options (Figures [5.1](#page-92-0)

Vertex Detector



FIGURE 5.1. 'Long-barrel' option for the ILC vertex detector. The cryostat is an almost massless foam construction, and has a negligible impact on physics performance.

<span id="page-92-0"></span>

<span id="page-92-1"></span>FIGURE 5.2. 'Short-barrel plus forward disks' option for the ILC vertex detector

and [5.2\)](#page-92-1) the choice will depend on the measured performance of real prototypes, fully tested for operation under realistic conditions.

Another open question is whether the "ladders" that comprise barrel staves should be me-

chanically linked along their length, perhaps by mounting the sensors on cylindrical support shells, or supported only at their ends. Again, this will depend on what assembly procedures are practicable for a specific technology. If thin sensors need to be mounted on substrates in order to handle them for bump-bond attachment of readout chips, mechanically independent barrel staves appear to be natural. If self-contained sensors need only to be mounted on a substrate and connected by wire bonds, assembly onto a cylindrical support shell may be feasible. In either case, the cylinders are trapped by the bi-conical beam-pipe, so the detector needs to be constructed as two half-cylinders that are assembled round the beam-pipe and then clamped together. This requirement also has implications for the preferred scheme of ladder mounting.

In brief, the requirements for the vertex detector suggested by the physics goals are reasonably well-defined (beam-pipe radius  $\leq 15mm$ ,  $\approx 10^9$  pixels of size  $\leq 20\mu m^2$ , layer thickness  $\approx 0.1\%X_0$ ). Given the foundations provided by the SLD vertex detector (307) Mpixels, layer thickness  $0.4\%$   $X_0$ ), these goals appear reasonable. Extensive R&D by many groups round the world over the past 8 years has opened up a number of promising approaches. The most conservative of these (FPCCDs) could provide a robust solution at least for startup, though they might be pushed to larger radius than is desirable for physics if background levels greatly exceed the current estimates for the baseline FF design.

In Section [5.1.1,](#page-93-0) we review the technology options being considered, and in Section [5.1.2](#page-95-0) we discuss some mechanical design issues, in both cases noting the accelerated pace of R&D that will be needed to achieve the goals in time.

#### <span id="page-93-0"></span>5.1.1 Technology options

In contrast to the early days of charm and bottom physics, and the variety of gaseous and silicon technologies used to construct vertex detectors at LEP and SLC, there is now unanimity regarding the basic technology for ILC. Silicon sensors with small pixels ( $\leq 20 \mu m^2$ ) are accepted as the only way forward. Agreement was reached at LCWS 1993, when it was demonstrated [\[55\]](#page-199-8) that this approach was mandated by the hit densities in the core of jets, and by the pair backgrounds. However, it was equally clear that the CCD approach used for SLD would be far too slow for use at ILC. Over the past 14 years, a considerable variety of options has been suggested, and some of these are the subject of vigorous international R&D programmes. They all have a chance of doing the job, but none is guaranteed to satisfy all requirements. Some of the most promising may not be ready in time, but may be outstanding candidates for future upgrades.

It is too early to construct a table of attributes, indicating strengths and weaknesses of the different options - there are too many unknowns. However, one can attempt a few comments about each, with further details being available in the form of contributions from the detector groups to the ILC Detector R&D Panel website [\[56\]](#page-199-9). All designs make use of the basic attribute of silicon devices that one can create a buried layer that serves as a sensor, by sandwiching it between appropriately biased neighbouring layers, for example a substrate layer and a readout layer, as sketched in Figure [5.3.](#page-94-0) The sensor layer may be fully depleted, in which case charge collection to the sense node can be fast (a few ns) or only partly depleted, in which case the signal charge is collected partly by diffusion, which can take  $\approx 100ns$ .

A conventional CCD at ILC would collect signal throughout the bunch train, then be read out between trains. Background hit densities would be excessive. However, the FPCCD collaboration [\[57\]](#page-199-10) proposes to solve this problem by using very fine pixels ( $\approx 5 \times 5 \mu m^2$ ), which



<span id="page-94-0"></span>FIGURE 5.3. Cross-section of a generic sensor architecture in which the signal electrons diffuse between the upper and lower reflective layers, until they are captured in the depleted regions associated with the sense nodes ( reverse-biased photogate or diode structures) built into the pixel.

not only reduces the percentage of hit pixels, but also permits some measure of background rejection from the shape of the mini-vectors generated by the traversing particles. Due to long signal integration time, FPCCD will need to operate at temperature below room temperature. The CPCCD design [\[58\]](#page-199-11) achieves background reduction by multiple readouts  $(\approx 20 \text{ frames per train})$ , as does the DEPFET sensor [\[59\]](#page-199-12). Among the MAPS options [\[60\]](#page-199-13), the CAP [\[61\]](#page-199-14) or FAPS [\[62\]](#page-199-15) approach considers storage of  $\approx 20$  time-sliced signals per train, using in-pixel capacitors after charge-voltage conversion at the readout node. The ISIS approach [\[63\]](#page-199-16) retains the stored signal charge ( $\approx$  20 samples) in the buried channel of a tiny CCD register within each pixel. This is considered to be more robust wrt EMI problems such as those observed at SLD. The chronopixels [\[64\]](#page-199-17) are altogether more ambitious - they aim to achieve single bunch time stamping of the hit pixels. They plan to store only binary hit information, since their small pixels  $(10 \times 10 \mu m^2)$  should yield sufficient tracking precision. This design is ambitious in at least two respects; it needs 45 nm processing technology, and these sophisticated pixels are likely to be power hungry, so supplying the current during the train could be a challenge. The SOI-based approach [\[65\]](#page-199-18) and 3-D pixels [\[66\]](#page-199-19) are even more futuristic. They aim to interconnect signal sensors with separate readout chips, using closelyspaced metal interconnects, one per pixel. Implicit in this technology is some degree of wafer thinning, and the ILC application would involve thinning of all silicon layers, each to some tens of microns, so as to satisfy the material budget. Finally, the SCCD (short-column CCD) is a new idea [\[67\]](#page-199-20) to achieve single-bunch timing by alternating the sense in which signals are clocked in adjacent channels. Only clusters which exhibit a cross-channel match at the time of the bunch crossing are retained, so nearly all out-of-time background is rejected. Depending on the technology (conventional bump-bonding or the 3-D approach) such structures could

be somewhat thicker than desired, or perfectly acceptable.

Whichever technology is considered, one is dealing with at least  $10^9$  pixels. Experience at SLD demonstrated that the LC environment can be challenging as regards beam-related pickup. This would not be a problem in the case of an uninterrupted metal beam-pipe, but the penetrations for beam-position monitors (BPMs) and other devices in the interaction region (IR) permit high frequency RF power to escape, and this tends to bounce around within and beyond the detector. There are also other sources of EMI likely to be present during the bunch train. Strategies to mitigate such effects have been discussed [\[68\]](#page-199-21). One protective measure will be to use correlated double sampling (CDS) for the front-end signal processing. All technologies say they will do this, but in some cases the time between successive samples is so long that they may be dangerously vulnerable to pickup.

At this time it is not possible to choose between the different technologies. Development has to continue for some time, so that the different options can demonstrate the performance they need to operate in the ILC environment. In addition the ILC vertex detector community is discussing evaluation criteria for the different technologies.

#### <span id="page-95-0"></span>5.1.2 Mechanical design issues

The two general ideas for vertex detector mechanical design (long barrels vs short barrels and forward disks) are illustrated in Figures [5.1](#page-92-0) and [5.2.](#page-92-1) How to choose between them?

There are many contributing factors, and it will take several years before they can be resolved. Firstly, one needs a sensor technology choice, or at least a few compatible options, from the range discussed in the previous section. Each technology carries with it different "baggage", in the form of additional material at the ends of the barrel staves or ladders. An example is shown in Figure [5.4,](#page-96-0) for the CPCCD. Even here, while the components can be identified, their actual design and associated material budget are the subject of intense R&D. It will be best to wait for working ladders, built with the different technologies, in order to have the necessary input for making this decision.

As well as the physical differences, the electrical requirements could be decisive. All options plan to use "pulsed power" in order to keep their average power dissipation within limits that will permit gaseous cooling, since liquid cooling (as is obligatory at LHC) would drastically exceed the material budget. Pulsed power means keeping the detector power switched off, or much reduced, for the 199 ms between the bunch trains of duration 1 ms. In some cases, this means switching on tens of amps of current per ladder during the train. Given that these ladders are sitting in a magnetic field of 3-5 T, what are the mechanical effects of the associated Lorentz forces? If we aren't careful, we may have a lot of vibrations exceeding the maximum tolerable limit of about  $1\mu$ m.

Apart from vibrations, other mechanical effects (long term creep, distortions, etc) must be held to  $1\mu$ m or below. This tolerance is based on the opportunity for charm tagging efficiencies far above those achieved at LEP or SLD. The cross-section of the ILC beam-spot (a few nm  $by < 1 \mu m$ , held steady by feedback systems, permits unprecedented discrimination between IP tracks and those in the decay chain. This is particularly relevant for charm particles, due to the comparatively small impact parameters of their decay products. The vertex detector will be able to build up knowledge of the IP position in x,y to sub-micron precision, by averaging over a number of events, but this only works if the detector itself is stable to this level. Issues such as micro-creep of the structure, stiction in sliding joints such as the one shown in Figure [5.4,](#page-96-0) external stresses on the structure, all need to be carefully controlled.



<span id="page-96-0"></span>FIGURE 5.4. Sketch of mechanical supports and electronics at the end of a ladder for the CPCCD.

Regarding external stresses, it is most important that these are effectively eliminated so that the detector retains its shape perfectly between operations such as opening of the end-doors, and push-pull excursions of the detector. After such operations, there will not be time for re-calibration of the internal geometry by  $Z<sup>0</sup>$  running or any special calibration runs. When for example, the end-doors are opened, the beam-pipe to which the vertex detector is attached will inevitably move and flex slightly. After re-closing, the vertex detector will surely find itself in a different position with respect to other elements of the tracking system (central and forward trackers). Such overall shifts in position and angle are easily determined by tracking with a small number of events, as long as the internal geometries are not disturbed. This in turn depends on the mounting systems. If all the vertex and tracking detectors are attached to their various supports by means of 3-point kinematic mounts (ball, vee and flat, with light springs to maintain contact) no distorting forces can be transmitted to any of the structures. One still has to be careful about cable design, etc, but the principle is well established.

Whichever mechanical design is chosen, it remains important to minimize the size of the beam-pipe. Of course a larger beam-pipe leads to reduced impact parameter resolution due to multiple scattering. A larger beam-pipe necessitates, to some extent, a scaling up of the entire vertex detector, in order to preserve the angular coverage. Enlarging the detector could have other undesirable consequences such as reduced mechanical stability, forcing an increase in the material budget, and possibly requiring 3 sensors rather than 2 in each ladder of the outer layers. This would further degrade the performance by requiring more material within the active volume of the vertex detector, in order to service the inner sensor of each ladder.

It should finally be noted that many physics studies so far made regarding the vertex detector design, have used fast simulation programs. Yet to fully understanding the impact of the material budget, one may need to consider such effects as non-Gaussian tails on dis-

tributions of multiple scattering, and secondary interactions in the material of the detector. For this, full Geant simulations are needed. The same applies to studies of track-finding efficiencies. The number of barrel layers, forward disks and external tracking system elements really needed to do the ILC physics is still unclear. While the current layouts are certainly plausible, they cannot be considered to be at all established at this stage. Much work has still to be done, both by the detector R&D groups, and by those doing the simulations. Ongoing close cooperation between these groups will be essential over the next several years.

# 5.1.3 R&D leading to technology selection for VTX detectors

At the moment a number of different collaborations work on developing, testing and understanding the different technologies discussed in Section [5.1.1.](#page-93-0) The groups have agreed that a major benchmark for them will be the production of a detector-scale ladder at or around 2012. Such an achievement would be a major benchmark on the road towards developing a vertexing system for the ILC. It will be an important benchmark before the community can try to select one or two different technologies to be used in the ILC detectors.

There is an opportunity for coordination of the test facilities to be used in evaluating the different technologies. A suite of calibrated test facilities, to be used by everyone for the evaluation of their ladders, with coordinated plans for data collection, analysis and presentation, could make the comparison of technologies more easy and transparent.

### 5.2 SILICON TRACKING

Both silicon and gaseous tracking technologies are being investigated for tracking charged particles in the region between vertex detector and the calorimeter. These systems, working either alone or in combination with the vertex detector and calorimeter, must provide efficient identification and precise momentum determination of charged particles. This section is focused on silicon tracking design and technology, while the following section focuses on gaseous tracking design and technology.

The development of fine-pitch custom readout chips and improvements in the reliability and yield of the strip sensors has led to the development of ever larger silicon trackers, with the CMS detector having  $> 200 \text{ m}^2$  of active silicon. Silicon strip detectors are now a well established technology.

Silicon strip detectors have a number of attractive features:

- Position resolutions of 5-10  $\mu$ m are achievable in fine-pitch devices with good signal/noise performance, providing excellent momentum resolution even for very high momentum tracks.
- The charge collection time can be made sufficiently fast to identify the beam crossing that generated the hit, minimizing the impact of pileup from beam backgrounds and any detector noise.
- The two-hit resolution is superb due to the fine pitch and the small number of strips typically associated with a charged particle.
- Silicon strip detectors directly measure points on the charged particle trajectory, subject only to the mechanical alignment precision, and do not require corrections for environmental factors or non-uniform magnetic fields.

While silicon strip detectors have been extensively used in other experiments, large detectors typically have  $\approx 2\%$   $X_0$  per layer, most of which is attributable to dead material needed for support, cooling, and readout. This dead material has a number of undesirable effects, including multiple scattering, photon conversion, production of bremsstrahlung photons and delta rays, and hadronic interactions. In keeping with the ILC goal of making precision measurements, one of the most significant R&D challenges for silicon tracking at the ILC is to accrue the benefits of silicon strip detectors while significantly reducing the amount of material in the tracker. This goal, either directly or indirectly, drives much of the silicon tracker R&D program that will lead to a new generation of large area silicon trackers.

A detailed description of the silicon tracking R&D effort can be found in the documents prepared for the 2007 Beijing Tracking R&D Review [\[69\]](#page-199-22) by the Silicon Tracking for the Linear Collider (SiLC) [\[70\]](#page-200-0) and the SiD Tracking Group [\[71\]](#page-200-1). In the sections below, the major issues and R&D efforts underway for silicon tracking at the ILC are summarized.

## 5.2.1 Silicon Sensors

The baseline silicon tracking technology for the ILC is the silicon microstrip detector. Made from a thin wafer of high resistivity silicon, a silicon microstrip detector collects ionization deposited by charged particles onto fine-pitch strips that run the length of the detector. A typical detector, fabricated with currently established technology, might be made from a 150mm diameter, 300 $\mu$ m thick silicon wafer with 50 $\mu$ m pitch strips. When coupled with low-noise readout electronics, such a detector is capable of measuring the track coordinate perpendicular to the strip direction with a precision of  $\langle 10 \mu m$ .

Single-sided detectors excel at measuring a single coordinate, typically the azimuthal angle for precise measurement of track curvature. Where two dimensional hits are required, a number of options have been successfully utilized. Double-sided detectors with strips on both sides of the silicon wafer can provide a 2D stereo measurement of the hit position, although past experience has been that double-sided detectors are difficult and costly to fabricate. A widely used alternative to double-sided detectors is to use back-to-back singlesided sensors to provide the 2D stereo measurement. A third approach that is being studied is to readout both ends of the strip and use resistive charge division to measure the coordinate along the strip direction. Finally, the use of pixel detectors for the inner region of the tracker, especially in the high background low-angle forward region, is under study, with a possibility to further extend it.

Multiple sensors can be ganged together to effectively create longer strips by gluing sensors end-to-end and using wire bonds to electrically connect the strips. The principle advantage of ganging multiple sensors together is to reduce the readout material, power consumed, and heat that needs to be removed. The performance, as well as fabrication issues involved in assembly and handling long sensors, is under study.

Options based on novel 3D Silicon technology are under active R&D (SiLC) in collaboration with research centers specialized in these new technologies. It includes new microstrip sensors based on planar 3D Silicon technology and also 3D pixels to be used for fabricating larger area tracking layers.

While silicon microstrip detectors are a well established technology, the ILC community, in collaboration with industrial partners, is engaged in an active R&D program to further improve the microstrip detector technology. The goals of these efforts are to reduce the amount of tracker material and at the same time the detector costs. Areas of research include

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thinning sensors to  $\approx 200 \mu m$  to reduce material thickness, developing microstrip detectors with larger ( $> 200$ mm) wafers to reduce costs, and fabrication of cost-effective double-sided sensors to provide 2D hit measurements in a single sensor. The reduced material budget makes it impossible to use pitch adapters and therefore, novel solutions are being investigated to connect the front-end electronics and the readout electronics directly onto the detector.

## 5.2.2 Readout Electronics

Readout electronics typically consists of custom front-end integrated circuits that amplify and detect the strip charge, a hybrid that supports these chips and provides the required power conditioning and signal termination components, and low-mass cabling that connects the detector to electronics that interface the detector to the data acquisition, clock distribution, slow control, and power delivery systems.

A key element in the ILC silicon tracking R&D program is the development of the frontend readout chip. The front-end readout electronics is designed in a way that preserves at best the intrinsic detector performance and meets the following requirements:

- Operate within the duty cycle of the ILC machine;
- Be able to tag individual bunches (BCO electronics);
- Data sparsification and digitization on sensor;
- Front-end chips mounted closely onto the sensor;
- Minimization of power dissipation (typically less than 1 mWatt/channel, all included, without power cycling);
- Power cycled front-end electronics;
- Ensure an electronics MIP to noise ratio of order of 25, for detectors from 10 to 60 pF capacitance Silicon detector and shaping times between 500 ns and a few  $\mu$ s;
- Minimize the on-detector total material to increase the transparency to radiation;
- Highly multiplexed  $A/D$  conversion;
- Provide a continuous stream of digital data at the end of each bunch train;
- Ensure the reliability, calibration and monitoring of the whole system over a few millions channels.

This is a challenging set of goals, and three different chip development efforts are currently in progress. The KPix chip, which is being developed for use in tracking and calorimeter readout, provides analog buffering of up to four hits and is structured to provide a high density (1024 channels) bump-bonded interconnect to the sensor. The LSTFE chip uses a time-over-threshold technique to determine the charge deposition, allowing digital storage of hit information. In this scheme, following a low noise pre-amplifier and microsecond-scale shaper, the signal is evaluated by two comparators, one with a high threshold to suppress noise hits, and the second with a lower threshold to provide pulse-integral information in the region surrounding a high-threshold crossing. The gain of the amplification stages is high

with pulse height (but not integral) saturating between two and four times minimum ionizing. In this way, the application of the high and low thresholds is made insensitive to irreducible channel-to-channel variations.

The third approach is to develop a fully digitized system, based on  $0.25\mu m$  CMOS technology to develop readout chips using Very Deep Sub-Micron (VDSM) CMOS technology. The use of VDSM technology, allows, among other benefits, integrating, for instance in 130 nm CMOS technology, a complete readout channel in less than  $50 \times 2500 \ \mu m^2$ . This front-end readout device allows recording the pulse height per cell (Figure [5.5\)](#page-100-0). A resolution transverse to the strip of a few micrometers can be achieved using analogue readout and evaluation of centroids. One needs a shaping time of typically between 500 ns and 2  $\mu$ s (could be even higher for very long strips, reaching 2 to 5  $\mu$ s) in order to keep a signal to noise ratio above 20. Bunch crossing tagging will be achieved for all options. The data will be obtained from the detector with pulse sampling allowing accurate amplitude measurement and BCO identification. Zero-suppression is to be performed in the front-end electronics, using thresholds on analogue sums of adjacent channels (Figure [5.5\)](#page-100-0). Calibration will be also integrated into the front-end chips using digital to analogue converter and Metal Insulator Metal Capacitors of known values as charge reference, together with switched networks. A first prototype in 130nm CMOS UMC technology has been successfully produced and tested (Figure [5.6\)](#page-101-0). The next versions will also include power cycling.



<span id="page-100-0"></span>FIGURE 5.5. Front-end chip architecture using VDSM technology

Another area of R&D is to investigate using the silicon microstrip detector itself for signal routing, simplifying assembly and eliminating the need for the hybrid and further reducing dead material. The signals from the detector strips are routed to a set of bump bonding pads on the microstrip detector using a second "double metal" layer, as shown in Figure [5.7.](#page-101-1) The readout chip is then bump bonded directly to the detector. Additional short traces on the double metal layer provide the necessary interconnect between the readout chip and the cable for power, clock, and data signals.

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<span id="page-101-0"></span>FIGURE 5.6. Layout and photograph of the first prototyped FE chip in 130 nm CMOS technology



FIGURE 5.7. Double metal sensor design. The red double metal traces connect the black readout chip to the gray readout strips (running vertically) and the tan pig-tail cable.

<span id="page-101-1"></span>Along these lines several techniques for bonding the electronics onto the microstrip detector are available and under investigation in collaboration with industrial partners. They depend on the output pad pitch of the chip. Among them are: the ball solders (for pitch

down to 100  $\mu$ m), stud bonding (for pitch down to 70  $\mu$ m), bump bonding (for pitch down to 30  $\mu$ m). Trends in semi-conductor VLSI integration promise for the near future the possibility to stack several thin high resitivity Silicon layers to produce optimized detectors (3D technology).

Powering the readout electronics, especially the front-end readout chip, is another challenge. The readout chips require high current at low voltage, whereas minimizing the amount of conductor favors low current at high voltage. Two promising techniques for providing efficient power delivery are serial power and capacitive DC-DC conversion. While these techniques have been demonstrated to operate for DC loads, R&D is required to demonstrate that they can be made to work with power pulsing. Furthermore the need for a quiescent current in a power off mode is currently under investigation.

### 5.2.3 Mechanical Design

The mechanical design must ensure the stable positioning of the microstrip detectors, provide cooling to remove the heat dissipated in the readout electronics, incorporate alignment and position monitoring components, and provide routing and supports for the detectors, cables, auxiliary components. Providing the precision measurements with minimal amounts of material requires careful design and, in many instances, significant investments in R&D to demonstrate that the design goals are achievable in practice.

The mechanical supports must have sufficient rigidity to provide stable support of the detectors, while also minimizing the material required. Two approaches have been investigated for supporting the microstrip detectors.

In one approach, several detectors are mounted on a support "ladder", which is then attached to a carbon fiber support structure. This approach allows several sensors to be ganged together, with the goal of minimizing readout material. A novel approach to construct such elementary modules is under study. The ladder design that is currently investigated includes foam sandwich structures. These are being studied for the ILC vertex detector option developed by the LCFI R&D group. They have demonstrated that both Silicon Carbide and reticulated Carbon foams can be used to construct stable, extremely low mass ladders. A first step towards this type of ladder support structure is being experienced with the construction of the very first Silicon module prototypes for the present and forthcoming test beams as shown in Figure [5.8.](#page-103-0)

A second approach is to incorporate individual microstrip detectors into modules that can be directly mounted onto the support structure, providing a higher degree of segmentation than with ganged sensors, as shown in Figure [5.9.](#page-103-1)

Silicon trackers have traditionally required extensive liquid cooling systems to remove the heat generated by the readout electronics. The small duty cycle of the ILC allows a substantial reduction in the average power dissipation by power cycling, whereby the current in the input amplifiers is greatly reduced during the interval between bunch trains. It is anticipated that the average power can be made sufficiently low to allow air cooling of the silicon tracker, greatly reducing the amount of material required for cooling.

While power cycling reduces the average current draw, it does not alter the peak current draw, which is typically of order 1A per readout chip, in the KPix case with 1000 channels per chip. The VDSM chip has proven to give less than 1 mWatt per channel, without power cycling, for the full readout chain (Figure [5.5\)](#page-100-0) as measured on the first prototype. These peak currents will generate substantial Lorentz forces on the power and ground conductors. If the

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FIGURE 5.8. Construction of a Silicon ladder made of several Silicon sensors

<span id="page-103-0"></span>



<span id="page-103-1"></span>forces exerted on these conductors are not well balanced, there is the danger that impulse forces exerted during the power cycling will induce vibrations in the tracker and degrade the position resolution that can be achieved.

Precise alignment of the microstrip detectors is required to achieve the desired tracking performance. Track-based alignment is typically used to provide the most accurate determination of alignment constants. However, track-based alignment requires a large number of tracks and can only correct for long term alignment changes. One way to track the relative position of detectors is by shining an infrared laser through several sensors. The light generates

a signal in the strips illuminated by the laser; since the laser light travels in a straight line, the relative alignment of several detectors is established. The advantages of this approach are a minimum impact on system integration, the use of the same front-end and readout as for the other sensors. Sensors have to be slightly modified to allow transmission of the beam. R&D work on Silicon sensors is undertaken in order to still increase the transmittance of these devices. Another alignment technique under investigation is the use of frequency scanning interferometers to precisely measure a set of path lengths within the tracker. In addition to providing measurements of the internal motion of the silicon tracker, the positioning of the tracker with respect to the vertex detector and calorimeter can be measured. This may be particularly critical to track alignment changes that occur during the push-pull movements of the detector.

Services have a huge impact in the material budget and are, therefore, an active area of investigation in the ILC. Power delivery is an important issue, given the restrictions imposed by the given power budget, that will also limit the cooling system. A promising line of investigation is serial powering, where modules are chained in series and are served by a single current source. Analogue and digital voltages are derived by voltage regulators. Serial powering would reduce the number of cables by a factor  $2n$ , where n is the number of modules in series. The factor is  $2n$  instead of n since analog voltage is derived from digital power instead of being provided separately. The reduction of cables will lead to a significant reduction of material in the tracking volume. Also, the power efficiency is much higher, reducing the load of the cooling system. Another issue that makes this technology attractive is the fact that it may reduce the amplitude of the current peaks during the power cycling with the corresponding reduction of the risk of vibrations and the amount of extra conductor required to compensate the IR drop.

#### 5.2.4 Detector Prototypes and Tests

The construction of detector prototypes has started as well as the tests of prototype performances at the Lab test bench and on test beams. The aim is to fully characterize the performances of the new electronics, the new sensors and of the new mechanical structures and designs. Some mechanical effects as those due to power cycling will also be addressed on specific and dedicated test bench. It is of great importance and impact on these detector designs. The Lab test bench activity using radioactive sources have been in progress for a number of years in several laboratories. The test beam activity has started with preliminary prototypes of the detectors and of the readout electronics (SiLC).

The tests should soon permit to compare the various proposed solutions for the sensors, the mechanical designs and the different readout electronics options. These tests are intended to become combined tests with the different subdetectors, i.e. the vertex detector, the calorimeter and the TPC prototypes. Such combined tests are actively planned and prepared.

#### 5.2.5 Design of a Silicon Tracking System

Silicon tracking systems are an integral part of most proposed detectors at the ILC, either in combination with a gaseous tracker, or standalone. SI detectors will cover the particularly challenging areas in the large angle and the very forward direction, which are crucial for the physics program at the ILC, but subject to large background problems in the very forward

case.

As part of the R&D activities studies are under way to investigate how to optimally integrate the Silicon detectors into the different detector concepts. Questions include the role of material, and distribution of the material in the detector due to Silicon, and the granularity needed. An open question is where pixel technology is needed, and where strip technology is sufficient. Whether or not 2D SI technology is needed, and where potentially gains can be realised by using the advanced 3D architecture are interesting questions.

For all these questions, which are adressed in close cooperation with the other R&D groups and the concept groups, powerful and precise simulation and reconstruction programs are needed.

# 5.2.6 Conclusions

Silicon detectors are unique in their ability to make extremely precise hit position measurement with a technology that is scalable to large tracking volumes. They are incorporated, either as a stand-alone tracker or in combination with a gaseous tracker, in all of the detector concepts except the 4th concept. Motivated by the goal of making high precision physics measurements at the ILC, silicon tracking R&D efforts have a strong focus on developing high precision track measurements while substantially reducing the minimum amount of material required. Highlights of this R&D program include:

- Development of power pulsed readout electronics to take advantage of the low duty cycle of the ILC and significantly reduce the average power consumed. The goal of this effort is to allow air cooling of the silicon tracker, eliminating the significant amount of material and complexity required for water cooling.
- Development of new detector designs to optimize performance and minimize material. These efforts include:
	- Development of long ladders to minimize the number of readout channels.
	- Development of high density readout chips and bump bonding techniques to minimize the amount of readout material.
	- Development of thinned silicon wafers to reduce material.
	- Development of new power delivery components to minimize the material required to power to the tracker.
	- Development of double-sided detectors and charge division readout to minimize the material required for 3D hit measurements.
	- R&D on 3D Silicon technology and on the use of pixels for relatively large Silicon tracking areas.
- Development of new mechanical designs to provide robust mechanical support. The goal of this effort is to provide the required mechanical stability while minimizing the amount of material required.
- Development of alignment instrumentation to detect and monitor any movement of the tracker. These efforts include development of infrared laser and frequency scanning interferometry alignment technologies.
- Development and testing of prototype detectors to measure detector performance under realistic conditions. These efforts are critical to verifying that the expected performance is achieved and that there are no unexpected problems that would adversely affect construction of silicon trackers for the ILC.
- Development of simulation studies on detector performances and on especially important issues such as the design of the large angle and forward region Silicon tracking coverage, and the possibility to build a Silicon tracking system based on pixels.

### 5.3 GASEOUS TRACKING

The worldwide effort to develop a gaseous central tracking system for an ILC detector is now focused on a design based on the Time Projection Chamber (TPC) concept. TPCs have an advantage over other drift chamber designs in that they can record a large number of track segments in three dimensions and thereby be more robust for tracking particles in high multiplicity jets and in the presence of large machine backgrounds. At the same time, the central volume of a TPC has very little mass for scattering particles passing through it. The capability of the TPC to perform particle identification by measuring the ionization energy loss of particles is an additional benefit.

The TPC concept consists of a large container holding a suitable gas in which a uniform electric field of a few hundred  $V/cm$  is formed parallel to the magnetic field of the ILC detector. Charged particles passing through the gas liberate electrons, which then drift towards an endplate of the TPC. The electrons undergo gas amplification there and are sampled in space via a segmented anode (pads), to estimate the coordinates of track segments in the plane parallel to the endplate plane, and in time, to estimate the coordinates along the drift direction. A schematic of TPC is shown in Figure [5.10.](#page-107-0)

TPCs have been used in a number of large particle physics experiments in the past with good success. The performance requirements for an ILC TPC, however, greatly exceed the achievements of existing TPCs by large factors. In particular, the momentum resolution goal of

$$
\sigma(1/p_t) \approx 5 \times 10^{-5} \text{GeV}^{-1} \tag{i}
$$

(or even less) is a particular challenge.

To reach the performance goals, the wire grids used for gas amplification in previous TPCs are replaced with micropattern gas detectors, such as Gas Electron Multipliers (GEMs) or Micromegas (MMs) and the spatial resolution of about  $100\mu$ m or less has to be achieved. Due to the minimum wire spacing of a few mm, the electric and magnetic fields were not parallel near the wire grids, which limited the spatial resolution of wire TPCs. The feature sizes of GEMs or MMs are more than an order of magnitude smaller, which allows much better precision in determining the spatial coordinates of the track samples. An extra benefit of the micropattern gas detectors is that the spatial and temporal spread of the signals at the endplate are significantly reduced allowing for better two particle separation power. The expected spatial resolution of TPC in the case of GEM readout is shown in Figure [5.11.](#page-107-1) As seen in the figure, the transverse charge diffusion could be confined by a strong detector solenoid magnet of  $3 \sim 4$  Tesla within the goal even after long drift of more than 2 m.

Another option using the cluster counting drift chamber is described in section [5.3.5.](#page-111-0)

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FIGURE 5.10. Schematic layout of the TPC

<span id="page-107-0"></span>



# <span id="page-107-1"></span>5.3.1 Basic design concepts of TPC

#### 5.3.1.1 Field cage

The ILC TPC is foreseen to be a large cylinder of outer diameter 3–4 m and total length about 4 m. Lightweight cylindrical inner and outer composite walls hold field forming strips attached to a resistor divider network. A central cathode, dividing the TPC into two drift volumes, would be held at approximately 50 kV, with the endplates and the other outer
surfaces of the TPC at ground potential. The composite walls must therefore stand off the large potential of the central cathode. Narrow strip pitch with mirror strips are being considered in order to keep the field as uniform as possible within the active TPC volume.

### 5.3.1.2 Gas system and gas choice

A conventional recirculating gas system is necessary in order to remove atmospheric impurities. Special attention must be given to maintain the pressure relative to atmosphere within a tight tolerance, in order to limit dynamic field distortions caused by flexing of the endplate.

An argon based gas mixture is the leading candidate but the choice of the best quencher to add to the argon is still an open question and depends on a number of factors. The electron drift velocity should be relatively fast and the transverse diffusion constant relatively small in presence of the strong magnetic field. For the GEM readout option, it is beneficial to have its larger transverse diffusion in the amplification region, in order to ensure the charge is spread over more than one pad. To reduce the sensitivity to neutron machine backgrounds, it may be important to keep the hydrogen content of the gas mixture as small as possible.

### 5.3.1.3 Endplate design

The endplate should present as little material as possible for forward-going particles in order not to compromise the jet energy resolution in the forward direction. High density electronics will allow for the possibility of mounting the electronics directly on the back of the readout plane, thus reducing the inactive volume. Pulsed power operation of the electronics is considered, so that air cooling is sufficient to limit the thermal gradient inside the TPC.

# 5.3.2 Amplification and Readout Systems of TPC

There are a number of different ideas to provide gas gain and sample the resulting electron signals. It is important that the system can accurately estimate the coordinates for the very narrow distribution of electrons that arrive. With moderate size pads, having a width of about 1–2 mm, there can be a loss of precision if the charge is collected by only one pad within a row. This can be alleviated by either having much smaller pads or by spreading the signals after the gas gain. The pads should be no larger than about 3 times the intrinsic width (standard deviation) of the signal.

GEM foils consist of a thin polyamide film clad on both surfaces with copper. Small holes are etched completely through in a fine grid pattern with a pitch of about 0.1 mm. By applying a potential difference of about 350 V between the two copper surfaces, large electric fields develop within the holes, sufficient to provide gas gain. To reduce the probability of sparking to develop, two or three GEM foils are stacked up to provide the gas gain in multiple stages.

MM devices have a wire mesh held a very small distance, typically less than 0.1 mm, above the pad plane. A potential difference of about 400 V is sufficient to provide good gas gain in the small region between the mesh and pad plane.

With the option of using GEM foils to amplify the drifting electrons, the right choice of gas can allow significant diffusion to occur when the electrons pass between the GEM foils. This defocussing allows more than one pad per row to sample the charge to maintain good spatial resolution.

With the narrow gas amplification region in a MM, it is not possible to use gas diffusion to ensure that signals are detected by more than one pad per row. An alternative solution to spread the signals over a larger area is to affix a resistive foil onto the pad plane. The surface resistance determines the spatial extent of the resulting induced signals. The same technique can also be applied for GEM gas amplification if the gas diffusion is not sufficient.

Another approach that is being considered is to use CMOS pixel readout, in order to measure the charge signals with very fine segmentation. This is particularly appropriate for the MM amplification which maintains the narrow distribution of the charge signals. The pitch of this readout is fine enough that ionization cluster counting may be possible to improve the particle identification performance.

# 5.3.3 Challenges for the ILC TPC

The demand for high precision for the ILC TPC presents significant demands on its design and calibration.

### 5.3.3.1 Magnetic field uniformity

The uniform magnetic field provided by the solenoid may be strongly modified by the presence of a detector integrated dipole (DID) or possibly anti-DID, which are being considered for helping to guide the beams through the detector for an interaction point with a large crossing angle (see chapter [4\)](#page-78-0). Such a field affects the track parameter determination in a TPC in two ways. Firstly, the helix of a charged particle is distorted. Secondly, the paths that the electrons follow towards the endplate are no longer straight lines perpendicular to the readout plane. It will be important to have magnetic field maps taken under different magnetic field configurations to correct the observed data. Improved treatment will require good control samples of ionization. To produce these a calibration system is foreseen which produces a pattern of photo electrons on the cathode. Laser induced tracks will also be useful to detect, understand, and correct track distortions.

### 5.3.3.2 Positive ions in the drift volume

The drifting electrons can also be affected by the presence of positive ions in the TPC. They are produced in the original ionization process, but more importantly in the gas gain regions of the TPC. The micropattern gas detectors suppress the number of positive ions that reach the drift volume from the gas amplification region, but not completely. To eliminate this problem, a gating plane, made using a wire grid or possibly an additional GEM layer is under consideration.

### 5.3.3.3 Mechanical structure

It will be challenging to design and build the TPC structure with relatively little material, and at the same time be very rigid. The endplate will likely be populated by a tiling of removable readout modules. The modules will need to be located to high precision with good mechanical stability.



<span id="page-110-0"></span>FIGURE 5.12. Preliminary result of the spatial resolution of Micromegas readout as a function of drift length. A resistive pad plane was used to spread the signal charge.

# 5.3.4 Status of ILC TPC R&D

Over the past five years, a number of R&D efforts around the world have been setup to study various aspects of the ILC TPC concept by constructing and operating relatively small prototypes. These studies include:

- Determination of the intrinsic spatial resolution and two particle separation power of the different readout options with and without magnetic fields. In several cases, the spatial resolutions goals for short drift distances have been achieved;
- measurements of the charge transfer of electrons and positive ions through the devices;
- investigation of different field cage designs. To date these studies have been encouraging.

Figure [5.12](#page-110-0) shows a typical result on a study of the spatial resolution of MM readout in conjunction with a resistive pad foil used to insure charge spread. The spatial resolution of less than 60 $\mu$ m was reported for a large pad size of 2  $\times$  6 mm<sup>2</sup> and Ar-CF4-Isobutane gas using a small TPC.

It is important to verify whether the performance goals can also be reached for larger scale TPCs. To that end, the groups have formed a collaboration known as LCTPC [\[72\]](#page-200-0) to coordinate the R&D and to build a much larger prototype. This work will be carried out in conjunction with the EUDET program [\[73\]](#page-200-1).

A common software framework is under development for studies of the small prototype data and will be used for the large prototype. This software will also help better define some of the requirements for the full size TPC design. For example, an important issue is the occupancy due to backgrounds, and recent simulation results are shown in Figure [5.13](#page-111-0) where it is seen that  $\langle 0.1\% \rangle$  is expected for pad sizes being considered. Tracking efficiency remains



<span id="page-111-0"></span>FIGURE 5.13. Simulation of TPC occupancy expected due to background electrons, photons and neutrons, as a function of voxel size. A voxel is defined as the space volume which can be resolved. A voxel may contain more than one readout channel. The horizontal axis defines the scale of the voxel, with the actual spatial extend defined in the picture, for different cases. Even for unrealistically large voxel sizes of a few cm, the occupancy stays below  $1\%$ .

near 100% even for 10 times more occupancy, as the study in Figure [5.14](#page-112-0) demonstrates.

# 5.3.5 Cluster Counting Drift Chamber

A second option for a gaseous central tracker is the cluster counting drift chamber modeled on the successful  $KLOE<sup>1</sup>$  $KLOE<sup>1</sup>$  $KLOE<sup>1</sup>$  main tracking chamber. This drift chamber (CluCou) maintains very low multiple scattering due to a He-based gas and aluminum wires in the tracking volume and, with carbon fiber end planes, forward tracks that penetrate the wire support frame and the close-in electronics beyond  $\cos \theta \approx 0.7$  suffer only about 15-20% Xo of material. The KLOE chamber is one of the largest, highest performance and most transparent tracking chambers ever constructed[\[35\]](#page-198-0) and has operated successfully for 10 years.

The He-based gas reduces substantially the material in the tracking volume, thereby directly improving momentum resolution in the multiple scattering dominated region below 50  $GeV/c$ . This He gas also has a low drift velocity allowing a new cluster counting technique  $[35]$ that clocks in individual ionization clusters on every wire, providing an estimated 50 micron spatial resolution per point, a  $dE/dx$  resolution near 3%, and z-coordinate information on each track segment through an effective dip angle measurement. The drift time in each cell is less than the 300 ns beam crossing interval, and therefore this chamber sees only one crossing per readout.

<span id="page-111-1"></span><sup>&</sup>lt;sup>1</sup>The KLOE experiment studies  $e^+e^- \to \phi \to K^+K^-$  in which the slow kaons have both small p and small  $\beta$  necessitating a tracking chamber with a minimum of multiple scattering material.



<span id="page-112-0"></span>FIGURE 5.14. Study of TPC tracking efficiency as a function of pad size for 1% occupancy.

The critical issues of occupancy and two-track resolution are being simulated for ILC events and expected machine and event backgrounds, and direct GHz cluster counting experiments are being performed. This chamber is midway between the faster, higher precision silicon chamber and the slower 3-d space point information provided by a TPC, and is orthogonal to both with respect to its low multiple scattering.

# 5.4 CALORIMETRIC SYSTEMS

Calorimeters of the ILC detectors serve for a precise jet energy measurement, for the precise and fast measurement of the luminosity, and to ensure hermeticity down to small polar angles. To fully exploit the physics potential of the ILC, the resolution of the jet energy measurement,  $\sigma_E/E$ , is required to be  $\approx 3-4\%$ , or  $30\%/\sqrt{(E)}$  at energies below about 100 GeV. This resolution, being about a factor of two smaller as the best currently operating calorimeters, must be maintained almost over the full polar angle range. The ability to detect single high energy electrons with nearly 100% efficiency is even required at very small polar angles to ensure the potential for new particle searches. Special calorimeters in the very forward region will make this possible. They will also deliver a fast and a precise measurement of the delivered luminosity.

To approach the required jet energy resolution, research is done for two different calorimeter concepts. The first, followed by the majority of R&D projects, is the development of extremely fine grained and compact calorimeters with single particle shower imaging. The particle flow concept is used to determine jet energy and direction. Tracks are matched to their depositions inside the calorimeters. Depositions without matched tracks are assumed to originate from neutral particles inside a jet. The jet energy is then determined from the charged track momenta and the depositions from neutral particles in the calorimeters.

Using Monte Carlo simulations it has been demonstrated that a significant improvement of the jet energy resolution is feasible, but substantially more effort is needed to optimize the calorimeter design, to improve the particle flow algorithms and, most important, to develop the calorimeter technologies and to verify the Monte Carlo simulations by test-beam measurements.

The second, followed by one group, exploits the dual readout of scintillation and Cherenkov light of fibers or crystals (DREAM). The electromagnetic and hadronic component inside a shower can be separated and finally properly recombined with a gain in resolution due to reduced fluctuations.

# 5.4.1 Electromagnetic Calorimeters for Particle Flow approach

Electromagnetic calorimeters (ECAL) are designed as compact and fine-grained sandwich calorimeters optimized for the reconstruction of photons and electrons and for separating them from depositions of hadrons. To keep the Moliere radius near the minimum possible tungsten or lead are used as absorber. Sensor planes are made of silicon pad diodes, monolithic active pixel sensors (MAPS) or of scintillator strips or tiles. Also the combination of silicon and scintillator sensor planes was investigated. The range of energies of electrons and photons suggests a thickness of about 24 radiation length for the ECAL.

#### 5.4.1.1 Silicon Tungsten Sandwich Calorimeter

Tungsten is chosen as a radiator because of its small Moliere radius of 9.5 mm minimizing the transversal shower spread. To reach adequate energy and position resolution over the necessary energy range, the sampling thickness should be finer on the side pointing to the interaction point than at the rear side, changing e.g. from about 0.6 to 1.2  $X_0$ . Two groups





<span id="page-113-0"></span>FIGURE 5.15. The structure of the silicon tungsten calorimeter, as proposed by the CALICE collaboration. The slots in the tungsten frame are equipped with detector slabs.

<span id="page-113-1"></span>FIGURE 5.16. A single detector slab which will be inserted into the ECAL support structure. The tungsten absorber plate (grey) is attached at both sides by silicon pad sensors with FE chips on top.



FIGURE 5.17. Two electron showers recorded by the CALICE prototype detector in the testbeam at CERN.



FIGURE 5.18. The CALICE module. It will be a full size calorimeter module partly equipped with 500 kg absorber plates and detector slabs.

<span id="page-114-0"></span>

<span id="page-114-1"></span>

<span id="page-114-2"></span>FIGURE 5.19. Structure of a detector slab. The tungsten plate in the center (light grey) is enclosed by silicon sensor planes (light red) and PCBs (green) on both sides. The FE chip is integrated in the PCB.

<span id="page-114-3"></span>FIGURE 5.20. The mechanical structure for the ECAL proposed by the Silicon Detector group. Tungsten planes (grey) of 200 kg weight are joined to a module by rods (black). Hexagonal sensor planes (green) are placed in between the tungsten plates.

study silicon tungsten calorimeters in detail. The first, within the CALICE [\[74\]](#page-200-2) collaboration, proposes a mechanical frame made of carbon fiber reinforced epoxy with integrated tungsten absorber plates, as shown in Figure [5.15.](#page-113-0) Between the absorber plates space is left for detector slabs, sketched in Figure [5.16,](#page-113-1) containing silicon sensor planes. The silicon sensors are structured with quadratic pads of 5x5 mm<sup>2</sup> size, being about a third of the Moliere radius, and about 300  $\mu$ m thickness. The sensors are glued to a PCB and to both sides of a tungsten plate wrapped with a H structure made from carbon fiber composite. The frontend electronics ASICs are soldered on the PCB. Data are processed in the front-end ASIC

and concentrated by a chip on the edge of the detector slab. A dynamic range of 15 bit is required. Valid data are shifted to an analog memory, digitized on chip and stored during the full bunch train. The concentrator flushes the data after the bunch train and sends them to the DAQ. In order to avoid active cooling the power dissipation should not exceed 100  $\mu$ W per channel. Power will be pulsed and switched off in between the bunch trains, i.e. 99% of the time.

CALICE has built a prototype calorimeter with sensors of  $1x1 \text{ cm}^2$  pad size and took data in an electron beam of about 5 GeV at DESY and in a higher energy hadron test-beam at CERN. An event display of the showers of two nearby electrons of 20 GeV recorded when the calorimeter is tilted with respect to the beam axis, is shown in Figure [5.17.](#page-114-0) The data obtained in the test-beam will be used to determine the performance of the prototype calorimeter with respect to energy resolution, shower position resolution and two-shower separation. It will furthermore allow comparison and refinement of Monte Carlo simulations important for the understanding of the PFA approach.

CALICE prepares in parallel a second prototype, called EUDET module, which will be as close as possible to the final design. This is a full length structure, as shown in Figure [5.18,](#page-114-1) partly equipped with sensors and absorbers. The pad size is  $5 \times 5$  mm<sup>2</sup>, resulting in 40k channels to be readout. The details of a detector slab are shown in Figure [5.19.](#page-114-2) Two silicon sensor planes are attached to each side of a tungsten absorber plate. The pads on the sensors are read out by a FE chip ILC-PHY5 with a 12 bit ADC on chip. The first chip submission occurred at the end of 2006. Being power pulsed, the total power dissipation will be  $25\mu$ W per channel. The construction and test of the EUDET module will be the proof of the final design of the CALICE ECAL.



Tungsten Si Detector Tungsten

<span id="page-115-0"></span>FIGURE 5.21. A silicon sensor prototype with hexagon pads.

<span id="page-115-1"></span>FIGURE 5.22. The cross section of the ECAL. The silicon sensors interspersed between tungsten plates and readout by the KPiX FE chip bump bonded to metallic traces connected to each sensor cell.

The second project is pursued by groups collaborating on the Silicon Detector Design Study. [\[75\]](#page-200-3). Mechanical stability is obtained by connecting the tungsten planes, as shown in Figure [5.20,](#page-114-3) by cylindrical rods. A 1mm gap is left for the silicon sensor planes. The total thickness of the tungsten absorber corresponds to  $27 \text{ X}_0$ . The basic active element consists of hexagonal silicon planes made from 6 inch wafers, maximizing the use of sensitive area of a wafer, as shown in Figure [5.21.](#page-115-0) The silicon plane is subdivided in 1024 hexagonal diodes of 12 mm<sup>2</sup> area each. Each plane will be readout by one 1024 channel ASIC (KPiX). The chips are bump bonded to the sensor plane, as can be seen from Figure [5.22.](#page-115-1) The KPiX

chip performs the analog conditioning and 15-bit digitization for all channels and tags hits with bunch crossing information, to minimize backgrounds which are out of time. The data are serialized and transported by transverse data cables to the edge of a calorimeter module. Several modules are combined to feed the signals to a data concentrator. It is worth noting that KPiX has been adapted for use with silicon microstrip detectors and RPC and GEM detectors for the hadronic calorimeter and muon system. In Figure [5.23](#page-116-0) a KpiX chip is shown in a test bench at SLAC. Measurements done so far on linearity of the response and timing correspond to the expected performance. As an example Figure [5.24](#page-116-1) shows the digitized signal as a function of the input charge injected via the internal calibration circuit. A novel feature of the KPiX chip is the dynamic switching, which accommodates the large dynamic range required for the ECAL. The charge equivalent of one MIP is 4.1 fC allowing a good signal/noise for MIP detection. In Figure [5.24](#page-116-1) the switching occurs around 700 fC. The upper end of the Figure corresponds to about 2500 MIPs, roughly the expected maximum signal for a 500 GeV electron incident under 90◦ at the shower maximum using 12 mm<sup>2</sup> pixels. More tests are needed to understand e.g. channel-by-channel variations.

The goal of the R&D is to fabricate a full-depth electromagnetic calorimeter prototype module. This will consist of 30 longitudinal layers, each consisting of an about 15 cm diameter silicon detector outfitted with a KPiX chip sandwiched between 2.5 mm thick tungsten radiator layers. The module will be fully characterized for electromagnetic response and resolution in an electron beam, probably at SLAC in 2007. A first round of 10 silicon detectors, made from a 6 inch wafer, has been purchased and tested in the laboratory, and a second round submitted. Several prototypes of the KPiX chip are successfully tested. A second, improved version, is under preparation. The light cable for signal transport inside the gap is being designed and preparations for bump bonding are underway.



FIGURE 5.23. The KPiX FE readout chip in a test bench at SLAC.



<span id="page-116-1"></span>FIGURE 5.24. Linearity test of the KPiX readout chip. The digitized signal is shown as a function of the injected via a calibration circuit.

### <span id="page-116-0"></span>5.4.1.2 Monolithic Active Pixel Digital ECAL

Recently a group from RAL within the CALICE Collaboration has proposed using monolithic active pixel sensors (MAPS) instead of silicon pad diodes for the ECAL. MAPS are produced

in CMOS technology, widely used in semiconductor industry. To instrument an ECAL with MAPS might be of lower cost than using the high resistivity silicon needed for the previous designs. The readout of the pixel will be binary. To ensure that a pixel inside a shower is mostly hit only by one particle, the pixel size must be about  $40x40 \mu m^2$ . The total number of pixel for the ECAL will be about  $8 \times 10^{11}$ . The signals on the pixel during a bunch train are stored on the sensor with time stamps and hit pixel numbers and readout between trains. To avoid a critical amount of noise hits a S/N ratio of larger than 15 is required. The use of  $0.18\mu$ m CMOS technology is planned.

### 5.4.1.3 Scintillator Tungsten Sandwich Calorimeter

For a calorimeter with a large radius, a finely segmented scintillator-based sandwich calorimeter may have a particle flow performance similar to a compact silicon-tungsten calorimeter, but might have lower cost. A group of Asian Labs within CALICE [\[76\]](#page-200-4) plans a sandwich calorimeter using plastic scintillator as sensor. Layers of scintillator strips, oriented per-





<span id="page-117-1"></span>FIGURE 5.26. Scheme of a MPPC. A surface of about  $1x1$  mm<sup>2</sup> is structured into pixel diodes. A photon absorbed by a pixel induces an avalanche which creates an electrical signal.

<span id="page-117-0"></span>FIGURE 5.25. A possible strip sequence of the ECAL for GLD. Layers of scintillator strips are oriented perpendicular to each other. Each strip is equipped with a wavelengthshifting fiber (green) and readout by a MPPC (blue dots).

pendicular to each other as shown in Figure [5.25,](#page-117-0) are placed in between tungsten absorber plates. The effective segmentation given by the strip width is  $1x1 \text{ cm}^2$ . Each strip or tile is equipped with a wavelength-shifting fiber readout by novel Geiger mode photo-diodes, called here multi-pixel photon counter, MPPC. Figure [5.26](#page-117-1) illustrates the operation of an MPPC. Each pixel is an independent diode with a relatively large electrical field in the depletion region. A photon absorbed by a pixel induces an avalanche in the depletion region, inducing a pulse in the bias voltage circuit.

Prototypes of MPPCs are available with 400 and 1600 pixels. From Monte Carlo simulations it is estimated that for electromagnetic shower reconstruction 2500 pixels are necessary to match the required performance. The gain is between  $10<sup>5</sup>$  and  $10<sup>6</sup>$  for depletion voltages of 30-70 volts. The photon detection efficiency is about 25% for devices with 1600 pixels and the time resolution 1 ns. MPPCs will work in a magnetic field. They show, however, a relatively large noise in the range of several MHz. MPPCs have excellent capability to count photoelectrons, as it is shown in the output signal spectra in Figure [5.27.](#page-118-0) Illuminating the MPPCs with faint light pulses (black curve) the peaks for zero, one and more photoelectrons are nicely visible.

Since the number of pixels on a MPPC is limited, the response as a function of the number of photons is non-linear for brighter light pulses. A sample of 20 MPPCs is studied to estimate



<span id="page-118-0"></span>FIGURE 5.27. The spectrum measured with a MPPC of a relatively small (black) and a larger (red) light signal.

<span id="page-118-1"></span>FIGURE 5.28. The gain of several MPPCs with 1600 pixels as a function of the applied voltage.

device-by-device variation. As an example, in Figure [5.28](#page-118-1) the gain as a function of the voltage applied is shown. Above the breakdown voltage a linear dependence is observed. For a given voltage the gain variations of the sample are about 30%. In addition, the gain depends on the temperature. The cross-talk between adjacent pixels is measured to be between 2 and 20%, depending on the applied voltage. Nothing is known on the long-term performance stability of MPPCs. KEK together with Japanese universities launched a Detector Technology Project to develop and study MPPCs in collaboration with the Hamamatsu Company [\[77\]](#page-200-5).

A prototype calorimeter of a structure similar to the GLD design but read-out with classical multi-anode photo-multipliers was tested in an electron beam of energies from 1 to 4 GeV at KEK. The energy and shower position resolutions of about  $13\%/\sqrt{E}$  and  $4.5/\sqrt{E}$ mm, respectively, agreed perfectly with Monte Carlo simulations. In addition, the angle of the shower axis was measured with a resolution of  $4.8/\sqrt{E}$  degrees, demonstrating the ability to detect photons not originating from the interaction point [\[78\]](#page-200-6).

A new prototype calorimeter has been instrumented with MPPCs and beam tested at DESY earlier this year. A sketch is shown in Figure [5.29.](#page-119-0) The thickness of the tungsten absorber plates is 3.5 mm and the thickness of the scintillator strips is 2 mm. Scintillator





FIGURE 5.29. A small prototype ECAL instrumented with scintillator strips readout by MP-PCs for test-beam studies.

<span id="page-119-1"></span>FIGURE 5.30. A scintillator strip with an embedded wavelength shifting fiber produced in Korea.

<span id="page-119-0"></span>strips will be either extruded by Korean partners, as shown in Figure [5.30,](#page-119-1) or made from large planes structured by grooves. About 500 MPPCs will be delivered by Hamamatsu. Half of them will be coupled to the wavelength shifting fibers inside the strips, and half will be attached directly to the scintillator strip. Experience obtained in this test-beam study will then be used for the construction of a larger prototype to be tested at FNAL.





<span id="page-119-2"></span>FIGURE 5.31. The signal spectrum from cosmic rays crossing a tile module of the University of Colorado group. The tiles consist of 2 mm thick plastic scintillator tiles readout by a SiPD photo-detector. Nicely seen is the photo-electron counting capability.

<span id="page-119-3"></span>FIGURE 5.32. The energy resolution measured with a silicon-scintillator lead sandwich calorimeter.

A group from the University of Colorado [\[79\]](#page-200-7) proposes a scintillator-tungsten sandwich calorimeter using scintillator tiles of  $5 \times 5$  cm<sup>2</sup> size readout with silicon photo-detectors, SiPDs, via a wavelength shifting fiber. In order to improve the shower position resolution the tiles in consecutive layers are offset by 2.5 cm. The performance of wavelength shifting fibers with different bend radii has been monitored over about a year without degradation. SiPDs are devices similar to MPPCs described above manufactured by the Photonique Company, Switzerland. The active area of the devices is  $1x1 \text{ mm}^2$  and the gain is about  $10^5$ . The

signal-to-noise ratio is studied as a function of the temperature. It improves significantly at lower temperatures. A small tile module is operated in the lab and performance studies are done with cosmic rays. Figure [5.31](#page-119-2) shows the signal spectrum from a cosmic ray run using a 2mm thick scintillator tile. The gate length and position for the integration of the SiPD output is optimized to suppress noise pulses.

The Colorado group will develop in collaboration with Photonique a SiPD of larger sensitive area and a gain of about  $10^6$ .

#### 5.4.1.4 Mixed Silicon and Scintillator Tungsten

A prototype of a sandwich calorimeter consisting of 45 scintillator planes and three planes of silicon pads interspersed between lead absorber disks was built and operated in a test-beam [\[80\]](#page-200-8). The measured energy resolution, parametrized as  $11\%/\sqrt{E}$ , is shown in Figure [5.32.](#page-119-3) There is no plan for the moment to continue the project.

# 5.4.2 Hadron Calorimeter for Particle Flow approach

Several technologies of fine-segmented sampling calorimeters are under investigation with either analog or digital readout. The analog read out calorimeters use scintillator tiles or scintillator strips as sensors. Digital calorimeters use GEMs (Gaseous Electron Multipliers), Micromegas (Micro mesh gaseous structures) or RPCs (Resistive Plate Chambers) as active elements.

### 5.4.2.1 Analog HCAL

Analog hadron calorimeters use scintillator as detector and steel or lead as absorber. The scintillator tiles are readout by novel photo-sensors, e.g. MPPCs or SiPDs as described above, or Silicon Photo-multipliers (SiPMs). These photo-sensors are based on the same working principle but developed in different regions: e.g. MPPCs in Japan and SiPMs in Russia [\[81\]](#page-200-9).

Two projects are pursued within CALICE. One is based on small area scintillator tiles of a few mm thickness read out by SiPMs via wavelength shifting fibers. Layers of steel serve as absorber and form the mechanical frame. A small prototype, the MINICAL, was operated successfully in a test-beam. The test demonstrated that using SiPMs as photosensors maintains the resolution measured with classical photo-multipliers [\[82\]](#page-200-10).

Currently a 1  $m<sup>3</sup>$  prototype calorimeter, as shown in Figure [5.33,](#page-121-0) has been partially equipped with 1 m<sup>2</sup> sensor layers and tested in a CERN lepton and hadron beam. The scintillator tiles are of  $3x3 \text{ cm}^2$  size in the core of the calorimeter and  $10x10 \text{ cm}^2$  in the edge regions, as shown in Figure [5.34.](#page-121-1) The granularity in the core has been chosen to optimize the particle shower separation power. It is also small enough to test semi-digital (two bit) readout concepts. Each tile is equipped with a SiPM. The signals are transported using thin wires to one side of the plane and feed in the DAQ electronics which is the same as the one being used for the CALICE silicon-tungsten ECAL prototype. The calorimeter is supplemented by tail catcher and muon tracker to ensure full measurement of hadron showers. The event display of a test-beam hadron in Figure [5.35](#page-122-0) demonstrates that the full system is working and produces images of the hadron shower structure. About 70 million events with electron and hadron beams in the energy range from 6 to 80 GeV have been collected at CERN in 2006, mostly in conjunction with the silicon-tungsten ECAL. These data already allow first studies of particle flow performance with ECAL and HCAL together. The prototype





FIGURE 5.33. The 1  $m<sup>3</sup>$  prototype of the CAL-ICE analog HCAL in a test-beam at CERN. Also shown is the prototype of the ECAL in front of the HCAL and the tail-catcher and muon tracker TCMT.

<span id="page-121-1"></span>FIGURE 5.34. A scintillator tile plane for the 1  $m<sup>3</sup>$  prototype calorimeter. Each tail is equipped with a wavelength shifting fiber read out by a SiPM.

<span id="page-121-0"></span>instrumentation is to be completed in 2007, along with further data taking at CERN and Fermilab. A versatile mechanical support structure is under construction, which will make studies with inclined beam incidence possible. The same structure shall later also be used with gaseous HCAL modules, as described below, for a direct comparison with the purely digital options. In addition, the use of SiPMs in a large scale prototype will allow to collect very valuable expertise on the long-term performance of these novel photo sensors.

The second project is focused on a hadron calorimeter design which uses lead as absorber and scintillator layers as sensors. Lead is chosen to achieve hardware compensation, i.e. to ensure almost equal response for the electromagnetic and the hadronic shower component and reducing such the fluctuations. Test-beam measurements have shown that compensation is achieved by choosing the ratio of lead-to-scintillator thickness to 9.1:2. In addition, plastic scintillator detects neutrons effectively, improving the energy measurement of hadrons. The scintillators are structured in strips and tiles as shown in Figure [5.36.](#page-123-0) The strip width and length is set to 1 and 20 cm, respectively, and are subject to ongoing optimization. Wavelength shifting fibers are placed inside grooves along the strip center or curled inside the tiles. To ensure a sufficient amount of light the scintillator thickness is set to 5 mm.

The frontend electronics for test-beam studies of this HCAL design will be based on that developed for the CALICE ECAL. Test-beam data taken with a prototype calorimeter will allow a detailed comparison to the FE/scintillator calorimeter previously.

#### 5.4.2.2 Digital HCAL

Digital HCAL designs use gaseous signal amplification in GEMs, Micromegas or RPCs. Thin and large area chambers are interspersed between steel absorber plates. The chamber anode is segmented in small pads of about 1 cm<sup>2</sup> size, matching the granularity needed for the PFA application. Research work is done within the CALICE collaboration [\[83\]](#page-200-11).

The structure of a digital HCAL with GEMs as sensors is shown in Figure [5.37.](#page-124-0) An



<span id="page-122-0"></span>FIGURE 5.35. An event display of the shower of a 40 GeV pion recorded in the CERN test-beam in several projections. The shower starts in the ECAL, continues into the HCAL and ends in the tail-catcher.

electric field is created between the cathode and the first kapton foil, forcing electrons created by ionization to drift to the kapton foil. The kapton foil is metalized on both sides and perforated with holes of about  $70\mu$ m diameter. Applying high voltage to the metal layers on both sides of the foil results in a strong electric field inside the holes and leads to gas amplification. The use of several layers ensures a sufficient signal size. The size of the pads might be arbitrarily small. GEMs provide fast signals and recovery time. The pad signals are amplified and discriminated. If the signal of the pad is above the threshold it is counted as a hit.

Test chambers of area  $30 \times 30 \text{ cm}^2$ , as shown in Figure [5.38,](#page-124-1) are operated with cosmics, a radioactive source and a high intensity electron beam. A gas mixture of 80% Ar/20%  $CO<sub>2</sub>$  has been shown to work well with a gain of  $10<sup>4</sup>$ , an efficiency of about 95% and a hit multiplicity of 1.27. Chambers have been exposed to a high intensity electron beam. Even after collecting  $2 \times 10^{12}$  electrons/pad, no decrease in gain was observed.

A full-size test beam module, planned for completion in 2008, will be equipped with 100 x 30 cm<sup>2</sup> chambers. Major activities are ongoing for the mechanical aspects of large GEMlayer assembly and the fabrication of large area GEM foils in collaboration with an industrial company in the US.



<span id="page-123-0"></span>FIGURE 5.36. A possible tile sequence for the analog HCAL. The first two layers consist of scintillator strips oriented perpendicular to each other, the third layer is made of quadratic tiles. Each strip and tile is equipped with a wavelength-shifting fiber and readout by a MPPC.

Micromegas function as illustrated in Figure [5.39.](#page-125-0) Electrons liberated by a ionizing particle drift to a mesh, are amplified when crossing the mesh and collected on the anode. The gap between the mesh and the anode can be made in the range of  $100 \mu m$ , leading to a small size avalanche and excellent spatial resolution and potentially low pad multiplicity. Chambers based on Micromegas can be made very thin, about 4 mm, allowing to built a very compact calorimeter. Test chambers as shown in Figure [5.40](#page-125-1) are of  $50 \times 50 \text{ cm}^2$  size. They are being prepared for test-beam measurements in 2007/ 2008. Provided the results from these measurements are satisfactory, a  $1 \text{ m}^2$  plane will be built for test-beam studies in 2008.

The scheme of a glass RPC is shown in Figure [5.41.](#page-125-2) Thin glass plates enclose a volume filled with a suitable gas mixture. The glass plates are covered outside with a conductive layer. Applying high voltage a charged particle traversing the gas gap creates a local discharge. Covering the chamber by an isolating foil with fine segmented pads, an image charge is induced on the pads around the discharge position.

The structure of the calorimeter will be the same as shown in Figure [5.37](#page-124-0) replacing the GEMs by RPCs. A prototype of a RPCs of  $100 \times 30 \text{ cm}^2$  is shown in Figure [5.42.](#page-125-3)

Tests have been carried out with cosmic rays, sources, and a particle beam. The gas mixture consists of Freon  $(R134A)$ , isobutane  $(5\%)$  and a small admixture of SF6. The efficiency, measured in a proton beam of 120 GeV at FNAL, is shown as a function of the high voltage in Figure [5.43.](#page-126-0) It approaches nearly  $100\%$  above 7 kV. The pad multiplicity for a  $1 \times 1$  cm<sup>2</sup> pads ranges between 1.1 and 1.6, depending on the high voltage and on the particular design of the chamber. Further beam tests will start early in 2007 with eight fully equipped chambers interleaved with 20 mm steel absorber plates. If the results are



<span id="page-124-0"></span>FIGURE 5.37. The structure of the digital HCAL equipped with GEMs. Gas amplification occurs in several layers of GEM foils. The signal is picked up from anode pads. The FE electronics unit is placed on the pad.



FIGURE 5.38. A 30  $\times$  30 cm<sup>2</sup> GEM chamber prepared for test measurements. FE readout electronics is placed on the edge of the chamber frame.

<span id="page-124-1"></span>satisfactory the construction of a  $1 \text{ m}^3$  prototype will be initiated.

Several ASIC chips are under development for the readout of digital calorimeters. The HArDROC chip delivers a quasi-binary readout of 64 analog channels with two thresholds





<span id="page-125-0"></span>FIGURE 5.39. The working principle of Micromegas. Electrons from ionization drift in an electrical field to the mesh and induce an avalanche when crossing it. Signals can be picked up from anode strips or pads.



<span id="page-125-2"></span>FIGURE 5.41. The structure of a RPC chamber. A gap of 2 mm between two glass plates is filled with a working gas mixture. Resistive paint on the glass ensures a homogeneous electric field. A pad structure outside allows to detect the image charge induced by the local discharge induced by a crossing particle.

<span id="page-125-1"></span>FIGURE 5.40. A 50  $\times$  50 cm<sup>2</sup> chamber using Micromegas for gas amplification. FE readout electronics is placed on

the edge of the chamber frame.

<span id="page-125-3"></span>FIGURE 5.42. Prototypes of RPCs for performance studies. The larger chamber covers an area of  $100 \times 30$  cm<sup>2</sup>.

per channel. A digital memory will save data during a bunch train and can be readout via one serial output. The first version was submitted in September 2006. The DCAL chip, also containing 64 analog channels, is under development in Argonne. A few prototypes have been tested in the lab and fulfill the requirements on noise level, linearity, and time stamping. A second version with decreased input sensitivity was submitted in summer 2006. Also a special version of the KPiX chip is under development. Both chips are to be beam tested in 2007.

# 5.4.3 DREAM Calorimeter

The DREAM collaboration [\[84\]](#page-200-12) follows a fundamentally different concept to improve the jet energy resolution. Usual hadron sampling calorimeters are limited in the energy resolution due to fluctuations induced by the different response from the electromagnetic and hadronic shower component. DREAM uses a dual readout concept. The sensors inside the absorber are scintillation and clear fibers. Scintillating fibers respond to all charged particles in a



<span id="page-126-0"></span>FIGURE 5.43. The efficiency to detect single particles in a RPC as a function of the high voltage. A 120 GeV proton beam at FNAL was used.



<span id="page-126-1"></span>FIGURE 5.44. A possible structure of the DREAM calorimeter. Grooves in 2mm thick lead or brass absorber plates contain scintillator and clear fibers.



FIGURE 5.45. A DREAM module in the test-beam at CERN. The module is about 2 m in depth and  $32 \times 32$  cm<sup>2</sup> in cross section.



<span id="page-126-3"></span><span id="page-126-2"></span>FIGURE 5.46. The energy resolution of a module of the DREAM calorimeter for hadrons. Shown is the energy resolution, in percent, as a function of  $1/\sqrt{E}$ , increasing towards the left.

shower whereas clear fibers detect Cherenkov light induced mainly by electrons and positrons. Because of the fine-grained spatial sampling also fluctuations in the density of local energy are accounted for. In addition, the detection of MeV neutrons in e.g. hydrogen enriched fibers, might further improve the energy resolution. A possible structure of a DREAM-type calorimeter is shown in Figure [5.44.](#page-126-1)

The DREAM Collaboration performs test-beam studies both with scintillating and clear





<span id="page-127-0"></span>FIGURE 5.47. The setup for sensor irradiation. Left is the exit window of the 10 MeV electron beam. The sensor is inside the grey PCB box. A brass collimator and Faraday cap are used to measure the electron current crossing the sensor.

<span id="page-127-1"></span>FIGURE 5.48. A Gallium-Arsenid (GaAs) sensor tile designed for BeamCal to be prepared for test measurements.



<span id="page-127-2"></span>FIGURE 5.49. The mechanical structure of the LumiCal. Absorber disks are held by the blue bolts, the sensor layers by the red bolts. The latter must ensure that sensor planes are positioned with  $\mu$ m accuracy.



<span id="page-127-3"></span>FIGURE 5.50. The design of a pad structured silicon sensor for the LumiCal

fibers inside a copper absorber. Figure [5.45](#page-126-2) shows a module operated in a CERN testbeam. The box at the end contains the photo-multipliers reading out the bundles of clear and scintillating fibers. Measurements are done with muons, electrons and hadrons. As an example, the measured resolution for hadrons as a function of the hadron energy is shown in Figure [5.46.](#page-126-3) Using only the Cherenkov light output the resolution follows a  $86\%/\sqrt{E} + 10\%$ dependence as a function of the energy. Using the scintillator readout only the resolution can be parametrized as  $49\%/\sqrt{E} + 7\%$ . Combining both in a proper way using the measured ratio of Cherenkov and scintillator light the resolution can be improved to  $41\%/\sqrt{E} + 4.2\%$ . Further improvement of the latter result seems possible by reducing shower leakage, using e.g. a larger prototype, and to measure neutron depositions.

PbWO<sup>4</sup> crystals are under study, read out with several photosensors on their front and rear sides. Filters enhance sensitivity to either scintillation light (in a relatively restricted range of wavelengths) and Cherenkov light (which covers the whole range, albeit with  $1/\lambda^2$ spectrum). The different timing and the directionality of the Cherenkov light could also be exploited to improve the shower energy measurement in crystals.

DREAM promises an alternative to the particle flow concept. Studies are underway to characterize the performance of a calorimeter based on the DREAM technology in the ILC environment, e.g. in the reconstruction of multi-jet final states.

## 5.4.4 Very Forward Calorimeters

In the very forward region two calorimeters, BeamCal and LumiCal, are planned for a fast and precise measurement of the luminosity and ensure detector hermeticity [\[85\]](#page-200-13). Recently a third calorimeter, GamCal, was proposed to support the fast luminosity measurement and beam parameter optimisation. The first two calorimeters will be sampling calorimeters consisting of tungsten absorber disks interspersed with pad-structured solid-state sensor planes. GamCal is still under design.

The BeamCal adjacent to the beam-pipe covers a polar angles down to about 5 mrad. Electrons and positrons originating from beamstrahlung photon conversions deposit several TeV per bunch-crossing in the BeamCal. The distribution of this energy will be measured to assist in tuning the beams. The expected dose collected is up to 10 MGy per year for nominal accelerator parameters at 500 GeV center-of-mass energy. Fine granularity and small Moliere radius is necessary to identify the localized depositions from high-energy electrons on top of the broader spread of energy from beamstrahlung remnants. The requirements on the sensors are stable operation under high electromagnetic doses, very good linearity over a dynamic range of about  $10^4$ , very good homogeneity, and fast response. BeamCal has to be fully readout after each bunch-crossing requiring a specialized fast FE electronics and data acquisition to be developed. Test-beam studies have are been done using samples of CVD diamond sensors of 1 cm<sup>2</sup> area and a few 100  $\mu$ m thickness. A reasonable linearity has been measured over a dynamic range of larger than  $10<sup>5</sup>$ . The performance of several sensors as a function of the absorbed dose has been measured in a 10 MeV electron beam, as shown in Figure [5.47](#page-127-0) for doses up to 7 MGy. For the sensors produced so far we observe a drop of the signal to about 30% and stable noise. Studies in close collaboration with the manufacturers are underway to improve performance. In addition, alternatives like GaAs or special silicon are foreseen to be investigated. An example of a GaAs sensor designed for BeamCal is shown in Figure [5.48.](#page-127-1) Several such sensors will be prepared for test-beam studies in 2007.

The LumiCal is the luminometer of the detector and covers larger polar angle outside the reach of beamstrahlung pairs. The goal is to measure the luminosity by counting Bhabha events with an accuracy better than  $10^{-3}$ . A silicon tungsten calorimeter has been simulated and e.g. requirements on the tolerances of the mechanical frame, the sensor positioning and the position of the calorimeters relative to the beam have been estimated. In particular the inner acceptance radius must be controlled at the  $\mu$ m level.

The mechanical design is shown in Figure [5.49.](#page-127-2) To avoid effects of gravitational sag the support for the absorber disks is decoupled from the one of the sensor planes. The sensor layers will consist of silicon sensors made from 6-inch wavers and structured as sketched in Figure [5.50.](#page-127-3) Prototypes of sensor planes will be available beginning of 2007 for test measurements. The design of the FE electronics has just started.

## 5.4.5 Conclusions

The requirements on the performance of the calorimeters are physics driven. Potentially, all technologies pursued in the different collaborations and projects may match these requirements. To rank the proposed technologies test-beam studies and full-system tests are necessary.

There is a large variety in the development level of the projects. CALICE is taking data with a first prototype for an ECAL and an analog HCAL in a test-beam and will be able to answer many questions using these data in the near term. Other projects are going to built prototypes in the near future and test them in beams. Since the latter is a complex undertaking, sufficient infrastructure and person-power are needed to ensure success. Smaller groups may find it advisable to combine their efforts or to join one of the larger collaborations. Tests of the DREAM concept continue. To demonstrate the feasibility of this technology for the ILC, a design suitable for a collider detector should be worked out which provides the performance demanded by ILC physics.

The special calorimeters to instrument the very forward region are still in a relatively early development phase. Ongoing sensor tests are necessary to make a suitable choice. The FE electronics requirements are just worked out and the design started. Construction of prototypes for beam tests will be the next important future step.

## 5.5 SUPERCONDUCTING DETECTOR MAGNETS FOR ILC

The use of magnetic fields is a fundamental method to analyze the momentum of charged particles. In order to extend the energy range in particle physics, large-scale magnetic fields are inevitably required. The basic relation between magnetic field strength, charged particle momentum, and bending radius is described with  $p = \gamma mv = q\rho B$  where p is the momentum, m the mass, q the charge,  $\rho$  the bending radius, and B the magnetic field. The deflection (bending) angle,  $\phi$ , and the sagitta,s, of the trajectory are determined by;

$$
\phi \approx L/\rho = qBL/p, \quad \text{and} \quad s \approx qBL^2/8p \tag{ii}
$$

where  $L$  is the path length in the magnetic field. For practical measurements in high energy colliding beam detectors, both field strength and magnetic volume have been increased. A general-purpose detector consists of three major stages: a central tracker close to the interaction point, a set of electromagnetic and hadronic calorimeter systems, and an external muon detector system. The momentum measurement is carried out in the region of the tracker, although it may be augmented for muons in the muon detector, and requires a powerful magnetic field to achieve high resolution.

The solenoid field has been widely used in many colliding experiments [\[86,](#page-200-14) [87,](#page-200-15) [88,](#page-200-16) [89,](#page-200-17) [90,](#page-200-18) [91\]](#page-200-19). It features uniform field in the axial direction with self-supporting structure. The magnetic flux needs to return outside the solenoid coil, and in most cases, an iron yoke provides the flux return. An external solenoid with the reversed field may also provide flux return, and it requires a much more sophisticated magnet system in terms of stored energy, quench protection and mechanical support.

The momentum analysis is usually performed by measurement of particle trajectories inside the solenoid, and the momentum resolution is expressed by

$$
\sigma_p / p \approx p / (BL^2) \tag{iii}
$$

where  $L$  corresponds to the solenoid coil radius,  $R$ . Therefore, a larger coil radius may be an efficient approach to reach better momentum resolution, although overall detector cost considerations must also be taken into account. In this section progress in the design of solenoidal detector magnets is reviewed, and possible detector magnet design for the International Linear Collider (ILC) experiments are discussed.

## 5.5.1 Progress in superconducting detector solenoid magnets

Table [5.1](#page-131-0) lists progress of the superconducting solenoids in collider experiments [\[86\]](#page-200-14). The CDF solenoid established a fundamental technology of the "co-extruded aluminum stabilized superconductor" [\[92\]](#page-200-20) which has become a standard for the detector magnet based on the pioneer work for the colliding beam detector solenoid at ISR [\[93\]](#page-200-21) and CELLO [\[94\]](#page-201-0). The TOPAZ solenoid established the "inner winding" technique to eliminate the inner coil mandrel [\[95\]](#page-201-1). The ALEPH solenoid demonstrated indirect and thermo-syphon cooling for stable cryogenics operation in large scale detector magnets [\[96\]](#page-201-2). In the SDC prototype solenoid, the mechanical reinforcement of aluminum-stabilized superconductor was further developed [\[97\]](#page-201-3). In the LHC project at CERN, two large superconducting magnet systems have been developed for ATLAS and CMS with extensive efforts for the high-strength aluminum stabilized superconductor.

The magnetic field design of the ATLAS detector is composed of an axial field by using a solenoid coil in the central region and of an azimuthal field by using a set of toroidal coils [\[98\]](#page-201-4). Since the solenoid coil is placed in front of the liquid-argon calorimeter, it is required to be as thin and transparent as possible to achieve the best calorimeter performance. Therefore, the solenoid coil was designed with features (1) high-strength aluminum stabilized superconductor uniformly reinforced, (2) pure-aluminum strip technique for uniform energy absorption and quench protection, and (3) a common cryostat with the LAr calorimeter to ultimately save magnet wall-material. Extensive efforts have been made to reinforce the aluminum stabilizer while keeping adequate low electrical resistivity as discussed below [\[99\]](#page-201-5).

The CMS detector is designed as a single solenoidal magnet surrounded by the iron return yoke [\[100,](#page-201-6) [101,](#page-201-7) [102\]](#page-201-8). Special effort has been made to reinforce the aluminum stabilized superconductor in a hybrid configuration as discussed below [\[103\]](#page-201-9).

# 5.5.2 Progress of Aluminum Stabilized Superconductor

Aluminum stabilized superconductor represents a major technological advance in detector magnets. It has been developed to provide large-scale magnetic fields with minimum material [\[90\]](#page-200-18). In Figure [5.51](#page-131-1) cross-sectional vviews of aluminum stabilized superconductors are shown for various generations of detector magnets used high-energy physics experiments. Major progress on the mechanical properties of the conductor has been achieved by using a NbTi/Cu superconductor co-extruded with an aluminum stabilizer by diffusion bonding. One approach consists of a "uniform reinforcement" [\[90\]](#page-200-18), and the other consists of a "hybrid configuration" [\[103\]](#page-201-9). The uniform reinforcement was made, in the SDC and ATLAS solenoid, by "micro-alloying" followed by "cold-work hardening" [\[104\]](#page-201-10). By this the strength of the aluminum stabilizer could be much improved while the excellent electrical properties were retained without increasing the material. Another technical approach for the reinforcement has been made, in the CMS solenoid, by using a hybrid (or block) configuration, which consists of pure-aluminum stabilized superconductor and high strength aluminum alloy (A6082)

TABLE 5.1

<span id="page-131-0"></span>

Name	Laboratory	B	$\mathbf R$	L	E	$\mathbf X$	E/M
		[T]	[m]	[m]	[MJ]	$[x_0]$	[kJ/kg]
$\ensuremath{\mathrm{CDF}}$	Tsukuba/FNAL	1.5	1.5	$5.07\,$	30	0.84	$5.4\,$
$\mbox{TOPAZ}^*$	KEK	1.2	1.45	5.4	20	0.70	4.3
VENUS*	<b>KEK</b>	0.75	1.75	5.64	12	0.52	2.8
$AMY^*$	KEK	$\sqrt{3}$	1.29	3	40	#	
CLEO-II	Cornell	1.5	1.55	3.8	25	2.5	3.7
ALEPH <sup>*</sup>	Saclay/CERN	1.5	2.75	7.0	130	2.0	$5.5\,$
DELPHI <sup>*</sup>	RAL/CERN	1.2	2.8	7.4	109	1.7	4.2
<b>ZEUS</b>	INFN/DESY	1.8	1.5	2.85	11	0.9	$5.5\,$
H1	RAL/DESY	1.2	2.8	5.75	120	1.8	4.8
<b>BABAR</b>	INFN/SLAC	1.5	$1.5\,$	3.46	27	$\#$	$3.6\,$
D <sub>0</sub>	Fermi	2.0	0.6	2.73	5.6	0.9	$3.7\,$
<b>BELLE</b>	KEK	1.5	1.8	$\overline{4}$	42	#	$5.3\,$
$BES-III+$	<b>IHEP</b>	1.0	1.45	3.5	9.5	#	$2.6\,$
<b>ATLAS</b>							
Central	ATLAS/CERN	2.0	1.25	5.3	38	0.66	7.0
Barrel	ATLAS/CERN	$\mathbf{1}$	4.7-9.7	$\bf 5$	26	1080	
Endcap	ATLAS/CERN	1	$0.825 - 5.35$	$\rm 5$	$2 \times 250$	$\overline{\phantom{a}}$	
$CMS+$	CMS/CERN	$\overline{4}$	6	12.5	2600	$\#$	12

Progress of detector solenoid magnets in high energy physics.

∗ operation complete

+detector under construction

#EM calorimeter inside solenoid, so small radiation length, X, not a goal





<span id="page-131-1"></span>blocks at both ends fixed by using electron-beam welding. The hybrid configuration is very useful and practical in large-scale conductors [\[105,](#page-201-11) [106\]](#page-201-12).

Based on these successful developments of both "uniform reinforcement" and "hybrid configuration" further improvement has been proposed, for future applications, in combining



<span id="page-132-1"></span>FIGURE 5.52. Possible hybrid magnet conductor configurations based on technologies established for the LHC detector magnets.

these efforts [\[106,](#page-201-12) [107\]](#page-201-13) as summarized in Table [5.2,](#page-132-0) and shown in Figure [5.52.](#page-132-1) The central part of the CMS conductor partly composed of pure-aluminum stabilizer may be replaced by a Ni-doped high strength aluminum stabilizer developed for the ATLAS solenoid. This may result in a further reinforcement of the overall aluminum stabilized superconductor. It may be applicable in the ILC detector magnets especially for the high field magnet such as the SiD solenoid discussed later.

#### TABLE 5.2

<span id="page-132-0"></span>Progress of high-strength aluminum stabilized superconductor and possible future upgrade.

	Reinforced	Feature	Aluminum	Full cond	Full cond
			vield strength		RRR.
Progress at LHC					
<b>ATLAS</b>	Uniform	$Ni(0,5 \%)-Al$	110	146	590
CMS	Hybrid	$Pure-Al&A6082-T6$	428 26	258	$\sim 1400$
	Improvements for ILC				
	Hybrid	Ni-Al& A6082-T6	110 / 428	$\sim$ 300	$\sim$ 300
	Hybrid	Ni-Al& A7020-T6	/677 110	$\sim$ 400	$\sim$ 300

# 5.5.3 E/M ratio as a Performance Measure

The ratio of stored energy to cold mass  $(E/M)$  in a superconducting magnet is a useful performance measure. In an ideal solenoid with a perfect axial field, it is also expressed by a ratio of the (hoop) stress,  $c_h$ , to the average density,  $d$  [\[86,](#page-200-14) [90\]](#page-200-18):

<span id="page-132-2"></span>
$$
E/M \approx c_h/2d. \tag{iv}
$$

Figure [5.5.3](#page-132-2) shows the E/M ratio in various detector magnets in high energy physics. Assuming an approximate average density of  $3 \times 10^3 \text{kg/m}^3$  for the conductor, and an E/M ratio of 10 kJ/kg, the hoop stress level will be  $\sim$  60 MPa. In the case of an iron free solenoid, the axial stress,  $\sigma_z$ , will be one half of the hoop stress, and the stress intensity  $(c_h + c_z)$  then is around 90 MPa. This has to be sufficiently lower than yield strength of the coil material, in a mechanically safe design.



FIGURE 5.53. Ratio E/M of stored energy to cold mass for existing thin detector solenoids

The  $E/M$  ratio in the coil is approximately equivalent to the enthalpy of the coil,  $H$ . It determines the average coil temperature rise after energy absorption in a quench:

$$
E/M = H(T_2) - H(T_1) \approx H(T_2),
$$
\n<sup>(v)</sup>

where  $T_2$  is the average coil temperature after the full energy has been absorbed in a quench, and T<sub>1</sub> is the initial temperature. E/M ratios of 5, 10 and 20 kJ/kg correspond to  $\sim 65$ ,  $\sim$  80, and  $\sim$  100 K temperature rise, respectively. The E/M ratios in various detector magnets are shown in Figure [5.5.3](#page-132-2) as a function of the total stored energy. The CMS magnet has the currently largest  $E/M$  ratio at 12 kJ/kg as well as the largest stored energy. In the case of a quench, the protection system at CMS has been designed to keep the temperature below 80 K.

In developing a high field magnet, the limiting factor usually is the temeprature increase the coil can tolerate in case of a quench. This would favour high mass coils, contrary to the requirements on a light coil expressed by all experiments. The success of the CMS solenoid suggests that the E/M ratio of the detector magnet design in the ILC experiment as large as 12 kJ/kg or even slightly higher can be tolerated. It may help to design the higher field magnet with larger stored energy. It should also be noted that a higher E/M ratio would be required to realize much higher field and/or much larger-scale superconducting magnets for the ILC detector magnets as discussed below.

## 5.5.4 Detector Magnets at the ILC

According to the ILC detector outline documents  $[4, 5, 6, 7]$  $[4, 5, 6, 7]$  $[4, 5, 6, 7]$  $[4, 5, 6, 7]$ , the detector magnet design requirements are listed in Table [5.3,](#page-134-0) and are compared with the LHC detector solenoids successfully commissioned.

<span id="page-134-0"></span>

Parameters	unit	<b>LHC</b>				ILC		
		<b>ATLAS</b>	<b>CMS</b>	<b>GLD</b>	<b>LDC</b>	Si <sub>D</sub>		4th
		CS					Inner	Outer
<b>Basic requirements</b>								
Clear-bore radius	m	$\overline{7}$	1.18	4.00	3.00	2.5	3.0	
Central magnetic field	Tesla	$\overline{2}$	$\overline{4}$	3	$\overline{4}$	$\overline{5}$	3.5	$1.5\,$
Design parameters								
Coil inner radius	m	1.23	3.25	(4.0)	3.16	2.65	3	5.4
Coil half length	m	2.7	6.25	4.43	3.3	2.5	4	5.5
Coil layers		1	$\overline{4}$	$\overline{2}$	$\overline{4}$	6	6	
Cold mass thickness	m	0.04	0.3	0.4	0.3	0.4	0.3	
Maximum field in coil	Tesla	2.6	4.6	3.5	4.6	5.8	5.8	
Nominal current	kA	7.73	20			1.8	2.0	
Stored energy	GJ	0.04	2.6	1.6	1.7	1.4	2.8	
Cold mass weight	ton	5.7	220	78	130			
E/M	kJ/kg	$\overline{7}$	12.3	20	13	12	12.6	
Reference		[97]	[100]	$[4] % \includegraphics[width=0.9\columnwidth]{figures/fig_4} \caption{A graph shows a function of the parameter M and the parameter M in the interval M and the parameter M is the number of parameters. The number of parameters are shown in Fig. \ref{fig:10}. The number of parameters are shown in Fig. \ref{fig:10}. The number of parameters are shown in Fig. \ref{fig:11}. The number of parameters are shown in Fig. \ref{fig:11}. The number of parameters are shown in Fig. \ref{fig:11}. The number of parameters are shown in Fig. \ref{fig:12}. The number of parameters are shown in Fig. \ref{fig:13}. The number of parameters are shown in Fig. \ref{$	[5]	[6, 108]		$[7]$

TABLE 5.3 Superconducting detector solenoids for ILC compared with detector solenoids at LHC.

The development of the ILC magnets will profit heavily from the LHC experiences. The CMS magnet concept is the basis for the proposed ILC magnets. The GLD detector solenoid will require a larger cold mass because of the larger coil radius resulting in more stored energy per length. The LDC magnet design is most similar to the CMS solenoid design. The SiD detector solenoid will be most challenging with the high field of 5 T resulting in larger mechanical stress and increased stored energy. The smaller coil radius may help to manage the mechanical stress [\[108\]](#page-201-14). Figure [5.54](#page-135-0) shows the ratio between actual mechanical load and the critical load for the CMS and the SiD solenoids. For SiD, it is 67% of the critical load. Combining the advanced superconductor technology of ATLAS and CMS may help to make the GLD and SiD solenoids more stable and reliable. Advances in technology may also reduce the thickness of all proposed coils at the ILC.

Future advances in superconducting technology may make it possible to push the limits on E/M as high as  $15 \sim 20 \text{ hJ/kg}$ , which could result in either more powerful or thinner magnets for the ILC. Further aggressive conductor development is crucial if these goals should be met.

# 5.5.5 Summary and Outlook

Based on the recent experience in the superconducting detector magnets at LHC, the ILC detector magnets will be designed as follows:

- 1. The magnet will use high-strength aluminum stabilized superconductor as used in the ATLAS and CMS solenoids. The magnet technology developed for the CMS solenoid can be applied and extended.
- 2. The conductor mechanical strength may be further improved by combining both features of the high-strength aluminum stabilizer (Ni-doping and cold-work reinforcement) developed for the ATLAS solenoid and "hybrid configuration" reinforced by



<span id="page-135-0"></span>FIGURE 5.54. The load line (solid line) and the critical current as a function of the magnetic field (dashed line) of the proposed SiD superconductor(green) and of the CMS magnet(red). The operation point of the SiD magnet and the CMS magnets are shown by a green square symbol and a red diamond symbol, respectively. The numbers in % are loads relative to their (mechanically) critical loads.

high-strength aluminum alloy placed at both ends of the coil developed for the CMS solenoid.

- 3. High  $E/M$  ratios in the cold mass has been reached by CMS and it does seem possible to achieve even higher  $E/M$  ratio up to 20 kJ/kg, depending on the required magnetic field and compactness of the magnet design.
- 4. A highly redundant and reliable safety system needs to be an integral part of any magnet design, to protect the magnet in case of quench. Energy extraction should be the primary protection scheme and fast quench trigger, which initiates a heater induced quench, should be an important backup system.

A special effort will be required to realize the 4th detector design. It will require major efforts in mechanical design as well as in the magnet safety design because of the extraordinary large electromagnetic force (and de-centering force), stored energy and the resulting mechanical complexity. A sophisticated mechanical design and engineering work will be required.

In the long range future, even higher field solenoids might be feasible by pushing  $E/M >$ 20 kJ/kg. Such a design may be realized with further improvements in the conductor, with significantly larger yield strength.

# 5.6 DATA ACQUISITION

As outlined in all four detector concept studies  $[4, 5, 6, 7]$  $[4, 5, 6, 7]$  $[4, 5, 6, 7]$  $[4, 5, 6, 7]$  the data acquisition (DAQ) system of a detector at the ILC has to fulfill the needs of a high luminosity, high precision experiment without compromising on rare or yet unknown physics processes. Although the maximum expected physics rate, of the order of a few kHz, is small compared to that of recent hadron colliders, peak rates within a bunch train may reach several MHz due to the bunched operation.

In addition the ILC physics goals require higher precision in jet and momentum resolution and better impact parameter resolution than any other collider detector built so far. This improved accuracy can only be achieved by substantially increasing the number of readout channels. Taking advantage of the bunched operations mode at the ILC, event building without a hardware trigger, followed by a software-based event selection was proposed [\[1\]](#page-196-4) and has been adopted by all detector concept studies. This will assure the needed flexibility and scalability and will be able to cope with the expected complexity of the physics and detector data without compromising efficiency.

The increasing numbers of readout channels for the ILC detectors will require signal processing and data compression already at the detector electronics level as well as high bandwidth for the event building network to cope with the data flow. The currently built LHC experiments have up to 108 front-end readout channels and an event building rate of a few kHz, moving data with up to 500 Gbit/s [\[109\]](#page-201-15). The proposed DAQ system will be less demanding in terms of data throughput although the number of readout channels is likely to be a factor of 10 larger.

The rapid development of fast network infrastructures and high performance computing technologies, as well as the higher integration and lower power consumption of electronic components are essential ingredients for this data acquisition system. Furthermore it turned out that for such large systems a restriction to standardized components is vital to achieve maintainability at an affordable effort, requiring commodity hardware and industry standards to be used wherever possible.

Details of the data acquisition system depend to a large extent on the final design of the different sub detector electronic components, most of which are not fully defined to date. Therefore the DAQ system presented here will be rather conceptual, highlighting some key points to be addressed in the coming years.

# 5.6.1 Concept

In contrast to currently operated or built colliders, such as HERA, Tevatron or LHC, which have a continuous rate of equidistant bunch crossings the ILC has a pulsed operation mode. For the nominal parameter set [\[32\]](#page-198-1) the ILC will have

- $\sim$  3000 bunch crossings in about 1ms,
- 300 ns between bunch crossings inside a bunch train and
- $\sim$  200 ms without collisions between bunch trains.

This operation mode results in a burst of collisions at a rate of ∼3MHz over 1 ms followed by 200 ms without any interaction. The integrated collision rate of 15 kHz is moderate



<span id="page-137-0"></span>FIGURE 5.55. Conceptual diagram of the proposed data flow at the ILC

compared to the LHC and corresponds to the expected event building rate for the LHC experiments.

The burst structure of the collisions at the ILC immediately leads to the suggested DAQ system:

- dead time free pipeline of 1 ms,
- no hardware trigger,
- front-end pipeline readout within 200 ms and
- event selection by software.

The high granularity of the detector and the roughly 3000 collisions in 1 ms still require a substantial bandwidth to read the data in time before the next bunch train. To achieve this, the detector front end readout has to perform zero suppression and data condensation as much as possible. Due to the high granularity it is mandatory to have multiplexing of many channels into a few optic fibres to avoid a large number of readout cables, and hence reduce dead material and gaps in the detector as much as possible.

The data of the full detector will be read out via an event building network for all bunch crossings in one train. After the readout, the data of a complete train will be situated in a single processing node. The event selection will be performed on this node based on the full event information and bunches of interest will be defined. The data of these bunches of interest will then be stored for further physics analysis as well as for calibration, cross checks and detector monitoring. Figure [5.55](#page-137-0) shows a conceptual diagram of the proposed data flow.

The sub detector specific part is realized in the front-end readout units which receive the detector data via a fast serial link. The readout units will consist of three parts:

- a programmable interface to the front end readout,
- the event data buffer which will allow storing data of several trains and
- the standardized network interface to the central DAQ system.

The programmable interface should enable one common type of readout unit to adapt to the detector specific front end designs. To allow for variations in the readout timing to more than 200 ms the readout units could be equipped with event data buffers with multiple train capacity. The full event is built via the event building network into a single data processing node which will perform final data processing, extract and apply online calibration constants and will select the data for permanent storage.

In the data processing node the complete data of all bunch crossings within a train will be available for event processing. Distributing data of one train over several processing nodes should be avoided because sub detectors such as the vertex detector or the TPC will have overlapping signals from consecutive bunch crossings and unnecessary duplication of data would be needed.

Event selection is performed in these data processing nodes such that for each class of physics process a specific finder process will identify the bunch crossings which contain event candidates and mark them as "bunches of interest". All data for the 'bunches of interest' will be fully processed and finally stored permanently for the physics analysis later on. By using software event selection with the full data available, a maximum event finding efficiency and the best possible flexibility in case of unforeseen conditions or physics processes is ensured. The best strategy for applying these finders and processing the data, depends on the topology of the physics processes to be selected and their background processes. This has to be further studied and optimized based on full Monte Carlo simulations.

Several trains will be built and processed in parallel in a farm of data processing nodes and buffering in the interface readout units will allow for fluctuations in the processing time.

Using commodity components like PCs and standardized network components allows for the scaling of the processing power or network bandwidth according to the demands. The use of off-the-shelf technology for the network and the computing units will ease maintainability and benefit from the rapid commercial development in this area. The DAQ system will also profit from the use of a common operating system, for example Linux, and high level programming languages already at the event building and event finding stage, making the separation of on-line and off-line code obsolete and therefore avoid the need to rewrite, and debug, code for on-line or off-line purposes. This results in a more efficient use of the common resources.

## 5.6.2 Detector front end electronics

The detector front end readout is discussed in the specific chapters of the different detector components. A few common issues of particular relevance are summarized below.

The amount of data volume to be collected by the DAQ system is dominated by pair background from the machine. Simulations for the nominal ILC parameters [\[32\]](#page-198-1) at  $E_{cm}$  = 500 GeV for the LDC [\[5\]](#page-196-1) show in the vertex detector 455, 189 and 99 hits per bunch crossing for layer 1, 2 and 3 respectively. In the TPC volume roughly 18000 hits are produced per bunch crossing. Similar studies for the other concepts confirm the high background near the beam pipe.

Except for the inner layers of the vertex detector the occupancy for a full train imposes no constraints onto the readout scheme. For the inner vertex detector layers the data has to be read out during the train to keep the hit density low enough so as not to compromise the tracking performance. In the SiD main tracker, associating hits with the bunch crossing which produced them, reduces the background especially in the forward region.

For the SiW based ECAL systems, the high granularity requires large multiplexing on the front end detectors with an adequate multi-hit capability and efficient hit detection or zero suppression. Single chips with hit detection, charge and time digitization and multi-hit storage capacity for up to 2048 channels were proposed by several groups.

For the TPC novel readout technologies are developed with reduced ion feedback to allow for a gateless operation with sufficient gas amplification for a period of 1 ms.

The electronic noise of the front end systems or the detectors themselves is a third, possibly very dangerous, source of data volume in a triggerless system. It has to be sufficiently under control or it must be suppressed by the front end data processing.

The high granularity of the detector systems and the increased integration of electronic at the detector front end, will result in large power dissipations. To avoid excessive cooling needs, all detector systems investigate the possibility of reducing the power at the front end electronics by switching power off between trains (power cycling). This has to be balanced against power up effects, the readout time needed between trains and the ability to collect data between trains for calibration purposes, e.g. cosmic muon tracks.

## 5.6.3 Machine Interface

The machine operation parameters and beam conditions are vital input for the high precision physics analysis and will therefore be needed alongside the detector data. Since the amount of data and time structure of this data is similar, a common data acquisition system and data storage model should be used. Up to now very little has happened to integrate the DAQ for the beam delivery system into the physics data flow. It is mainly assumed that integration of parts or all of the machine parameters should be straight forward due to the programmable interface units and the network based structure of the DAQ system.

## 5.6.4 Detector Control and Monitoring

The data acquisition and its operation is closely coupled to the detector status and detector conditions, as well as the machine conditions. Hence it is proposed that the detector slow control and the detector monitoring are tightly linked to the DAQ system with an overall experiment control system.

For detector commissioning and calibration the DAQ system has to allow for partial detector readout as well as local DAQ runs for many sub components in parallel. The DAQ system has to be designed such that parts of a detector component or complete detector components can be excluded from the readout or be operated in local or test modes without disturbing the physics data taking of the remaining parts.

The ILC as well as the detector will be operated by truly worldwide collaborations with participants around the world. The global accelerator network (GAN) and global detector network (GDN) have been proposed to operate both the machine and the detector remotely from the participating sites. This in turn requires that the data acquisition system, as well as

the detector control, be designed with remote control and monitoring features built in from the start.

# 5.6.5 Outlook and R&D

To benefit from the online software event selection an accurate online calibration is needed. Strategies for calibrating and monitoring the detector performance as well as efficient filter strategies have to be worked out. Simulation studies will be needed in the coming years to prepare this in more detail.

Although for the main DAQ system commodity components will be used, to be chosen at the time the DAQ has to be built, some R&D is needed to prepare the decisions. In addition, for the front end readout electronics and the interface to the DAQ system, decisions have to be made during the prototyping phase of the large detector components.

A DAQ pilot project is planned to serve as a frame for R&D on the front end readout interface, the machine and detector DAQ interface, detector slow control issues, online calibration and event selection strategies.

Recent developments on technology (for example ATCA [\[110\]](#page-201-16)) should be followed and if possible explored to gain the necessary experience needed for the DAQ technology choice.

# 5.7 TEST BEAMS

The intense detector R&D program described earlier in this document will need support by significant test beam resources and test facilities. In this section a brief summary of the status and plans of the existing facilities at the time of writing of this document is presented.

# 5.7.1 Facilities

Currently seven laboratories in the world are providing eight different beam test facilities: CERN PS, CERN SPS, DESY, Fermilab MTBF, Frascati, IHEP Protvino, LBNL and SLAC. In addition, three laboratories are planning to provide beam test facilities in the near future; IHEP Beijing starting 2008, J-PARC in 2009 and KEK-Fuji available in fall 2007. Of these facilities, DESY, Frascati, IHEP Beijing, KEK-Fuji and LBNL facilities provide low energy electrons (< 10 GeV). SLAC End Station-A facility provides a medium energy electron beam but the availability beyond 2008 is uncertain at this point. IHEP Protvino provides a variety of beam particles in 1- 45 GeV energy range, but the facility provides test beams only in two periods of one month each per year. CERN PS and SPS facilities can provide a variety of beam particle species in energy ranges of 1−15 GeV and 10−400 GeV, respectively. Finally, the Fermilab Meson Test Beam Facility can provides a variety of particles in the energy range of 1−66 GeV, thanks to a recent beam line upgrade, and protons up to 120 GeV. This facility is available throughout the year for the foreseeable future. Table [5.4](#page-141-0) below summarizes the capabilities of these facilities and their currently known availabilities and plans.

### 5.7.1.1 Beam instrumentation/ machine-detector interface

At the ILC beam instrumentation and the interface between the machine and the detector play a very important role. It is a very active field of R&D, as described in the section on MDI in this document.

<span id="page-141-0"></span>

Facility	E(GeV)	Particle	$N_{\text{beams}}$	Availability and plans
<b>CERN PS</b>	$1 - 15$	$e, h, \mu$	4	part of year availability
<b>CERN SPS</b>	$10 - 400$	$e, h, \mu$	4	part of year availability
<b>DESY</b>	$1 - 6.5$	e	3	$>$ 3 month/ year
<b>FNAL-MTBF</b>	$1 - 120$	$p,e,h,\mu$		continuous at $5\%$ duty factor
Frascati	$0.25 - 0.75$	e	1	$6$ month/ year
LBNL	1.5, < 0.06, < 0.03	e, p, n	1	continuous
<b>IHEP</b> Protyino	$1 - 45$	e, h, $\mu$	4	$2 \times 1$ month/ year
<b>SLAC</b>	$28.5, 1 - 20$	$e, e, \pi, p$	1	future after 2008 unclear
	future facilities			available
<b>IHEP</b> Beijing	$1.1 - 1.5$	$\epsilon$	3	March 2008
	$0.4 - 1.2$	$e, \pi, p$	3	March 2008
J-PARC	$<$ 3	e, h, $\mu$	?	2009
KEK-Fuji	$0.5 - 3.4$	e		fall $2007, 8$ month/ year

TABLE 5.4 Summary of test beam facilities and their availabilities.

The detectors require very specialized instrumentation in the very forward direction, to measure precisely luminosity and energy of the colliding beams. These devices need to be radiation hard under intense electromagnetic radiation, and at the same time precise and fast for the expected physics signals. Tests therefore are required of the radiation hardness, and of the actual instrumentation. The latter is done at some irradiation facilities, not listed in table [5.4,](#page-141-0) while the former needs primarily high energy test beams as provided by CERN or FNAL to test the response of calorimeters to different types of beams.

The machine requires very ambitious monitoring and control of the beams in the interaction region. A number of experiments are planned or under way to develop and test beam instrumentation for the ILC. These tests typically need high energy electron beams, to be able to test fast feedback systems, beam energy spectrometers, or high energy polarimeters. This is currently possible at SLAC and, for some applications, at the ATFII test facility at KEK. Beam size, bunch size and repetition rate of the beam are very important, and need to be matched to the actual ILC conditions as closely as possible. Thus, the needs for these activities can utilize accelerator test facilities which are independent of detector R&D beam test facilities. The SLAC facility plays a central role in these tests, and its unclear future beyond 2008 present a major problem for the community.

# 5.7.2 Tracking R&D

The R&D plans of the different tracking groups have been summarized earlier in this document. The development work covers three different types of detectors: pixel Silicon detectors, Silicon-based strip detectors, and large volume time projection chambers. Of central concern for these groups is the availability of moderately high energy beams (to minimize the effects of multiple Coulomb scattering), to test and understand the response of the detectors, study the achievable resolutions, and develop algorithms for alignment and calibration. Test facilities like the one at DESY for low to medium energies make significant contributions. In particular for the gaseous detectors, tests with different particle species will eventually be

needed, to understand the particle identification capabilities of the detector. The beams at CERN or at FNAL are well suited for these applications.

A central problem for these tests is the availability of large bore high field magnets. Many tests can be performed however at lower fields. A 1 T magnet facility will become available within the EUDET program, initially at DESY from 2008 onwards, eventually at CERN or FNAL after 2009. Currently no facilities exist where high fields are available with a beam for larger detector volumes. A small scale high field test facility, without access to beams though, is available at DESY to the community.

The studies would profit from a time structure in the beam similar to the one expected at the ILC. However most studies can be done also with different time structures in the beam.

## 5.7.3 Calorimeter R&D

The calorimeters are a central part of the different ILC detector concepts. They play a very important role in the concept of particle flow, as explained earlier in this document. The development work outlined in this document requires extensive tests under realistic beam conditions.

The planned tests serve a dual purpose: Firstly the technologies proposed for the different calorimeters need to be tested and developed to a point where they can be proposed for an ILC detector. Secondly, in particular for the hadronic part of the shower development, little to no data exist currently for a detailed modeling of the shower. Therefore data taken with test calorimeters of sufficient size will be of great interest to the modeling and understanding of the hadronic shower.

The calorimeter tests therefore require an extensive range of beam energies and particle types, from < 1 GeV/particle to a few 100 GeV/particle. A well understood beam is very important, with a good knowledge of the particle content and its energy, and with a flexible setup which allows the calorimeters to be scanned with beam under a wide range of conditions. For some studies it might be important to also model the time structure of the beam, though in most cases, collection of large data samples is probably more important than the study of detailed timing requirements. Given the broad range of proposed technologies, and the large step in performance needed compared to established technologies, significant beam time allocations will be needed.

The facilities at CERN and FNAL are both well suited for these tests, if enough beam time can be allocated to the experiments.

## 5.7.4 Muon Detector R&D

Three detector concepts propose instrumented iron absorbers for muon detection. The muon system may also serve to catch shower leakage from the main calorimeter. Tests of muon system components are therefore naturally coupled with tests of the calorimeters. At the CALICE test beam in 2006 and 2007, a significant tail catcher installation was installed behind the hadron calorimeter prototype module and intensively used and tested with the calorimeters.

The requirements for the beam are of course primarily muons at different energies, but also other hadron species to tests its capabilities as a tail catcher. Experiments at CERN and FNAL are well suited for this task.

## 5.7.5 Conclusion

Test beams play a very central role in the ongoing detector R&D for the ILC. The scarcity of beams around the world makes is imperative that these resources are efficiently used and optimally coordinated.

# 5.8 LUMINOSITY, ENERGY, AND POLARIZATION

A crucial asset of an electron-positron collider is that the initial state is well known. However, the benefits of this advantage are not fully realized in a linear collider unless properties of the initial state – luminosity, collision energy, and polarization  $(LEP)$  – are measured. However, the unique collision dynamics at the ILC make these measurements particularly challenging, which requires some well-directed R&D. In particular, beamstrahlung gives rise to a collision energy spectrum which depends strongly on the beam parameters, and hence will vary with time. Knowledge of the luminosity-weighted energy spectrum, or luminosity spectrum, is therefore a fundamental input for physics measurements at the ILC. It is well known that polarized beams provide a crucial ingredient for elucidating the fundamental electroweak structure of new physics processes. The control of the polarization state also provides an important experimental handle for separating competing processes from each other, or from backgrounds. The strategy for the polarization measurement will depend on the physics program and it will depend somewhat on whether only the electron beam is polarized, or if the positron beam is also polarized. In any case, it will be necessary to include the capability for polarization measurements of unprecedented accuracy. Precision measurements will also require a state-of-the-art or better measurement of the integrated luminosity. Finally, the LEP instrumentation can potentially provide important feedback to the operating accelerator, in close to real time, for optimization of the luminosity and reduction of backgrounds.

Given the direct input of the LEP measurements to physics analyzes, it is very likely that the development of the LEP instrumentation will eventually be integrated closely with ILC detector collaborations. If there are two interaction regions, each collaboration would presumably optimize the LEP instrumentation to best fit their needs. In the case of a single interaction region, the LEP development will need to ensure compatibility with both detectors, as well as with the chosen beams crossing angle.

A critical input to the luminosity spectrum is the measurement of the beam energy, averaged over the beam populations, preferably both before and after the interaction point. An energy measurement of 200 ppm will suffice for most of the physics cases. However, a 100 ppm measurement would be required to ensure that this not limit a light Higgs mass measurement. If the program includes a very precise W mass measurement or a Giga-Z program with positron polarization, then a 50 ppm measurement would perhaps be required. This is an accuracy which challenges conventional techniques. The leading technique is the magnetic spectrometer, either using the accelerator lattice itself (upstream of the interaction point) or an extraction line measurement. In the former case, the position measurement might be carried out using BPMs, while in the latter case other position-sensitive detectors can be used. In either case, R&D is needed to ensure that viable solutions are available. One can hope to access the variable energy-loss spectrum by direct measurement of the beamstrahlung. At the SLC these measurements also provided important feedback on IP collision parameters. At the ILC, one might hope to avoid the high power in the forward hard photon beamstrahlung, opting to access the lower-energy parts of the spectrum. Other
aspects of the luminosity spectrum determination will be carried out within the detectors themselves. These include the measurement of the a-collinearity distribution of Bhabha pairs, the measurement of radiative return events, the Bhabha scattering rate at large and small angles, and the direct measurement in very forward calorimeters (BeamCal) of low-energy pairs produced at the IP. The forward calorimeters which provide some of these measurements are included in the calorimetry section of this report.

For much of the physics of interest, a beam polarization measurement (of both beams, in general) of about 0.5% will be sufficient. For the the most demanding precision measurements, one would gain[\[111\]](#page-201-0) by providing moderate positron polarization, along with 0.25% polarization measurements. For the  $A_{LR}$  measurement in Giga-Z running, one could use the Blondel scheme with 0.25% polarization measurements as systematic consistency checks. The use of Compton scattering of the beam electrons with a polarized laser beam was carried out successfully at the SLC and, with considerable effort, provided a measurement of 0.5% accuracy. However, the ILC presents greater challenges. Because there is significant depolarization at the IP, one hopes to make a Compton measurement both before and after the IP. R&D is needed to ensure that  $0.25\%$  to  $0.5\%$  measurements (of both beams) can be carried out at the ILC.

The machine-detector interface (MDI) is a catch-all term which includes not only the LEP measurements, but all aspects of interplay between the accelerator and the experiment, including the configuration of the beamline magnets and masking in the detector halls. An especially important issue is that of backgrounds – their production mechanisms and transport to the detectors. Some of this work has been carried out as part of the accelerator design efforts. However, it is crucial that studies which simulate the appearance of backgrounds in the detectors be supported. As the detector concepts move closer to technical designs, the need for detailed background studies will increase. The coupling between accelerator and MDI also means that the requirements for MDI R&D will evolve with the accelerator design, especially with respect to IP beam crossing angle configurations, beam parameters, or beam time structure.

# 5.8.1 Current status and R&D challenges

#### 5.8.1.1 Luminosity, Energy, and Luminosity Spectrum

The integrated luminosity can be determined by precision calorimeters (LumiCal) placed at small scattering angle. Following its successful application at LEP/SLC, many layers of silicon-tungsten sandwich are being considered for these calorimeters, as described in the calorimeter section of this report.

As discussed above, the average energy of each beam can be best measured with magnetic spectrometers, upstream or downstream of the IP, or both. LEP/SLC spectrometers provided resolutions of  $\approx 200$  ppm. Not only does this miss the requirement for ILC by about a factor of two, the conditions at ILC are more challenging. Hence, R&D is required to demonstrate the required performance.

The upstream spectrometer is based on beam-position monitors (BPMs) for the position measurements to deduce the bend angle in the spectrometer, as used at LEP. A collaboration of Notre Dame, UC Berkeley, Royal Holloway, Cambridge, DESY, Dubna, SLAC, and UC London are developing this technique. Prototypes have been successfully tested in beam lines at SLAC (ESA) and KEK (ATF). The next major step in the R&D involves installation of an

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interferometer-based metrology grid on the BPM structure, followed by additional beam tests. This is crucial, as individual elements are performing at the level of the 100 ppm requirement, but the full system is not. Challenges include BPM electronics stability, mechanical stability, magnetic field tolerance, and insensitivity to beam parameters. The last point is critical, since the installed system should measure energy independent of the luminosity. Future progress will depend on the availability of appropriate test beams, such as ESA.

The downstream spectrometer has, in principle, more possible implementation options. A collaboration of Oregon and SLAC is basing its design on the measurement of the distance between two synchrotron stripes, one produced before the spectrometer bend, and one after. This technique was used at  $SLC[112]$  $SLC[112]$ . The R&D is focusing on the development of a viable detector of the synchrotron stripes. A preliminary test of a quartz fiber detector read out by multi-anode PMTs was performed in the SLAC ESA beam. While the downstream spectrometer is more susceptible to backgrounds, it has the advantage that the dispersion of the stripe separation is sensitive to the IP luminosity spectrum.

The combination of integrated luminosity and beam energy measurements do not provide what is directly related to the physics – the distribution of  $e^+e^-$  collision energies at the IP, typically known as the luminosity spectrum. The final states of these collisions can, of course, offer observables which are directly related to the luminosity spectrum. As mentioned above, these include Bhabha a-collinearity, radiative returns (to the  $Z$ ), or even the reconstruction of the dimuon invariant mass. The consideration of these processes, as well as their interplay with the beam measurements, are important topics for near term simulation R&D. An additional simulation topic for the beam energy measurements is the systematic difference between the average beam energy and the average energy from the luminosity spectrum.

#### 5.8.1.2 Polarimetry

While it is possible to extract beam polarization information from the physics final states, we assume that these will not serve as the primary polarization measurement, but rather as consistency checks. Polarimetry for the individual beams can be carried out either before or after the interaction point, or, if possible, both. In either case, a Compton scattering IP is provided by directing a laser with known polarization across the charged beamline some tens (or more) of meters from the  $e^+e^-$  IP. Either the scattered electrons (positrons) or photons can be analyzed, since various Compton observables are strongly polarization dependent, allowing the charged beam polarization to be extracted.

A collaboration of SLAC, DESY, Orsay, Tufts, and Oregon has been developing detailed designs for polarimeter measurements both upstream and downstream of the interaction point using Compton scattering. This R&D effort has so far focused on the design of the Compton interaction region and the measurement chicanes[\[113\]](#page-201-2). It has been assumed so far that the detection of the Compton-scattered electrons will be functionally very similar to the systems used at the SLC[\[114\]](#page-201-3).

Beam chromaticity can lead to a beam polarization which varies across the spatial profile of the beam. Therefore, since the electron (positron) beam will in general sample the positron (electron) beam at the IP differently than does the laser at the Compton IP, a systematic shift will be present between the measured polarization and that which applies to the  $e^+e^$ collisions. One of the main challenges for precision polarimetry is to minimize and quantify these differences. Another systematic shift results from depolarization at the IP as one beam passes through the field of the other. Again, such shifts need to be measured, for example by comparing measurements before and after the IP or by periodically taking beams out of collision. Finally, it is important to develop the instruments for the Compton measurements.

#### 5.8.1.3 Other MDI Instrumentation

There are several types of beamstrahlung monitor which are under consideration. The FCal collaboration[\[115\]](#page-201-4) is designing a beamstrahlung monitor, called GamCal, for the extraction lines. One option under consideration for detecting the high-power flux is to convert a fraction of it using a gas jet target. A group at Wayne State is investigating the detection of the visible part of the beamstrahlung spectrum, which is emitted at larger angles. The FCal collaboration is developing the technology for the BeamCal instrument, which would surround the beamlines in the far forward region of the detectors. The front section of the BeamCal would measure the pair production resulting from beamstrahlung. The instruments which measure the beamstrahlung directly (e.g. GamCal and visible) are designed primarily to provide fast feedback to the accelerator controls for luminosity optimization. For this reason, even though these devices share close physical proximity to some LEP instrumentation, they more logically should be folded into accelerator R&D, as is the case, for example, for the FONT (fast beam feedback) collaboration. The BeamCal, on the other hand, also provides important direct information to the physics analyzes (electron veto). And it is physically connected to the detector proper. Hence, we have included it in the calorimeter section of this report.

# 5.8.2 Milestones

A critical near term goal, common to all LEP R&D, is to understand the implications for LEP of all of the configurations under consideration for the interaction region. At a minimum this requires that simulation software be run on each configuration. For the next one to two years, the focus should be on the specific technologies and methods for a given IR configuration. Each technique has particular requirements for space, background tolerance, and beam parameters. And likewise, each LEP instrument has interactions with the final focus, the other LEP instrumentation, or the detectors, which must be understood. Therefore, it is not possible, in most cases, to develop any element of LEP R&D in isolation. These issues must be largely settled before a reasonably definite technical design can be produced.

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# CHAPTER 6

# Sub Detector Performance

## 6.1 INTRODUCTION

The performance goals for ILC detectors require advancing detector designs and technologies beyond the current state of the art. The detector subsystems have been designed accordingly, and promise the high performance required for ILC physics. Evaluating and characterizing subsystem performance accurately and believably has required going beyond simple estimations, to careful studies with full simulation and reconstruction programs. In the full simulation programs, effects such as particle interactions with detector materials and shower development in calorimetric detectors have been taken into account, because they may well have a non-negligible impact on detector performance. In the reconstruction programs, codes have been developed to perform pattern recognition and track fitting on simulated data in the trackers, and "particle flow" algorithms have been developed to assess the performance of the particle flow concept and its impact on detector design. These are labor intensive approaches, and uncommon at this stage in the development of detector concepts, but they are seen as necessary to establish the credibility of the new detector designs.

For these studies, the concept teams (SiD, LDC, GLD, and 4th) have developed GEANT4 based simulation packages, respectively SLIC [\[46\]](#page-198-0), Mokka [\[45\]](#page-198-1), Jupiter [\[47\]](#page-199-0), and ILCRoot [\[116\]](#page-201-5). At this stage of development, simplified sub-detector geometries and averaged densities for detector materials are typically used in the detector descriptions, but some attempt is made to represent the dead material associated with support structures, readout electronics, and other services. In the reconstruction programs, simplifying assumptions may be used for the less central aspects of the simulation, e.g. the tracking reconstruction is assumed to be perfect when evaluating the calorimeter response for Particle Flow Algorithms. It is recognized that full reconstructions are needed, and they are close to being realized. Of course, full Monte Carlo studies are only as good as the models of particle interactions implemented in the simulation programs. Future test beam experiments must confirm that present hadronic shower codes adequately describe calorimeter simulations, or new codes must supplant them, before the detector design are finalized.

The results presented below should be considered as a snap shot of the current understanding of sub-detector performance. This understanding is evolving rapidly. The aim is to illustrate what performance can be achieved for the various subsystems by drawing on examples from all the concepts, and to demonstrate that present designs largely meet the ILC performance goals.

# 6.2 MATERIAL IN THE TRACKING VOLUME

In designing the ILC detectors, particular attention has been given to minimizing the material budget in the vertex detector and tracking volumes. This is crucial to achieve good momentum resolution even for low momentum tracks, to preserve excellent electron ID, to guarantee efficient tracking in the forward region, to improve track and calorimeter cluster matching for particle flow, and to minimize the impact of conversions and interactions on the calorimetry.

The material budget as modeled in the SiD Monte Carlo is shown in Figure [6.1,](#page-149-0) as an example. In this figure, the lowest curve shows the contribution from the beam pipe and the readout for the vertex detector. The material corresponding to the various readout elements has conservatively been assumed to be uniformly distributed in the tracker volume. The following two curves indicate the additional material due to the active vertex detector elements and the supports, respectively. The outer curve gives the amount of material of the tracker as a whole, that is, the sum of the vertex detector and the outer tracker including the anticipated dead material in the tracking volume.



<span id="page-149-0"></span>FIGURE 6.1. The material budget of the tracker(purple), vertex detector(red) and beam pipe(blue) in radiation lengths, as a function of the polar angle, as modeled in the SiD Monte Carlo

The total material in front of the calorimeters for the other detector concepts is comparable in the central region, and ranges up to  $20\%$   $X_0$  in the forward region. The GLD, with four layers of silicon tracker in addition to the vertex detector, has slightly more material than the LDC case. The material in the endcap region of the TPCs is thought to be dominated by the readout system and  $10\%$   $X_0$  was assumed in the performance study of GLD.

## 6.3 VERTEXING PERFORMANCE

## 6.3.1 Impact Parameter Resolution

The impact parameter is the distance between the interaction point and the trajectory of the charged particle. A non-zero value of the impact parameter indicates that the particle is a decay product of a parent particle, which has traveled some distance away from the IP before decaying. Measuring impact parameters with high resolution is the key to identifying heavy particle decays, and thus heavy flavor, in  $e^+e^-$  jets.

Typical  $r-\phi$  and  $r-z$  impact parameter resolutions as a function of the track momentum for a few characteristic polar angles are shown Figure [6.2,](#page-150-0) and those as a function of the track polar angle for a few different momentum values are shown in Figure [6.3,](#page-151-0) taking SiD as an example. In this study the impact parameter resolution was analyzed from the trackparameter error matrix taking into account both spatial resolution and the detailed GEANT material description. The spatial resolution per hit was assumed to be 3.5  $\mu$ m. In addition, the degradation of the spatial resolution in Z due to signal broadening is represented by a  $4 \mu$ m error. An excellent impact parameter resolution of  $< 10 \mu$ m is generally achieved for the whole barrel region down to track momentum of about  $1 \text{ GeV}/c$ , while the asymptotic resolution for very high momentum tracks is expected to be about 2 to 3  $\mu$ m. The other concepts have similar performance. The resolution in the endcap region degrades somewhat due to the effect of extra material for support and detector services. However, for the high momentum tracks, good impact parameter resolution is maintained all the way to about 80<sup>°</sup>  $(\cos \theta \sim 0.988)$ .



<span id="page-150-0"></span>FIGURE 6.2. Track  $r - \phi$  (left) and  $r - z$  (right) impact parameter resolution as a function of track total momentum, for track dip angle tan  $\lambda$  values of 0.2 (red), 1.1 (green) and 3.5 (blue) respectively.

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<span id="page-151-0"></span>FIGURE 6.3. Track  $r - \phi$  (left) and  $r - z$  (right) impact parameter resolution as a function of track dip angle  $\lambda$ , for track momentum values of 100 GeV/c (red), 5 GeV/c (green) and 1 GeV/c (blue) respectively.

# 6.3.2 b/c Quark Tagging

As already pointed out in the description of the vertex detector, it is important to be able to tag decays with bottom and charm quarks in the final state with excellent efficiency and purity. Most tracks have relatively low momentum, so good impact parameter resolution down to small ( $\sim 1$  GeV/c) momenta are important. In addition, due to the large average boost of heavy flavor hadrons in the case of energetic jets, decay vertices can be as far as a few cm away from the primary vertex, and therefore can be outside the innermost vertex detector layer. Therefore the overall detector must be flexible enough to cope with these high boost events as well. The topological vertexing as pioneered by SLD has the potential to allow efficient reconstruction of secondary and tertiary vertices for a very large range of situations, and can help in tagging quark charge as well as quark flavor.

A topological vertexing program for ILC detector has been developed. Studies of its performance using a full detector simulator started recently using  $Z \to q\bar{q}$  process at Z pole energy as a bench mark of  $b/c$  quark tagging. A typical initial result for the LDC detector is shown in the Figure  $6.4[117]$  $6.4[117]$ . The obtained purity and efficiency using a realistic detector resolution is promising. These studies are currently being extended to higher energies, where tagging performance is influenced by tracks from hadronic interactions with detector materials. Refinements of the algorithms to account for this effect are underway.

# 6.4 TRACKER PERFORMANCE

The tracking devices are designed to provide excellent momentum resolution and efficient reconstruction over a large range in polar angle,  $\theta$ . To achieve this end, LDC, GLD, and 4th concepts use a Time Projection Chamber inside a solenoidal magnet with a magnetic



<span id="page-152-0"></span>FIGURE 6.4. Efficiency and purity for tagging a b-quark(red square) and c-quark(green triangle) jets in Z decays, using a full simulation. The blue-circle points indicate the further improvement in performance of the charm tagging in events with only bottom background is relevant for example to the measurement of Higgs branching ratios.

field of 3 to 4 Tesla as a central tracking device, possibly augmented with intermediate and forward trackers. A different approach, using all silicon tracking and a somewhat higher field, is adopted by SiD.

A typical momentum resolution in the case of GLD is shown in Figure [6.5.](#page-153-0) For this study, a muon particle was generated at a polar angle of 90◦ . The azimuthal spatial resolution was taken to be 150  $\mu$ m, independent of the drift length, and simulated signals were fitted with a Kalman fitter program. The momentum resolution of the TPC in conjunction with the intermediate tracker and vertex detector, is better than  $5 \times 10^{-5} p_t$  (GeV/c) at high momentum, thus meeting the ILC momentum resolution goal.

Pattern recognition and track reconstruction in a TPC is relatively straightforward, even in an environment with a large number of background hits, thanks to the dense, three dimensional nature of the information recorded by the chamber. The efficiency to reconstruct tracks in the LDC TPC, is shown in Figure [6.6.](#page-153-1) In the central region, which is covered by the TPC, the track reconstruction efficiency is better than 99%. The reconstruction efficiency in the forward region needs further study, and will be improved by including an algorithm which utilizes the forward intermediate tracker hits.

In the ILC environment, several effects may influence the quality of space point measurements in a TPC. For example, the use of a Dipole in Detector (DID) corrector magnet will degrade the magnetic field uniformity and complicate reconstruction. Positive ions, created in the amplification process at the endcaps, will distort the electric field uniformity as they drift back through the TPC to the cathode. The presence of through-going muons, generated upstream in the beam collimation section, spiraling Compton electrons, produced when MeV photons scatter in the gas, and low energy neutron interactions in the gas, will add to chamber backgrounds, but are not expected to pose problems for the pattern recognition. The

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FIGURE 6.5. A typical momentum resolution of tracking device. Shown is the case for the GLD tracking system.

<span id="page-153-0"></span>

<span id="page-153-1"></span>FIGURE 6.6. A typical TPC track reconstruction efficiency for  $Z \rightarrow t\bar{t}$  events taken for LDC.

other effects are expected to be correctable, but studies of reconstruction efficiencies taking them and the additional backgrounds into account are yet to be done.

In the SiD detector, the central tracker consists of five layers of silicon microstrip detectors, but the vertex detector and electromagnetic calorimeter play important roles in tracking as well. Making an efficient use of three dimensional information from the pixel vertex detector, the standard track finding algorithm for the SiD detector is an "inside-out" track finding algorithm. That is, pattern recognition begins in the vertex detector, and progresses by extrapolating tracks into the main tracker. Studies of the track finding efficiency have used a full Monte Carlo simulation of the vertex detector raw data, and realistic cluster finding and

coordinate determination codes. Tracker hit positions have been smeared with the expected tracker resolution. The pattern recognition in the vertex detector begins by selecting hits in three of the five different layers. A reconstructed track is required to have 5 associated hits at least, including those in the central tracker. To reduce combinatorics and reconstruction time, tracks are required to originate close to the interaction point and have transverse momentum exceeding  $200 \text{ MeV/c}$ . The reconstruction efficiency for this algorithm for single tracks is shown in Figure [6.7.](#page-154-0) The present algorithm is fully efficient for tracks with small impact parameters. The tracking efficiency in the core of a jet has been studied using  $q\bar{q}$ Monte Carlo events at  $\sqrt{s} = 500$  GeV, and is found to be above 95%. In order to focus on the reconstruction efficiency in the fiducial volume of the central tracking system, events were required to have  $|\cos \theta_{thrust}| \leq 0.5$  with a thrust magnitude of 0.94 or greater. For these events, tracks with  $|\cos \theta| \leq 0.5$  were found to be reconstructed with 94.3% efficiency. Nearly all the inefficiency is due to tracks that originated outside the vertex detector, and consequently couldn't be found with the vertex-seed algorithm. If tracks are required to originate within 1 cm of the origin, the track finding efficiency was approximately 99% for  $q\bar{q}$ events.



<span id="page-154-0"></span>FIGURE 6.7. Reconstruction efficiency of the vertex detector seeded track finding in SiD as a function of track impact parameters. Reconstruction cuts are set at 3.0 cm for the XY impact parameters and 5.0 cm for Z. Solid lines correspond to high  $Pt(> 1 GeV)$ , dashed to low Pt  $(< 0.5 GeV)$  tracks.

In order to reconstruct tracks originating outside the vertex detector, SiD uses Silicon Tracker Standalone Tracking and Calorimeter-Assisted Tracking. For the Silicon Tracker Standalone Tracking, a simple pattern recognition algorithm that uses circle fits to all valid three-hit combination has been studied in the barrel tracker. For single high- $p_t$  muons, the tracking efficiency of 99% was achieved. For  $t\bar{t}$  events, a track finding efficiency of 94% is achieved so far. Further study and refinement of this technique is expected to yield improved efficiencies for tracks that originate beyond the vertex detector.

Calorimeter Assisted Tracking relies on the very fine segmentation of the EM calorimeter; the passage of minimum ionizing particle (MIP) through the EM calorimeter look track-like, thanks to the high granularity of the calorimeter. The MIP stub found in the EM calorimeter is extrapolated back to the main tracker to find the associated hit, and identified as a track when certain criteria are satisfied. In a proof of principle demonstration using the simulated Z pole events, this algorithm reconstructed 61% of all charge pions with  $p_t > 1$  GeV/c, produced by  $K_S^0$  decays. Significant improvements are expected with further refinements of the code.

## 6.5 CALORIMETER PERFORMANCE

The performance of the electro-magnetic and hadron calorimeters have been studied with GEANT4-based simulations.

SiD, LDC and GLD all utilize sampling calorimeters, whose energy resolution is essentially determined by the sampling fraction, and all aim at achieving a jet energy resolution of  $30\%/\sqrt{E(\text{GeV})}$ . The expected energy resolutions of the electromagnetic calorimeters are similar concept to concept, as are the energy resolutions of the proposed hadron calorimeters. Other details differ, however, including the proposed transverse segmentation, hadronic calorimeter depth, absorber materials, and choice of sensors. Since these three concepts adopt the particle flow approach to calorimetry, single particle energy resolution is hardly the whole story; the ability to discriminate the energy deposited in the calorimeter by charged tracks from that deposited by photons or primary neutral hadrons, becomes at least equally important. Jet energy resolution, or even di-jet mass resolution, become the relevant figures of merit.

The energy resolution of the electromagnetic calorimeters proposed for the various concepts ranges from 14 to  $17\%/\sqrt{E}$  for the stochastic term and is about 1% for the constant term. A typical energy resolution as a function of the photon energy is shown in Figure [6.8](#page-155-0) in the case of GLD. The energy resolution of the hadron calorimeter of SiD, LDC, and GLD is in the range of 50 to  $60\%/\sqrt{E}$  for the stochastic term and between 3 to 10% for the constant term, depending on the absorber, readout detector, and particle type. It should be noted that these resolutions have been estimated solely with the GEANT4 simulation, since they characterize new designs and untested detectors. Clearly these results need confirmation in test beam experiments in the coming years.



<span id="page-155-0"></span>FIGURE 6.8. The left figure is the energy resolution of photons in the angular region of  $|\cos \theta| < 0.8$  in the GLD as a function of the energy. The resolution was derived from Gaussian fits to the peak of the response distribution. The right figure is the resolution of the hadron calorimeter

The fine segmentation of the electromagnetic calorimeters makes it possible to separate electromagnetic energy deposited by photons from the energy deposited by incident tracks.

The high granularity also allows an accurate determination of the direction of photons. The measurement of the direction of photons is important, for example, in GMSB SUSY scenarios involving long-lived decays of heavy particles, where photons from the decay can point to a decay far from the IP. In the case of LDC with  $5 \times 5$  mm<sup>2</sup> readout cells, the angular resolution of the ECAL is estimated to be 55 mrad $\sqrt{E(\rm{GeV})}$ . The position resolution of the EM cluster is estimated to be 0.9 mm/ $\sqrt{E(\text{GeV})}$ . These features will also make it possible to fix the relative alignment of the tracker and the ECAL with high energy electrons.

Distinct from the other concepts, 4th uses a dual-readout, compensating calorimeter system. It reads out quartz and scintillating fibers, which are embedded in an absorber, with photon detectors. The quartz fibers are sensitive to Cerenkov light coming primarily from electromagnetic energy deposits, and the scintillating fibers respond to the total ionization energy. Measuring the electromagnetic and ionization energy deposits separately allows software compensation, and delivers high resolution. The fibers are interleaved in an absorber made of Copper, in a fully projective geometry consisting of towers with cross-sectional area  $2 \times 2$  cm<sup>2</sup>.

At present, the 4th concept has implemented the Hadron Calorimeter, without a special electromagnetic section, in their simulation program. The conversion of the energy into the number of Scintillation and Cerenkov photons is handled by specific routines taking into account factors such as angles between the particle and the fiber as well as a Poisson statistics of produced photons[\[118\]](#page-201-7). Effects such as the response function of electronics, non-constant quantum efficiency, etc., have not yet been implemented.

To measure the energy of an incident particle in the calorimeter, the strengths of the signals from the the Cerenkov fibers and Scintillation fibers  $[119]$  are appropriately weighted. The weighting factors,  $\eta_C$  and  $\eta_S$ , are known to be independent of the incident particle energies and are obtained by simulating the response to 40 GeV electrons. The linearity of the calorimeter response to pions is shown in Figure [6.9,](#page-156-0) and indicates that compensation occurs at all energies with a unique set of calibration constants. The energy resolution for hadronic showers  $(\sigma_E/E)$  obtained was  $36 \sim 38\%/\sqrt{E}$ , depending of the pattern recognition of calorimeter.



<span id="page-156-0"></span>FIGURE 6.9. Reconstructed vs beam energy in the Hadronic Calorimeter for single pions for the 4th concept.

# <span id="page-157-0"></span>6.6 JET ENERGY RESOLUTION

The majority of the interesting physics processes at the ILC involve multi-jet final states. The reconstruction of the invariant mass of two or more jets will provide a powerful tool both for event reconstruction and identification. As described in Chapter [2,](#page-36-0) one of the goals of the ILC detector performance is to be able to separate  $W$  and  $Z$  in their hadronic decay modes. In order to achieve this goal, the jet energy resolution of detectors  $(\sigma_E/E)$  is required to be as good as  $30\% / \sqrt{E(\text{GeV})}$  for a lower energy jet or less than 3% for a higher energy jet. This is a factor two better than the best jet energy resolution achieved at LEP. To this end, GLD, LDC and SiD are equipped with a finely segmented calorimeter optimized for particle flow analysis (PFA). The 4th concept is equipped with a high resolution dual-readout calorimeter and measures the jet energy precisely without PFA.

# 6.6.1 Particle Flow Based Jet Energy Measurement

A promising strategy for achieving the ILC goal of the jet energy resolution is the particle flow concept which, in contrast to a purely calorimetric measurement, requires the reconstruction of the four-vectors of all visible particles in an event. Present particle flow algorithms work best when the energies of the individual particles in a jet are below about 100 GeV. In this regime, the momentum of the charged particles is reconstructed in the tracking system with an accuracy which exceeds the energy and angle measurements in the calorimeters. Hence, in order to attain the best reconstruction of events, the charged particle measurement must be solely based on the tracking information, while the reconstruction of photons and neutral hadrons is performed with calorimeter system. The crucial step of the particle flow algorithm is the correct assignment of calorimeter hits to the charged particles and the efficient discrimination of close-by showers produced by charged and neutral particles.

#### 6.6.1.1 Algorithm

The development of particle flow algorithms for the ILC detector concepts is still at a relatively early stage. However, given that three of the concepts are designed for particle flow calorimetry this is an active area of research. It should not be forgotten that the jet energy resolution obtained is a combination of detector and reconstruction software. The output of any particle flow algorithm is a list of reconstructed particles, termed particle flow objects (PFO). Ideally these would correspond to the particles produced in the interaction. Several programs have been developed, as described in the Detector Outline Documents [\[4,](#page-196-0) [5,](#page-196-1) [6\]](#page-196-2). While the algorithms are distinct there are a number of features which are common. Only the general features of these algorithms are described here. First, charged particle tracks are reconstructed in the tracking detectors. Identification of neutral vertices, such as  $K_s \to \pi^+ \pi^$ decays, and kinks from electron bremsstrahlung in the tracker material improves the performance slightly, by replacing a calorimetric measurement with information from the tracker.

The next step is pattern recognition in the electromagnetic and hadron calorimeters. The goal of the calorimeter clustering is to identify every cluster resulting from single particles and to separate nearby showers. Calorimeter reconstruction may be performed independently of the track reconstruction, or tracks may be used to guide the calorimeter clustering. The algorithms differ significantly in details of how calorimeter clusters are formed but all utilize the high granularity and tracking ability of the proposed calorimeters. Charged particle PFOs are formed from the tracks and those clusters associated with them. The four-momenta of

charged PFOs are determined solely with the reconstructed track parameters and the results of any particle identification procedure. Calorimeter clusters which are not associated with tracks are considered as neutral PFOs and may be identified as either photons or neutral hadrons. The reconstruction of the four-momenta of neutral objects is based on calorimetric energy and position measurements and particle identification from the shower profiles.

#### 6.6.1.2 PFA Performance

The results presented here represent the current status of the particle flow algorithms. As the algorithms are further developed significant improvements are anticipated. For these initial studies the performance has been evaluated by summing the entire energy for hadronic events at the Z pole. These simulated events provide a clean environment for evaluating PFA performance since uncertainties associated with jet finding and the association of particles with the decaying bosons are avoided. PFA performance can be straightforwardly quantified in terms of the resolution of the total reconstructed energy and visible mass. Studies in a multi-jet environment are at a relatively early stage.



<span id="page-158-0"></span>FIGURE 6.10. Distributions of reconstructed energy for  $Z \to q\bar{q}$  (uds only) events at  $\sqrt{s} = 91.2$  GeV obtained using WolfPFA and PandoraPFA for a GEANT4 simulation of the LDC detector.

Figure [6.10](#page-158-0) shows a typical reconstructed energy distribution of  $Z$  decays to  $u, d$  and s jets (avoiding the need to account for unobserved neutrinos) which were generated without initial state radiation. These results come from the LDC, using two different algorithms, WolfPFA [\[120\]](#page-202-0) and PandoraPFA [\[121\]](#page-202-1). The distribution of measured energy is characterized by a narrow core and a wider tail, which results from the failure to detect some low momentum particles and those forward particles which miss the detector fiducial volume, and the imperfect subtraction of charged track energy from the calorimeter signal. In order to quote a figure of merit for particle flow performance,  $\sigma_{.90}$  is defined to be the root mean square of that part of the distribution that contains 90% of the jets, because the usual rms is highly sensitive to tails of the distribution. Using  $\sigma_{90}$  has the advantage that the effects of tails are suppressed and the quoted resolution reflects that for the majority of the events. The

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significance of 10% of tail events will depend on the signal-to-noise ratio of the process if interest, and it should be further studied using physics processes.



<span id="page-159-0"></span>FIGURE 6.11. The jet energy resolution,  $\alpha$ , as a function of the  $|\cos \theta_q|$  in the case of  $e^+e^-\to q\bar{q}$  (light quarks only) events at  $\sqrt{s} = 91.2$  GeV of the GLD detector.

Figure [6.11](#page-159-0) shows the jet energy resolution,  $\alpha \equiv \sigma_{90}/\sqrt{E}$ , as a function of the production angle of the jet ( $|\cos \theta_q|$ ) for the GLD concept. In the barrel region of the detector (i.e.  $|\cos \theta| < 0.9$ ), the averaged jet energy resolution is  $31.5\%/\sqrt{E}$ . LDC and SiD obtained similar values in the range between about  $(30 - 35\%) / \sqrt{(E)}$ .

For higher energy jets the opening angles between particles decreases due to the larger Lorentz boost. This makes the separation of clusters in the calorimeter more challenging. Recently, PandoraPFA has introduced an iterative re-clustering method to improve cluster separations and cluster-track association[\[122\]](#page-202-2). Accordingly, the jet energy resolution for higher energy jets improves significantly as seen in Figures [6.12](#page-160-0) and [6.13.](#page-160-1) In this study,  $e^+e^- \rightarrow q\bar{q}$  (light quarks only) events were generated to study jet energy resolution using the Tesla detector configuration[\[1\]](#page-196-3) which is similar to LDC.

As seen in these figures, for jets of energy up to 100 GeV, PandoraPFA has achieved the required ILC jet energy resolution of  $30\%/\sqrt{E}$ . Further improvement of performance is anticipated. Studies using perfect PFA, which uses Monte Carlo truth information for clustering indicate that improvements in resolution of up to 30 % may be achievable.

A number of detector optimization studies have recently been performed with the PandoraPFA particle flow algorithm[\[122\]](#page-202-2). For example, Figure [6.14a](#page-161-0) shows how the jet energy resolution depends on the TPC radius and magnetic field. As expected, the resolution improves with increasing radius and increasing magnetic field (both of which increase the mean transverse separation of particles at the front face of the ECAL). Larger calorimeter radii and stronger magnetic fields result in increased separation between the particles in a jet, thus they are preferred for better PFA performance. In order to achieve the PFA performance goal with a reasonable detector cost, SiD adopts the highest magnetic field and smallest radius (5 T and 1.3 m), while GLD has the weakest field and largest radius (3 T and 2.0 m). The



<span id="page-160-0"></span>FIGURE 6.12. The jet energy resolution,  $\alpha$ , as a function of the  $|\cos \theta_q|$  for jets of energies from 45 GeV to 250 GeV.



<span id="page-160-1"></span>FIGURE 6.13. The relative jet energy resolution,  $\sigma_{90}/E_{jet}$ , of PandoraPFA averaged in the region,  $|\cos \theta_{jet}| < 0.7$ , as a function of the jet energy.

LDC lies in between these extremes  $(4 \text{ T and } 1.5 \text{ m})$ . The performance difference among three parameter choice is small with the current version of the PandoraPFA algorithm, but the results suggest that the larger radius is more important than the stronger B-field. Figure [6.14b](#page-161-0) shows how the jet energy resolution depends on the transverse segmentation of the electro-magnetic calorimeter (ECAL) for a number of different TPC outer radii. Again this study is based on the simulation of the Tesla TDR detector. As expected, higher granularity gives better resolution and it is apparent that a transverse segmentation of  $20 \times 20 \text{ mm}^2$  is insufficient in the case of smaller TPC radii. The improvement in going from  $10 \times 10$  mm<sup>2</sup> segmentation to  $5 \times 5$  mm<sup>2</sup> is not particularly large because for 100 GeV jets the confusion



<span id="page-161-0"></span>FIGURE 6.14. a) Jet energy resolution obtained with PandoraPFA and the Tesla TDR detector model plotted as a function of TPC outer radius (which is almost the same as the ECAL inner radius) and magnetic field. b) The jet energy resolution obtained with PandoraPFA and the Tesla TDR detector model plotted as a function of TPC outer radius and ECAL transverse segmentation  $\rm (mm^2)$  for a magnetic field of 4T. For both plots jet energy resolution is defined as the  $\alpha$  assuming the expression  $\sigma_E/E=\alpha/\sqrt{E(\rm{GeV})}$ .

of clusters in the ECAL does not contribute significantly to the overall jet energy resolution in either case.

#### 6.6.1.3 Particle ID in a Jet Environment

Track and cluster association done in particle flow analysis naturally identifies the charge of calorimeter clusters, and can provide particle ID even within jets. Clusters in the electromagnetic calorimeter which are unassociated with tracks can be associated with photons, or

occasionally, neutral hadrons. EM clusters whose position coincides with a charged track, and whose energy matches the track's momentum, are identified as electrons. A track-like cluster of small energy depositions, consistent with those expected from a minimum ionizing particle, is a muon candidate. Thanks to the high granularity of the ILC calorimeter, charged particles leave identifiable tracks in the calorimeter.

According to a study by the GLD group, about 94% of the photon energy in the jet of  $Z<sup>0</sup>$  to the light quark pair decay is successfully identified as neutral electromagnetic energy. 87 % of the identified photons are genuine. Photon identification proceeds by selecting energy clusters which are unassociated with tracks, matching the expected longitudinal shower shape, accounting for the energy deposited per calorimeter cell, and taking into account other variables.

## 6.6.2 Jet Energy Reconstruction in Non-PFA Calorimeters

The calorimeter of the 4th concept aims to achieve good jet energy resolution via compensating calorimetry, without the particle flow ansatz. It uses two jet finder algorithms, the UA1 cone type algorithm[\[123\]](#page-202-3) and a modified Durham jet finder algorithm. First, the tracks and V0's with  $p_t > 10$  GeV are input into a jet cone finding algorithm to find the number of jets and their angles. Calorimeter clusters are then added to the identified jets until no further clusters are found or the maximum aperture of the cone reaches  $60^{\circ}$ . An additional algorithm attaches isolated clusters, low  $p_t$  tracks, and muons to the jets. For details, see ref.[\[118\]](#page-201-7).

The performance of the jet energy reconstruction was studied using light quark pair production events by  $e^+e^-$  annihilation. The energy resolution  $(\sigma_E/E)$  of about 3% is achieved for a jet of 250 GeV energy. It is shown as a function of the jet energy in Figure [6.15.](#page-162-0)



<span id="page-162-0"></span>FIGURE 6.15. A preliminary performance of single jet energy resolution in  $e^+e^-\to q\bar{q}$  for the 4th concept.

## 6.7 MUON ID PERFORMANCE

SiD, LDC and GLD have thick iron return yokes. Tracking devices interleaved in the iron return yoke serve to identify muons, augmenting muon ID in the finely segmented hadron calorimeter. The ILC detectors typically have strong solenoidal fields of 3 to 5 Tesla and appreciable material in the calorimeters (4-6 nuclear interaction lengths), so only energetic muons even reach the barrel muon detector.

The GLD group studied the momentum acceptance of its muon detector in the baseline GLD configuration, using GEANT4-based full simulation. The muon was generated at  $90^{\circ}$ . As seen in Figure [6.16,](#page-164-0) the muon momentum has to exceed 3.5 GeV/c to reach the first layer of the muon detector, and  $6 \text{ GeV}/c$  to pass through the outer most muon detector. The muon misidentification probability was estimated for the LDC design to be below 1%[\[5\]](#page-196-1).

The momentum of the muon is measured well by the main tracker. Matching tracks found in the main tracker with those in the muon detector and the intervening calorimeters is yet to be studied.

The  $p_t$  resolution of isolated muons reconstructed in the muon spectrometer was also studied by the 4th concept. The muon spectrometer of the 4th concept utilizes proportional aluminum tubes of diameter 4.6 cm in the region between the solenoids. The barrel part consist of 3 staves, each containing 20 layers of plane tubes of 4 meter long and placed between the outer and the inner solenoid. The point resolution of  $\sigma_{r\phi} = 200 \mu m$  and  $\sigma_z = 3$  mm was assumed in the analysis. The tracks which had been reconstructed by the combination of the TPC and the Vertex Detector were projected to the inner layer of the muon spectrometer, at which point the track parameters were estimated. Tracks which have originated in the Hadron Calorimeter and those which have released an appreciable amount of energy after exiting the TPC, are expected to fail a track matching criterion, but this has not yet been implemented. Note that the muon spectrometer itself has a momentum resolution of  $\sigma(1/p_t) = 1.6 \times$  $10^{-3}$  at high momentum, while for lower momentum tracks it is dominated by the multiple scattering in the aluminum tubes. Track matching thus involves comparing the TPC tracks with those reconstructed in the muon spectrometer, in position, direction, and momentum. The reconstruction efficiency is 94% for muons with momentum above 7 GeV and not entering the cracks of the detector.



<span id="page-164-0"></span>FIGURE 6.16. Muon detection efficiencies as a function of the muon energy in the baseline GLD configuration. Muons were generated in 90° from the origin. The efficiency threshold is found to be 3.5 GeV requiring a hit in the first layer, or 6 GeV requiring hits in all layers.

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# CHAPTER 7

# Integrated Physics Performance

In this section the performance of the detector in a few selected physics reactions is summarised. The purpose of this section is to illustrate the level of maturity of both the understanding of the detectors and of the reconstruction and analysis algorithms. The scope of these analyses is rather limited, and does not cover the full physics potential of the ILC. In particular analyses looking for physics beyond the Standard Model have not yet been studied in enough detail with realistic simulations to be included in this section. For this reason, only channels where a complete simulation has been done, based on detailed Monte Carlo, and analysed with realistic algorithms, are shown. It should be pointed out that it is not the intention of this chapter to illustrate the full physics program at the ILC - for this the reader is referred to the volume describing the physics program.

# 7.1 TOOLS USED IN THE ANALYSES

Over the last years significant progress has been made in the development of complete simulation and reconstruction software system for the ILC. A number of different approaches have been proposed, and are available through a number of software repositories [\[124,](#page-202-4) [125,](#page-202-5) [47,](#page-199-0) [116\]](#page-201-5).

The detectors propose a tracking system composed of a number of different sub-systems. Algorithms have been developed which do high efficiency tracking in the individual subsystems, and combine then the results from all tracking detectors. Using realistic algorithms, and including a simulation of the expected background rates, track reconstruction efficiencies close to 99% have been demonstrated, with momentum resolutions around  $\sigma(p_t)/p_t^2 < 1 \times$  $10^{-4} \text{GeV}^{-1}$ .

At least for energies below 1 TeV the best event reconstruction resolution is believed to result from a particle flow algorithm, as has been discussed in [6.6.](#page-157-0) A number of software packages are available which implement this approach, and reach jet-energy resolutions which at least at moderate jet energies up to around  $100 \text{ GeV}$  are close to the goal of  $30\%/\sqrt{E}$ [\[121,](#page-202-1) [120\]](#page-202-0).

While the tracking reconstruction codes have reached a fair level of maturity, development of the particle flow algorithms is still advancing rapidly. Therefore results presented in the following should be interpreted as a snapshot of an ongoing development, where significant further improvements can be expected over the next few years.

# 7.2 HIGGS ANALYSES

The study of the properties of the Higgs boson - if it exists - will be a major undertaking at the ILC. It also provides an excellent demonstration to illustrate the interplay between the detectors proposed for the ILC and the physics to be done at the accelerator.

## 7.2.1 Higgs Recoil Analyses

One of the most challenging reactions for the tracking system of the detector is the measurement of the Higgs mass using the technique of recoil mass. The recoil mass technique allows a precise measurement of the Higgs boson mass and an essentially model-independent determination of the ZHH coupling.

In this method, the Higgs is analysed through the reconstruction of a Z-boson produced in the decay of a virtual Z into a ZH. Assuming that the center of mass energy of the collider is known with sufficient accuracy the mass of the Higgs can then be deduced from the measurement of the Z decay:  $m_H^2 = s + m_Z^2 - 2E_Z\sqrt{s}$ , where s is the center of mass energy,  $m_Z$  the mass of the  $Z^0$  and  $E_Z$  the reconstructed energy of the  $Z^0$ . Only the leptonic decay modes of the Z are used.

For a given mass of the Higgs boson the reconstruction of the invariant Higgs mass through the recoil technique depends heavily on the center of mass energy at which the experiment is performed. In figure [7.1](#page-168-0) the recoil mass spectrum (with no background) is shown for running the accelerator at 250 GeV, 350 GeV and at 500 GeV, for a Higgs of mass 120 GeV. The improvement in the width of the signal is obvious.

As a test case the reconstruction of a hypothetical Higgs boson of mass 120 GeV is studied at a center-of-mass energy of 250 GeV. A central part of this analysis is the identification of the lepton pair, into which the  $Z$  decays. The analysis presented in  $[126]$  and done in the context of the LDC detector is based on a full GEANT simulation of the detector, and a complete track and shower reconstruction program.

A likelihood method is used to separate electrons, muons and pions from their signals left in the calorimeter. The purity and contamination after the ID procedure is shown in table [7.1.](#page-167-0)



TABLE 7.1

<span id="page-167-0"></span>Table of purity and contamination of an electron and a muon sample after running the particle identification likelihood method described in the text.

The most important backgrounds to this analysis are Standard Model processes. The following reactions have been studied and simulated:  $e^+e^- \to ZZ \to llX, e^+e^- \to \mu^+\mu^-,$  $e^+e^- \to W^+W^-$ ,  $e^+e^- \to e^+e^-(\gamma)$ . Not included yet is the background  $e^+e^- \to \tau^+\tau^-$ . Events of these processes are generated with the MC generators Sherpa, BHWIDE and Pythia, and processed through the simulation and reconstruction step as the signal samples.



<span id="page-168-0"></span>FIGURE 7.1. Recoil mass spectrum for a 120 GeV Higgs at 250, 350 and 500 GeV, without backgrounds, for  $H$  decays into electrons and muons.

Backgrounds are reduced by applying the particle ID code, and by simple cuts on the mass of the invariant lepton system, and the angle relative to the beam line. After cuts, around 50% of the  $H\mu\mu$  final state, 40% of the Hee final state, are reconstructed. Based on a data sample equivalent to a luminosity of 50 fb−<sup>1</sup> , a clear signal from the Higgs could be reconstructed, over a small background, as shown in figure [7.2.](#page-169-0) From this analysis the mass of the Higgs has been reconstructed using a simple fit to the mass distribution with an error of  $\approx 70$  MeV, and the cross section with a relative error of 8%. Further improvements of this analysis are expected by applying a more sophisitcated likelihood method for the determination of the mass of the Higgs.

A similar analysis has been performed in the context of the SiD detector concept, at a center of mass energy of the collider at 350 GeV. This analysis is based on a cut based event selection and background rejection. The general flow of the analysis is very similar to the one described above. Only the dominant background source from  $e^+e^- \rightarrow ZZ$  decays has been simulated so far.

While in the previously mentioned LDC analysis the machine backgrounds have been taken into account through a parametrised approach, in the SiD analysis fully simulated machine background events have been included. One event from each of the machine backgrounds (GuineaPig pairs, $\gamma\gamma \to$  hadrons, and  $\gamma\gamma \to \mu^+\mu^-$ ) has been added to each of the physics events. The events have been combined at the Monte Carlo hit level, prior to digitization. The readout technologies envisioned for the silicon tracking detectors are expected to provide single-bunch timing capabilities. Extensions to this study will investigate the impact of integrating over larger numbers of beam crossings.

The SiD analysis proceeds by looping over all the reconstructed particles (charged and neutral) in the event and requiring two muons with momentum greater than 20 GeV. Having



<span id="page-169-0"></span>FIGURE 7.2. Recoil mass spectrum reconstructed for a 120 GeV Higgs, with full background simulation, at a centre-of-mass energy of 250 GeV. Z decays into electroncs and muons are considered. The background from four-fermion final state contains the pair production of heavy gauge bosons.

found two high-momentum muons, the invariant mass of the system is calculated and required to be consistent with that of the Z boson. Figure [7.3](#page-170-0) shows the recoil mass distribution for the  $ZZ^*$  background in blue and  $ZH$  signal plus background in red.

The precision of the Higgs mass from this measurement, based on a comparison between the mass distribution reconstructed and template Monte Carlo distributions, is estimated to be about 135 MeV. Taking into account the larger center-of-mass energy of 350 GeV, this is compatible with the results from the previous analysis.

# **7.2.2** The process,  $e^+e^- \rightarrow \nu \bar{\nu} b \bar{b}$

The Higgs decay into bottom quarks is of particular interest since it is the dominant decay mode of the Higgs boson if its mass is less than about 140 GeV. A study has been performed using the Higgs-strahlung process, where Z decays invisibly and the Higgs decays hadronically. The measured rate of the process provides information on the Yukawa coupling to the bottom quark. The invariant mass of the measured particles is the mass of the Higgs, since all visible particles stem from the Higgs decay, and there is no ambiguity of the mass measurement due to an exchange of colored particles in the final state as is the case in the four-jet mode of the Higgs-strahlung process. Thus this process is considered as a benchmark for the capability of the detector and the reconstruction performance. An excellent vertex detector is also a key element for an efficient separation of the bottom quark jets from backgrounds.

In the GLD analysis presented here [\[127\]](#page-202-7) the events were generated with Pythia 6.3. In the event generation, beamstrahlung effect was taken into account together with bremsstrahlung. The nominal ILC parameter set, but at a beam energy of 175 GeV, was used for the generation of the beamstrahlung spectrum. The events were passed through a full simulation program,



<span id="page-170-0"></span>FIGURE 7.3. Dimuon recoil mass for  $ZZ^*$  background (blue) and  $ZH$  signal plus background (red) for centrally produced muons. The event sample corresponds to an integrated luminosity of  $500fb^{-1}$  at 350 GeV cms.

Jupiter, using the GLD detector model, and reconstruted with the GLD version of the particle flow algorithm. The study was performed for a Higgs mass of 120 GeV. This study is based on a Monte Carlo event sample of 200 fb<sup>-1</sup>. The  $e^+e^- \to ZZ$  process is the dominant source of physics background and was included in the study.

The interesting events are characterized by missing energy and missing  $p_t$  due to neutrino productions. Bottom quark jets tagged through their secondary vertex are another signature. In order to select these events, the following selection cuts were applied; the total visible energy was between 90 GeV and 200 GeV; the total missing  $p_t$  was greater than 20 GeV; the cosine of the jet axis was between -0.8 and 0.8; and the event contained more than 4 tracks whose closest distance to the interaction point (IP) was more than three sigma away from the interaction point. In addition, the missing mass of the event, calculated assuming the initial center of mass energy being equal to twice of nominal beam energy, was required to be within 60 GeV of the Z mass.

The resulting mass spectrum is shown in Figure [7.4.](#page-171-0) In this study, the mass scale was calibrated using the position of the  $Z^0$  resonance.

The reconstructed mass of the Higgs is lower than the input value. This is believed to be due to the energy loss by neutrinos in b decays and/or incomplete correction for energy not properly identified in the current version of the particle flow algorithm. Further studies with an improved PFA algorithm is needed. The statistical error of the event rate is about 3.2%, which is consistent with the previous analysis using a fast simulation [\[4\]](#page-196-0).

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<span id="page-171-0"></span>FIGURE 7.4. Reconstructed mass spectrum for Higgs candidates (120 GeV) in the  $ZH\to \nu\bar{\nu} b\bar{b}$  decay.

#### $7.2.3$  $^+\mathrm{e}^- \rightarrow \mathrm{ZHH} \rightarrow \mathrm{6}$  jets

Superior dijet mass resolution is necessary to identify intermediate resonances, such as in the process  $e^+e^- \rightarrow ZHH$ , which is sensitive to the trilinear Higgs coupling. The cross section for this process is at the sub-femtobarn level making identification above back-ground difficult. A study [\[8\]](#page-196-4) of ZHH decay into 6 jets at  $\sqrt{s}$  = 500 GeV for  $m_H$  = 120 GeV finds that conventional jet energy resolution (i.e., LEP experiments) is not sufficient to identify a signal above background. In this analysis, a distance variable  $Dist =$  $\sqrt{(m_{12}-m_H)^2+(m_{34}-m_H)^2+(m_{56}-m_H)^2}$  is used to characterize signal and background, as shown in figure [7.5.](#page-172-0)

# 7.3 TOP ANALYSES

The measurement of properties of the top quark are an important part of the measurement program at the ILC. The precise knowledge of its properties, its mass and width and its couplings to other particles, are sensitive inputs to the overall constraint on the Standard Model.

The reconstruction of the top at the ILC can profit from the clean and well known environment at this collider. About 44% of the top decays are expected to go into fully hadronic final states, which are reconstructed in the detector as six jets. The fully hadronic top decay therefore is an excellent laboratory to investigate and test the event reconstruction and algorithms. The drawback of the fully hadronic mode is that there are a number of effects known which affect the final state: final state interactions, color rearrangements, Bose Einstein correlations, etc.. The extraction of the top mass from this channel has many theoretical difficulties, though in recent years significant progress has been made in understanding them



<span id="page-172-0"></span>FIGURE 7.5. Distance variable for signal and background assuming a)  $\Delta E/E = 60\%(1 + |\cos\theta_{jet}|/\sqrt{E}$ , or b)  $30\%/\sqrt{E}$ .

and showing solutions to some of them.

In this analysis [\[128\]](#page-202-8)  $e^+e^- \to t\bar{t}$  are studied in its fully hadronic decay mode into six jets. Events at 500 GeV are generated using the Pythia event generator. Six jets are reconstructed with the  $k_{\perp}$  [\[129\]](#page-202-9) alogorithm. Full tracking and particle flow reconstruction are then applied based on the BRAHMS software system with the SNARK particle flow implementation [\[128\]](#page-202-8).

Hadronic events are selected based on the total visible energy in the event, which should be close to the event energy. The momentum imbalance along the beam and perpendicular to the beam direction should both be small. Only events which have six well separated jets are accepted, to clean up the sample. Events which have a well identified lepton in it are removed from the sample.

In a next step the six jets are grouped into two groups of three jets each. The total fourmomenta of the three jet groups are calculated. The best grouping of jets into three jet groups is then selected with the constraint that the invariant masses of the two groups should be similar, and by imposing total energy and momentum conservation. The two groups should be produced approximately back to back. Additionally the sample can be further cleaned up by imposing a positive bottom tag on some of the jets, and by testing whether two out of three jets in each group are consistent with coming from the W decay. The invariant mass of the three jet groups is shown in Figure [7.6.](#page-173-0)

The analysis includes physics backgrounds, but no beam-beam related backgrounds. For technical reasons not all physics background channels have been fully simulated. For the most part the events were generated using the same tools as the signals, but were not processed through the full simulation chain. Instead they were passed through a fast smearing level Monte Carlo, before however being fully reconstructed by the same program as the signal sample. From this study a statistical uncertainty of the top mass determination of  $100MeV$ has been found, for an integrated luminosity of  $300fb^{-1}$ . The mass resolution found is 5.5 GeV at 500 GeV, which is approximately compatible with the goal of  $30\%/ \sqrt{E}$ .

#### INTEGRATED PHYSICS PERFORMANCE



<span id="page-173-0"></span>FIGURE 7.6. Invariant mass distribution of three-jet groups, after all cuts applied. The dashed line indiciates the background from other Standard Model processes.

# CHAPTER 8

# The case for two Detectors

The ILC's scientific productivity will be optimized with two complementary detectors operated by independent international collaborations, time-sharing the luminosity. This will ensure the greatest yield of science, guarantee that discoveries can be confirmed and precision results can be cross-checked, provide the efficiency of operations, reliability, and insurance against mishap demanded for a project of this magnitude, and enable the broadest support and participation in the ILC's scientific program.

# 8.1 COMPLEMENTARY AND CONTRASTING DETECTORS

The two detectors will be designed to measure the physics events with different approaches. Ideally, given the unknowns of the experimental environment at future colliders, the program must be prepared with two detector philosophies in order to provide complementary sensitivity to physics, backgrounds, and fake effects. There is no unique, optimal design for an ILC detector, because it is not known what will be discovered, what physics will prove to be the most important, or what the most significant backgrounds will be. Having two experiments allows some level of aggressiveness in experimental design. For similar reasons, the LEP/SLC detectors were designed with different strengths and weaknesses, arising from different assumptions on physics and technical advantages; their complementarity broadened the coverage. At the Tevatron, the top quark discovery benefited from the different detector approaches of CDF and D0. ATLAS and CMS at the LHC will provide this complementarity. It is important for the ILC detectors to provide similar breadth in detector response.

Experience with operating experiments at a linear collider is limited to Mark II and SLD at SLC. This experience raised unexpected issues with beam halos, fliers, beam-related EMI, and other effects. It is prudent to anticipate additional surprises related to operating at the much higher currents and energy of the ILC. The design of the ILC will, of course, profit from the SLC experience, and be able to avoid many of these problems. But for a new machine, one must expect new effects; having two complementary detectors will add flexibility in dealing with such technical uncertainties.

## 8.2 BROAD PARTICIPATION AND SCIENTIFIC OPPORTUNITY

Having two complementary detectors will encourage the broadest possible participation of the world HEP community in ILC physics. A worldwide financial and technical effort must be mounted to realize the ILC. The scale of this effort is unprecedented in the history of particle physics, even exceeding that mounted for the Large Hadron Collider. In fact, no international scientific project of this magnitude has yet been completed by any collaboration. The number of physicists in the world who will be interested, and must be enlisted in order to justify the size of the enterprise, is very large. One detector effort will not satisfy the interest, or the need.

The level of financing for the project, and specifically that for the detector efforts, will be determined by the size of the interested community. Having two detectors will generate significantly greater scientific interest in the project throughout the world. This fact must be considered when the potential cost saving of reducing to one detector is evaluated.

The ILC will be a research facility for decades of exploration, and it must provide the opportunities for more than a generation of particle physicists. Two detectors mounted by two collaborations double the possibilities for meaningful contributions to the experimental program, and accommodate the research interests of twice as many physicists. With two detectors employing complementary technical solutions, the development and training opportunities, especially those for young scientists and engineers, will be greatly enhanced.

# 8.3 EFFICIENCY, RELIABILITY, INSURANCE

Having two independent detector collaborations will yield highly efficient, reliable data taking, with the insurance to deal with unexpected problems. The efficiency of operation will benefit from time-sharing the luminosity, since the maintenance of one detector can be carried out while the other is accumulating data. Furthermore, unexpected problems or the failure of one detector will not stop the operations of the collider. There are risks associated with operating large and complex detector systems. A major failure could disable the program for a long time if a second detector were not available.

The competition between two detectors collaborations will drive the scientific productivity of both experiments, as has been demonstrated frequently in the past. This important force in the scientific enterprise results in a more effective utilization of the program's resources, and more rapid progress.

# 8.4 CONFIRMATION, CROSS-CHECKS AND SCIENTIFIC RE-DUNDANCY

Only by having two detectors can there be genuine scientific confirmation of new discoveries, or critical cross-checks of precision measurements. Indeed, the ILC is expected to make major discoveries about the nature of the universe. Such discoveries will be accepted and integrated into the scientific paradigm only with sound confirmation. Two complementary experiments, with differing detector approaches, will provide the required cross-checks on discoveries. While discoveries require confirmation; precision measurements require redundancy. Two collaborations will develop independent analyses which will be characterized by separate data sets and different systematic errors. Having two detectors will ensure the most accurate

assessment of new physics found by discoveries or by precision measurements. Furthermore, the fact that one collaboration's results are subject to confirmation or refutation by the other is an important protection against false conclusions.

For important results, we can expect each detector collaboration to develop two or more competing analyses. However, having two analysis chains within the same detector collaboration does not create the level of competition, redundancy, and independence needed for optimal scientific outcomes. There are many examples in particle physics of important scientific results not being properly resolved by parallel analyses within a single experimental collaboration. The degree of autonomy enjoyed by each such analysis effort within a collaboration is fundamentally limited by the collaboration's goal of finding a common answer. On the other hand, having two experimental collaborations naturally results in truly independent analyses, which may reach alternative conclusions, preventing confirmation of incorrect results.

Confirmation and redundancy have been necessary for progress in high-energy physics in the past, as demonstrated by many fixed-target and collider experiments [\[130\]](#page-202-10). For decades the ILC will be at the cutting edge of the unknown, where cross-checks are imperative for a rapid and thorough understanding of the data and the physics. In fact, confirmation and redundancy are an indispensable part of science, a principle understood broadly.

THE CASE FOR TWO DETECTORS

# CHAPTER 9

# Costs

Three detector concepts, GLD, LDC, and SiD, have estimated the costs of their respective detectors. Although the methodologies employed differed somewhat, all three used a complete work breakdown structure, and attempted to identify all the significant costs associated with their various subsystems, as well as costs associated with assembly and installation. These cost estimates have been made in light of the GDE costing rules, but have included contingency at a level of  $\approx 35\%$ . Costs below are quoted in year 2007 dollars (\$) without escalation. To include inflation effects, a rate of  $3\%/year$  can be applied. For example, the cost evaluated in 2014 dollars, a year that could see the middle of construction, would be higher by 23%. To get a common basis for the costs in different regions, the following assumptions about conversions between dollars and euros and yen were employed: 1 Yen =  $0.00854$  \$ and 1 Euro = 1.20 \$. Clearly, some uncertainty arises because of the inconstancy of these conversion factors.

Costs have been divided into those for materials and supplies (M&S), and those for inhouse manpower, which is given in man-years and then converted to dollars depending on local labor rates. Because of regional accounting differences, rather different amounts are assigned to these two categories by the different concepts, but the sum of the two is relatively constant region to region.

The cost drivers for the M&S budgets are the calorimeters and the solenoidal magnet and flux return iron. Costs for common materials, like silicon detectors, or tungsten, or steel, are estimated differently by the different concepts, occasionally leading to rather large differences on individual detector parts. These differences are assumed to average out over the entire detector. Costs for such materials are estimated with various methods, sometimes from one or preferably more industrial quotations, sometimes from the actual expenses borne in building previous detectors. When comparing the estimates concept to concept, most items which appeared in one accounting, but not in another, were accounted for, and added in where absent. Integration, transportation, and computing have been included. Indirect costs associated with both M&S and labor have also been included.

Overall, there is reasonable agreement among the three concept estimates. Explicit comparison of some of the major items, like the magnet coil, return yoke, and calorimeters, have been considered in some detail, and discrepancies understood. Coil costs present an interesting example. Figure [9.1](#page-179-0) shows the estimated costs of the coils for each of the concepts as a function of the stored energy. Costs for the BaBar, Aleph, and CMS coils are included for reference. Costs include the manpower for design and fabrication. The dependence of the



<span id="page-179-0"></span>FIGURE 9.1. Estimated cost of superconducting coils as a function of the stored energy

individual estimates on stored energy looks reasonable, and the present estimates look in line with the reference points. Costs associated with the Detector Integrated Dipole are at the level of a few percent of the main coil cost.

Figures [9.2,](#page-180-0) [9.3](#page-180-1) and [9.4](#page-181-0) show the cost breakdowns across detector subsystems for each concept. The figures make clear that the detailed categories for costing differ concept to concept. For example, SiD has costed electronics, installation, and management as separate items whereas LDC and GLD have embedded these prices in the subdetector prices. In another example, GLD chooses to cost both hadron and electromagnetic calorimeters as a single item, since the detectors used are similar. LDC and SiD have separated these expenses, because the detection techniques are quite different. The prominence of costs associated with the magnet, which is here taken to be the sum of coil and flux return, and the calorimeter is obvious from the figures. Inevitably, some costs have not been treated equally in the different concepts at this stage in the cost estimation process. For example, LDC has costed the transportation independently and provided an estimate for off-line computing. GLD and SiD have not provided these costs.

The total detector cost lies in the range of 460-560 M\$ for any of the detector concepts, including contingency. For SiD and LDC, M&S costs lie in the range 360-420 M\$ and manpower is estimated to be 1250-1550 person-years, again with contingency included. The GLD estimate includes most of the manpower with the M&S, but as mentioned before, its total cost is comparable to that of SiD or LDC.


FIGURE 9.2. Relative subsystem costs for GLD

<span id="page-180-0"></span>

<span id="page-180-1"></span>FIGURE 9.3. Relative subsystem costs for LDC



<span id="page-181-0"></span>FIGURE 9.4. Relative subsystem costs for SiD

# CHAPTER 10 **Options**

The baseline for experimentation at the ILC is a 500 GeV collider for electrons and positrons. A number of options exist to expand the scope of the collider by colliding different particles, or by slightly modifying the layout. These options in general are connected with additional costs, which are not estimated in this document. Nevertheless since they represent a significant extension of the physics capabilities of the facility, their physics motivations and impact on detector design are discussed in this section.

The simplest option, which does not require any significant detector upgrades, is the operation of the collider as an  $e^-e^-$  collider. A significant body of literature exists for this option, both describing its physics program, and its possible realization within a linear collider like the ILC.

The GigaZ program requires running the collider at an energy corresponding to the Z pole. The ILC could reach very high luminosities at the Z, and thus become a very powerful laboratory for advancing the tests of the Standard Model performed at LEP/SLC to a new level of accuracy. The physics program of this option is summarized in some detail in section [10.1.](#page-182-0)

The largest modifications to both the accelerator and the detector are required by the photon collider option, described in section [10.2.](#page-186-0) Here a discussion of both the highlights of the anticipated physics program and the technological challenge for the experiment are described.

# <span id="page-182-0"></span>10.1 GIGAZ

The name "GigaZ" denotes the possibility to run the ILC back on the Z resonance and, if needed, at the W-pair threshold to measure the W mass. If all other parameters of the accelerator are kept unchanged the luminosity is  $\mathcal{L} = 4 \cdot 10^{33} \text{cm}^{-2} \text{s}^{-1}$  at the Z peak and  $\mathcal{L} = 8 \cdot 10^{33} \text{cm}^{-2} \text{s}^{-1}$  at the W-pair threshold. This corresponds to  $10^9$  hadronic Z decays in less than a year of running, and  $10^6$  W-pairs close to threshold in the same time.

# 10.1.1 Physics motivation

The main objective of Z-pole physics is to measure the axial-vector  $(g_{A,f})$  and vector coupling  $(g_{V,f})$  of the Z to fermions, where the best precision, theoretically and experimentally can be obtained for leptons. The ratio of the two is sensitive to the weak mixing angle  $\sin^2 \theta$  (where

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 $g_{V,f}/g_{A,f} = 1 - 4q_f \sin^2 \theta$ . If there is no new physics in fermion production on the Z-pole at the Born level all deviations of  $g_{A,f}$ ,  $g_{V,f}$  from their Born level Standard Model predictions can be absorbed in two effective parameters,  $\Delta \rho$  and  $\sin^2 \theta_{\text{eff}}$  (where  $g_{A,f} = \sqrt{\Delta \rho} a_{f,\text{Born}}$  and  $g_{V,f}/g_{A,f} = 1-4q_f \sin^2\theta_{\text{eff}})$ ). In principle these parameters still depend on the fermion flavor, however for  $f \neq b$  the difference between the flavors does not contain additional information, so that usually the values for leptons are given. Only the b-quark is interesting on its own since it is the isospin partner of the heavy top quark and in some models, like the little Higgs models, the  $(b, t)$  doublet is different from the other isospin doublets. In case new physics enters directly via the exchange of a new vector boson,  $Z'$ , the  $Z$  observables are sensitive to the mixing of the Standard Model  $Z$  with the  $Z'$ .

 $\sin^2 \theta_{\text{eff}}^l$  can be measured with extremely good precision at GigaZ. It depends only on a ratio of couplings which can be obtained from asymmetry measurements. For this reason many systematic errors like efficiency and luminosity drop out in the calculation so that the full statistics at GigaZ can be used. The most precise determination of  $\sin^2 \theta_{\text{eff}}^l$  can be obtained from the left-right asymmetry with a polarized electron beam

$$
A_{\rm LR} = \frac{1}{\mathcal{P}} \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R} = \frac{2g_{\rm V,l}g_{\rm A,l}}{g_{\rm V,l}^2 + g_{\rm A,l}^2}
$$

where  $\sigma_L$  ( $\sigma_R$ ) denotes the cross section with left- (right-) handed beam polarization and  $\mathcal P$ the polarization vector. If both beams can be polarized, the polarization can be unfolded internally and a precision of  $\Delta A_{LR} = 10^{-4}$  is possible corresponding to  $\Delta \sin^2 \theta_{\text{eff}}^l = 0.000013$ [\[131\]](#page-202-0). This corresponds to an improvement of a factor of ten compared to the LEP/SLD combined value of  $\sin^2 \theta_{\text{eff}}^l$ .

To measure  $\Delta \rho$  absolute cross section measurements as well as the total width of the Z, which has to be measured from a scan, are needed. In both quantities several systematic uncertainties enter so that here an improvement is much more difficult. Under optimistic assumptions  $\Delta \rho = 5 \cdot 10^{-4}$  can be achieved which corresponds to a factor two improvement with respect to LEP.

The W-mass can be measured with a precision of  $\Delta m_W = 6$  MeV from a scan of the W threshold corresponding to an improvement of a factor six to the present value and a factor three to the projected LHC precision.

All models of new physics, once they are calculable, have to predict the size of the loop corrections or of new Born level processes for electroweak processes at or below the Z. In this sense the GigaZ option is interesting in all possible cases. However the number of new particles in the ILC energy range and corresponding thresholds or peaks to scan varies largely between the models. It thus has to be decided at a later stage if there is time available for Z and W-threshold running.

As an example for the use of GigaZ in supersymmetry, Figure [10.1](#page-184-0) shows the indirect constraint in the  $\tilde{t}_2 - \cos \theta_{\tilde{t}}$  plane from the electroweak precision data now and with GigaZ when the other relevant SUSY parameters are known.

Experimentally the situation is more challenging if nature has chosen a scenario in which the  $(t,b)$  isospin doublet plays a special role. In this case  $GigaZ$  can also provide fundamental measurements on the b-sector like the normalised b-cross section on the peak,  $R_b$ , or the forward backward asymmetry for b-quarks with polarized beam  $A_{LR,FB}$  which measures the couplings of the Z to b-quarks. These measurements require a pure b-tagging with very good knowledge of the background and, in the case of the asymmetries, in addition efficient b-charge tagging.



<span id="page-184-0"></span>FIGURE 10.1. Constraints in the  $\tilde{t}_2 - \cos \theta_{\tilde{t}}$  plane from the electroweak precision data now and with GigaZ.

If a Higgs is found with a mass incompatible with the current precision data or no Higgs is found, GigaZ is needed to confirm the old data with higher precision and to determine where the discrepancy comes from. Figure [10.2](#page-185-0) shows the present and possible future precision data in the STU and  $\varepsilon_{1,2,3}$  representations. In many models it is easy to modify T  $(\varepsilon_1)$  which depends on the mass splitting in the isospin-doublets. Due to the correlation between the two parameters a change in the Higgs mass can be compensated by a change in T. To separate the two effects the precise measurement of the W-mass is thus extremely important in this case.

Another task at GigaZ is the measurement of the strong coupling constant  $\alpha_s$  which can be obtained from the ratio of hadronic to leptonic Z decays to a precision of 0.0005 - 0.0007. Tests of grand unification are limited by the knowledge of the strong coupling constant (see Figure [10.3\)](#page-185-1). Since some models, e.g. within string theory, predict small deviations from unification, this measurement turns out to be very important.

## 10.1.2 Experimental challenges

For the detector GigaZ seems not very problematic. The event rate is high, about 30 events per bunch train. However this is compensated by the much smaller rate of two-photon events and the about one order of magnitude smaller background from beamstrahlung compared to 500 GeV. About 1% of the Z-events contain a second and 10−<sup>4</sup> a third Z-event in the same bunch crossing. For Z-counting, which is needed in the  $A_{LR}$  measurement this should be no problem. A slight challenge might be flavor tagging in this case, however one can exclude

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FIGURE 10.2. S-T ( $\varepsilon_1 - \varepsilon_3$ ) parameters now, after LHC and after GigaZ

<span id="page-185-0"></span>

<span id="page-185-1"></span>FIGURE 10.3. Unification of couplings now and after GigaZ

these events from the analysis and correct for the very small bias this introduces.

If only electron polarization is available, it has to be measured to  $\Delta P/P = 7 \cdot 10^{-4}$ which seems hopeless. However if polarized positrons are also available and the sign of the polarization can be changed rapidly, no absolute polarimetry is needed. Only relative measurements are needed to track time dependencies and differences between the two helicity states.

The real challenge of GigaZ is the beam energy measurement. ALR depends strongly on the center of mass energy due to  $\gamma$ -Z interference. A beam energy measurement of  $\Delta E_b/E_b$ <sup>3</sup> · <sup>10</sup>−<sup>5</sup> relative to the Z mass is needed so as not to limit the precision on the weak mixing angle. To improve knowledge of the Z-width, the beam energy must be very well known,  $\Delta E_b/E_b < 10^{-5}$ . In this case the beamstrahlung and the beam energy spread also have to be measured to a few percent. These requirements are significantly more aggressive than those for the 500 GeV ILC.

For a scan of the W threshold the detector requirements are more relaxed because the event rate is much lower. However this measurement also requires the beam energy to be known to  $\Delta E_b/E_b < 3 \cdot 10^{-5}$  relative to the Z mass.

## <span id="page-186-0"></span>10.2 PHOTON COLLIDER

The elegant idea [\[132\]](#page-202-1) to convert an  $e^+e^-$  collider to a  $\gamma\gamma$  collider can expand the physics reach of the ILC. The Photon Linear Collider (PLC) denotes both the  $\gamma\gamma$  and  $e\gamma$  options of the ILC. In order to produce high energy photon beams the electron beams of the ILC, running in the  $e^-e^-$ , mode are used. Just a few millimeters before reaching the interaction point(IP), the focused electron bunches collide with a very intense laser beam. In the process of Compton backscattering, most of the electron energy can be transferred to the final photon, moving in the direction of the initial electron. With a proper choice of electron beam and laser polarization, one can produce a peak of high energy photons with a high degree of polarization, as shown in Figure [10.4.](#page-187-0) By converting both electron beams, a study of  $\gamma\gamma$ interactions is possible in the energy range up to  $\sqrt{s_{\gamma\gamma}} \sim 0.8 \cdot \sqrt{s_{ee}}$ , whereas by converting one beam the eγ processes up to  $\sqrt{s_{e\gamma}} \sim 0.9 \cdot \sqrt{s_{ee}}$  can be studied.

In the  $\gamma\gamma$  or  $e\gamma$  modes it is possible to reduce the emittance of the electron beams and apply stronger beam focusing in the horizontal plane. The luminosity is not limited by beamstrahlung and beam-beam interactions, therefore for nominal electron beam energy of 250 GeV the geometric luminosity  $L_{geom} = 12 \cdot 10^{34} cm^{-2} s^{-1}$ , about four times larger than the expected  $e^+e^-$  luminosity. However, due to the high intensity of the electron and laser beams, higher order processes become important and the beams will be dominated by low energy photons. Even so, the luminosity in the high energy  $\gamma\gamma$  peak (see Figure [10.5\)](#page-187-1) corresponds to about  $1/3$  of the nominal  $e^+e^-$  luminosity. For a nominal electron beam energy of 250 GeV it is expected that  $L_{\gamma\gamma}(\sqrt{s_{\gamma\gamma}} > 0.65 \cdot \sqrt{s_{ee}})$  of about 100 fb<sup>-1</sup> per year (400 fb<sup>-1</sup> for a whole energy range). In first approximation, the luminosity of the photon collider is proportional to the electron beam energy.

## 10.2.1 Physics Reach

The PLC is an ideal observatory for the scalar sector of the Standard Model and beyond, leading to important tests of the EW symmetry breaking mechanism which are in many cases



<span id="page-187-0"></span>FIGURE 10.4. Energy distribution (left plot) and polarization (right plot) for photons from the Compton back-scattering, for fixed electron beam polarization  $P_e$ =85% and different laser polarizations:  $P_L$  = 1, 0, -1. Parameter  $x = 4.5$  corresponds to laser wave length of 1.06  $\mu m$  and primary electron beam energy of 250 GeV.

complementary to the  $e^+e^-$  ILC case. In addition the PLC is also a natural place to study in detail hadronic interaction of photons [\[133,](#page-202-2) [134,](#page-202-3) [135,](#page-202-4) [136,](#page-202-5) [137,](#page-202-6) [138\]](#page-202-7). The most important aspects of physics of the PLC, illustrated by some examples, are listed below.

• At a  $\gamma\gamma$  collider the neutral C=+ parity resonances can be produced, in contrast to  $C=-$  resonances in the  $e^+e^-$  collision. The lowest spin of a resonance allowed is zero, as for a Higgs boson, while spin 1, dominating at the  $e^+e^-$ , is forbidden.



<span id="page-187-1"></span>FIGURE 10.5. Energy distribution for photons (left plot) and the  $\gamma\gamma$  center-of-mass energy distribution (right plot) from simulation of the PLC luminosity spectra by V.Telnov, compared to the ideal (i.e. the lowest order QED) Compton spectra.

• The s-channel resonance production of C=+ particles permits precise measurements of

their properties. For example, the precision of the cross section measurement for the SM Higgs decaying into the  $b\bar{b}$  final state is between 2 to 3 % for Higgs masses between 120 and 155 GeV (Figure [10.6\)](#page-188-0); for Higgs masses between 200 and 350 Gev, and decays into the WW final state, the accuracy is between 3 and 8 %. Using both linearly and circularly polarized colliding photons one can select CP-even and CP-odd states. Study of the CP nature of the Higgs bosons (both for the case of CP conservation, and of CP violation in the Higgs sector), is feasible even by using only the initial polarization asymmetries [\[139\]](#page-202-8). Additional information can come by from measurements of the final state.



<span id="page-188-0"></span>FIGURE 10.6. Left: distributions of the corrected invariant mass,  $W_{corr}$ , for selected  $b\bar{b}$  events; contributions of the signal, for  $M_h = 120$  GeV, and of the background processes, are shown separately. Right: statistical precision of  $\Gamma(h\to\gamma\gamma)BR(h\to b\bar{b})$  measurement for the SM Higgs boson with mass 120  $\sim$ 160 GeV for various stages of analysis [\[140\]](#page-203-0).

• Neutral resonances couple to photons via loops involving charged particles. The Higgs  $\gamma\gamma$  coupling is dominated by loops involving those heavy charged particles which couple strongly to the Higgs. Therefore the  $\gamma\gamma$  partial width is sensitive to the contributions of particles with masses beyond the energy of the  $\gamma\gamma$  collision. By combining the production rate for  $\gamma\gamma \to Higgs \to b\bar{b}$  with the measurement of the  $Br(h \to bb)$  at  $e^+e^-$ ILC, with accuracy 1 %, the width  $\Gamma(h \to \gamma\gamma)$  can be determined with high accuracy 2 %, for a Higgs mass of 120 GeV. This allows discriminating between various models for the Higgs. For example, in the 2 Higgs Doublet Model, which has all couplings of neutral Higgs bosons identical to those in the SM, the contribution of the  $H^+$  with mass 800 GeV, leads to 10 % suppression in the h decay width, for  $M_h$  around 120 GeV [\[141\]](#page-203-1). Also the effect of new heavy particle with mass around 1 TeV, as suggested in the Littlest Higgs model, should be seen at PLC. In some cases it is possible to measure not only the absolute value of the  $h\gamma\gamma$  amplitude but also its phase, due to the interference with non-resonant background. By combining WW and ZZ channels for the SM Higgs boson, accuracy of the phase measurements is between 30 and 100 mrad [\[142\]](#page-203-2). A similar conclusion was obtained for the  $tt$  channel [\[143\]](#page-203-3).

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- Since particles can be produced singly at a  $\gamma\gamma$  collider, it is possible to produce high mass neutral Higgs bosons which would be inaccessible at the parent  $e^+e^-$  ILC, where they are typically produced in pairs or associatively with other heavy particles. PLC can play an important role in covering the so-called LHC wedge, which appears in the MSSM for the intermediate  $\tan \beta$ . In the wedge region, LHC and ILC may not be able to discover other Higgs particles beside the lightest SM-like Higgs boson h. But at the PLC observation of heavy (degenerate) A and H bosons, with masses above 200 GeV, would be possible (Figure [10.7\)](#page-189-0) [\[144,](#page-203-4) [145,](#page-203-5) [146\]](#page-203-6).
- In  $\gamma\gamma$  collisions, any kind of charged particles (scalars, fermions and vectors) with masses below the kinematic limits, can be directly produced in pairs, through lowest order QED. Moreover their cross sections are typically larger than the corresponding cross sections in  $e^+e^-$ . Especially important for a  $\gamma\gamma$  collider is the production of pairs of charged Higgs bosons and charged sfermions and charginos. The  $e\gamma$  option allows study of the associated production of heavy sfermions and light charginos/neutralinos, when the  $e^+e^-$  energy will be not high enough for the sfermion pair production [\[147\]](#page-203-7).



<span id="page-189-0"></span>FIGURE 10.7. Production of A and H, with parameters corresponding to the LHC wedge, at the  $\gamma\gamma$ collider. Left: Exclusion and discovery limits obtained at the linear collider for  $\sqrt{ee} = 630$  GeV, after 3 or 4 years of operation (using the broad and peaked energy spectra) [\[144\]](#page-203-4); Right: statistical significance of the Higgs-boson production measurement as a function of  $\tan \beta$ , for  $M_A = 300$  GeV [\[145\]](#page-203-5).

• The huge cross sections for the  $\gamma\gamma\to W^+W^-$  and  $e^-\gamma\to\nu W^-$  processes permit study of the anomalous  $WW\gamma$  coupling, with an accuracy similar to that of the  $e^+e^-$  collider. (See Volume II, Section 3.2.) Because of the very clean production mechanism and cross section, the PLC can provide precision top quark measurements, and searches for anomalous top couplings. Here the sensitivity is large, because the  $tt$  production rate depends on the 4th power of the htt<sup> $\bar{t}$ </sup> coupling. Note, that at PLC the  $\gamma t\bar{t}$  and  $Zt\bar{t}$ couplings are separated. Single top production at an  $e\gamma$  collider is the best option for measuring the  $Wtb$  coupling.

- Detailed studies of neutral gauge boson scattering processes,  $\gamma \gamma \rightarrow \gamma \gamma / \gamma Z/ZZ$ , which appear only atn one-loop level in the Standard Model, constrain new physics contributions, which could affect these channels either at the tree-level or through additional loop contributions [\[148\]](#page-203-8).
- The production of pairs of neutral Higgs bosons at the  $\gamma\gamma$  collider proceeds, in contrast to pairs of charged Higgs bosons, via box and triangle loop diagrams [\[149\]](#page-203-9). It is sensitive to the trilinear Higgs self-coupling, which must be measured in order to reconstruct the Higgs potential.
- At a PLC two photons can form a  $J_z = 0$  state with either even or odd CP parity. Testing the CP nature of the Higgs bosons can be performed by using the polarization asymmetries and/or the observation of correlations among the decay products. For the ZZ and WW decay channels, the angular distribution of the secondary WW and ZZ decay products can be used [\[150\]](#page-203-10). In  $\gamma\gamma \to Higgs \to \tau\bar{\tau}/tt$ , one can perform a model independent study of CP-violation, exploiting fermion polarization (Figure [10.8\)](#page-191-0) [\[151,](#page-203-11) [143,](#page-203-3) [152\]](#page-203-12). In addition  $\gamma\gamma \to Higgs \to \tau\bar{\tau}$  can be used [\[152\]](#page-203-12) to look for a light CP-violating Higgs, which may escape discovery at the LHC.
- The cross sections for Higgs boson and SUSY particle production at the PLC depend on different combinations of couplings than the corresponding processes at other machines. Therefore combination of precision measurements at  $pp, e^+e^-$  and  $\gamma\gamma$  collisions can give us useful additional information, and can be used to differentiate between models both with and without CP violation.

# 10.2.2 Detector and Beam Line Modifications

No modifications to the main accelerator are required for  $\gamma\gamma$  running as long as the accelerator can support  $e^-e^-$  running.

# 10.2.2.1 Crossing Angle

The outgoing electron beam has a large energy and angle spread after the Compton backscattering. An exit aperture of  $\pm 10$  mrad must be provided so that the disrupted beam can avoid hitting the detector. The exit aperture must also be shielded from the magnetic field of the final focusing quad. Concepts for a final focus quad have been developed [\[153\]](#page-203-13) which require a minimum crossing angle of 25 mrad. This requires the Beam Delivery tunnel layout to support the 25 mrad crossing angle. Either one interaction point must be designed for initial operation at 25 mrad or additional conventional infrastructure to support a conversion to 25 mrad must be provided.



<span id="page-191-0"></span>FIGURE 10.8. Left: Measurement of the variable  $\zeta_{ZZ}$  calculated from the polar angles of the  $Z \rightarrow l^+l^$ and  $Z \to jj$  decays for  $ZZ \to l^+l^-jj$  events. Signal and background calculations are performed for primary electron-beam energy of 152.5 GeV and the Higgs-boson mass of 200 GeV [\[150\]](#page-203-10). Right: cross section contribution  $\Sigma_2$  extracted from the measurement of  $\gamma\gamma \to t\bar{t}$  events, as a function of the reconstructed invariant mass for the scalar (dashed) and pseudo scalar (thick solid) Higgs-boson with mass of 400 GeV [\[143\]](#page-203-3).

#### 10.2.2.2 Extraction Line

The energy spread of the outgoing beam makes any attempt at steering likely to lose excessive amounts of beam. The preferred design for the  $\gamma\gamma$  extraction line is a field-free vacuum tube following the  $\pm 10$  mrad stay clear of the beam.

The  $\gamma\gamma$  beam dump will have to be designed to handle the 50% of the beam power which is in the photon beam. For the standard design this could lead to boiling of the water since the photon beam cannot be steered or smeared out. A gas based beam dump has been proposed [\[154\]](#page-203-14) to deal with this. A conceptual layout is shown in Figure [10.9,](#page-192-0) it would require a longer tunnel for the extraction line.

#### 10.2.2.3 Final Focus

The  $e^+e^-$  beam is designed to be flat in order to minimize disruption. This is not required for  $\gamma\gamma$  operations and changes to the final focus magnet strengths can reduce the spot size in x, increasing the luminosity. This would have no impact on the  $e^+e^-$  operation.

#### 10.2.2.4 Detector Modification

The detector modifications required are mainly restricted to the area around the beam-pipe and the beam input and extraction lines. A collaboration from MBI and DESY [\[155,](#page-203-15) [156\]](#page-204-0) has developed a conceptual design for a recirculating cavity that would greatly reduce the average laser power required for a photon collider. Space must be provided in the detector hall, as shown in Figure [10.10](#page-192-1) to support the optical cavity and the source laser for each arm. As shown in Figure [10.11,](#page-193-0) a line-of-sight from the IP to the outside of the end cap must be provided for the laser light to traverse the cavity and collide with the electron beam. This



<span id="page-192-0"></span>FIGURE 10.9. A conceptual layout of a beam dump [\[154\]](#page-203-14) with a gas filled region to disperse the energy of the photon beam.



FIGURE 10.10. The laser cavity [\[156\]](#page-204-0) has a path length equal to the bunch spacing of the accelerator. This makes it a natural fit to circulate the laser light around the outside of the detector. Two cavities are required, one for each accelerator arm.

<span id="page-192-1"></span>will require modification to the endcap calorimeter and possibly any forward tracking that exists in that area. No optical hardware is located within the detector.

The increased aperture in the extraction line will increase the radiation load seen by the vertex detector from the beam dump. Initial estimates are that the fluence from the beam dump is  $10^{11}$  neutrons/ $cm^2$ /year. This is well within the capabilities of existing technologies but is a tighter requirement than for standard  $e^+e^-$  running.



<span id="page-193-0"></span>FIGURE 10.11. Focusing mirrors direct the light pulses into the detector to collide with the electron beam. An unobstructed path from the mirror to the IP must be provided. The left figure is a concept for the modifications to the endcap and beam pipe region needed to accommodate this. The right figure is an end view looking down the beam pipe from the IP [\[157\]](#page-204-1).

## 10.2.2.5 Change-over

It is expected that operation of the laser cavities will have been demonstrated off-site before change-over to  $\gamma\gamma$  running is contemplated. A shutdown will be required to install the laser hardware and configure the IP for 25 mrad crossing angle. During the shutdown one would:

- Remove the detector components around the beam pipe and replace them with one configured for 25 mrad crossing angle.
- Install the laser and optics hardware.
- Either, move the detector to the 25 mrad IP;
- or, if already at the 25 mrad IP replace the  $e^+e^-$  extraction line with the  $\gamma\gamma$  extraction line and beam dump.

# 10.2.3 Conclusion

The  $\gamma\gamma$  option adds significantly to the physics reach of the ILC. In order to maintain this option the ILC design should include a capability to run the detector with a 25 mrad crossing angle. The detector should also be designed so that the area around the beam pipe can be easily replaced with one configured for 25 mrad running. Space in the detector hall should be reserved for the laser and optics installations.

# CHAPTER 11 **Conclusions**

Experiments at the ILC can profoundly advance particle physics. Ensuring that advance requires the design and development of a new generation of particle physics detectors. The machine environment imposes constraints on the design and boundary conditions on the viable detector technologies. ILC physics requires detector performance well beyond the present state of the art. Satisfying these constraints, achieving the needed detector performance, and integrating subdetector systems in a way which maximizes overall physics performance have stimulated a world wide effort to design, research, and develop ILC detectors.

This Report has summarized how far these designs and technologies have been developed over the past years. It has summarized the challenges posed by the ILC machine environment, and by the physics itself, and it has described integrated detector designs that can do this physics, and are within reach technologically. The physics performance goals for these detectors are ambitious. Assessing whether the proposed detector concepts work has required a high level of detail in the simulation codes which model their performance. Full Monte Carlo analyses have been used to characterize subsystem performance and are beginning to be used to benchmark integrated detector physics performance as well.

Significant progress on subsystem design and technological development is reported here. Two of the major technical challenges, developing fast readout schemes for highly pixellated vertex detectors and developing the calorimeters and reconstruction codes capable of greatly improved jet energy resolution, have engaged world wide R&D. Both efforts have reported important progress. Work on the charged particle trackers, which have unparalleled momentum resolution, and the far-forward calorimeters, that must survive the intense radiation generated in the collision process, shows comparable progress. Technological proofs of principle are not yet completed, but the outstanding technical questions are under intense study, and answers should be available within the next few years. Designs for the detectors themselves, summarized in Detector Outline Documents from the four existing detector concept studies, and progress in proto-engineering for the machine-detector interface, the experimental halls, surface assembly, and possible push-pull operations, record progress toward realistic and realizable designs for the ILC experiments.

The claim that detectors can be built which do justice to ILC physics rests on more than technical arguments. It has a financial component as well. The DCR has presented a first comprehensive look at the costs of ILC detectors, based on the separate evaluations for three of the detector concepts. The total cost for the two detectors called out in the ILC baseline will be approximately 10% of the cost of the machine. This is an appropriate level

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of investment for delivering ILC physics. Having two detectors will allow new results and new discoveries to be confirmed (or refuted) independently. It will guarantee productive data taking even if there is mishap with one of the detectors. Two complementary designs will better adapt to the full range of ILC background and physics unknowns. Two collaborations will double the world's involvement in this physics, double the base to support it, and double the opportunities for young physicists to contribute. Competition between these two will deliver the best science for the best value at the earliest time. Two is the right number.

What's next? Detector development is as crucial to the sucess of the ILC program as the accelerator development. The GDE plans to have an Engineering Design Report for the accelerator completed by 2010. The detector R&D and integrated detector design efforts must keep pace with progress on the ILC. The detector R&D program, which has already developed over many years, includes efforts in all regions, with inter-regional collaboration in some cases, and inter-regional coordination in all cases. The R&D is reviewed within the global context by the World Wide Study. This R&D is critical to the success of the ILC experimental program.

To focus integrated detector design efforts over the next few years, the current studies for four distinct concepts are expected to be concentrated into two engineering design efforts, in time for the submission of detector EDRs on the same time scale as the ILC machine EDR. The next steps are still being developed by the ILCSC, but will include appointing a central coordinator, who will be responsible for coordinating the ILC experimental program, together with appropriate international review and control mechanisms. The resulting detector designs are expected to have complementary and contrasting strengths, as well as broad international participation, and can serve as the basis for the ILC experimental program once the project has been approved.

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